

## Supplementary Online Content

Navar AM, Taylor B, Mulder H, et al. Association of prior authorization and out-of-pocket costs with patient access to PCSK9 inhibitor therapy. *JAMA Cardiol*. Published online September 27, 2017. doi:10.1001/jamacardio.2017.3451.

**eTable 1:** ICD-9 and ICD-10 Codes Used to Identify ASCVD

**eTable 2:** Characteristics of Patients Prescribed PCSK9i in the Overall, Clinical, and Lab Subsample

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This supplementary material has been provided by the authors to give readers additional information about their work.

**eTable 1: ICD-9 and ICD-10 Codes Used to Identify ASCVD**

<b>Coronary Artery Disease</b>	Prior myocardial infarction Acute or chronic ischemic heart disease Stable coronary artery disease Ischemic cardiomyopathy Unstable angina	410-414, 429.2, I20-I25
<b>Peripheral Arterial Disease</b>	Claudication, Peripheral Atherosclerosis	440.2, 440.3, 440.4, 440/8, 440.9, I70.2, I70.3, I70.4, I70.5, I70.7
<b>Cerebrovascular Disease</b>	Stroke, TIA, Precerebral Atherosclerosis, Other Cerebrovascular Disease	433, 434, 435, 436, I63, I65, I66, I67
<b>Other Atherosclerotic Disease</b>	Other Atherosclerosis Atheroembolism Abdominal Aortic Aneurysm	440*, 440.1, 441.3, 441.4, 443.9, I70.0, I70.1, I70.8, I70.90, I70.91, I71.3, I71.4

**eTable 2: Characteristics of Patients Prescribed PCSK9i in the Overall, Clinical, and Lab Subsample**

	Overall Sample N=45,029	Sample w/ clinical characteristics N=17,851	Sample w/ lab characteristics N=5,383
<b>Age</b>			
<45 years	1702 (3.8%)	545 (3.1%)	168 (3.1%)
45-54 years	5239 (11.6%)	1877 (10.5%)	594 (11.0%)
55-64 years	12591 (28.0%)	4736 (26.5%)	1457 (27.1%)
65-74 years	16660 (37.0%)	6905 (38.7%)	2021 (37.5%)
75+ years	8837 (19.6%)	3788 (21.2%)	1143 (21.2%)
Sex (% Female)	23065 (51.2%)	9328 (52.3%)	2749 (51.1%)
<b>Clinical</b>	N/a		
Prior ASCVD	N/a	12186 (68.3%)	3662 (68.0%)
CAD	N/a	10869 (60.9%)	3294 (61.2%)
PAD	N/a	3262 (18.3%)	891 (16.6%)
CVD	N/a	4235 (23.7%)	1196 (22.2%)
<b>Pharmacy</b>			
Retail	35234 (78.2%)	14127 (79.1%)	4266 (79.2%)
Institutional	239 (0.5%)	73 (0.4%)	21 (0.4%)
Mail Order	255 (0.6%)	118 (0.7%)	38 (0.7%)
Specialty	9300 (20.7%)	3533 (19.8%)	1058 (19.7%)
<b>Provider</b>			
Cardiology	21767 (48.3%)	9318 (52.2%)	3225 (59.9%)
General practice	16593 (36.8%)	6029 (33.8%)	1505 (28.0%)
Endocrinology	2234 (5.0%)	884 (5.0%)	211 (3.9%)
Other	4435 (9.8%)	1620 (9.1%)	442 (8.2%)

<b>Payor</b>			
Commercial only	17999 (40.0%)	6547 (36.7%)	2068 (38.4%)
Government only	23652 (52.5%)	9977 (55.9%)	2888 (53.7%)
Commercial + government	2043 (4.5%)	894 (5.0%)	286 (5.3%)
		433 (2.4%)	141 (2.6%)
<b>Statin Use</b>			
High intensity statin	N/a	2071 (11.6%)	653 (12.1%)
Moderate-Low intensity statin	N/a	2053 (11.5%)	589 (10.9%)
No Statin	N/a	13727 (76.9%)	4141 (76.9%)
<b>EZE Use</b>	N/a		
Yes	N/a	1874 (10.5%)	640 (11.9%)
No	N/a	15977 (89.5%)	4743 (88.1%)
<b>Other LLT</b>	N/a		
Yes	N/a	2072 (11.6%)	581 (10.8%)
No	N/a	15779 (88.4%)	4802 (89.2%)
<b>LAB: last LDL</b>			
<70	N/a	N/a	548 (10.2%)
70-99	N/a	N/a	726 (13.5%)
100-129	N/a	N/a	1031 (19.2%)
130-159	N/a	N/a	1246 (23.1%)
160-189	N/a	N/a	941 (17.5%)
>=190	N/a	N/a	891 (16.6%)

**eTable 3: Factors associated with approval in multivariable analysis, Overall Sample, Clinical, and Lab Subsample**

	Overall Sample N=45,029	Clinical Subsample N=17,851	Lab Subsample N=5,383
Model Variable	OR (95% CI)	OR (95% CI)	OR (95% CI)
Age (Ref: <45)			
45-54	1.26 (1.11 - 1.43)	1.26 (1.01 - 1.59)	1.31 (0.87 - 1.98)
55-64	1.33 (1.18 - 1.5)	1.39 (1.13 - 1.73)	1.48 (1 - 2.19)
65-74	1.83 (1.62 - 2.06)	1.89 (1.52 - 2.34)	1.90 (1.28 - 2.82)
75+	1.84 (1.62 - 2.08)	1.93 (1.55 - 2.41)	1.79 (1.19 - 2.69)
Female v. Male	0.87 (0.83 - 0.91)	0.91 (0.85 - 0.97)	0.95 (0.84 - 1.08)
Pharmacy			
Specialty vs. Retail	1.76 (1.66 - 1.86)	1.80 (1.64 - 1.98)	1.96 (1.66 - 2.33)
Mail Order	9.28 (6.47-13.3)	1.92 (1.17 - 3.13)	6.43 (2.67 - 15.50)
Institutional	1.35 (1.03-1.77)	6.69 (4.06 - 11.00)	4.98 (1.83 - 13.60)
Specialty (Ref: General)			
Cardiologist	1.49 (1.42 - 1.56)	1.41 (1.32 - 1.52)	1.42 (1.24 - 1.63)
Endocrinologist	1.24 (1.13 - 1.37)	1.23 (1.05 - 1.43)	1.03 (0.75 - 1.42)
Other	1.21 (1.13 - 1.30)	1.27 (1.12 - 1.43)	1.37 (1.08 - 1.73)
Payor (Ref: Commercial)			
Government	2.85 (2.7 - 3.0)	3.1 (2.85 - 3.38)	3.27 (2.79 - 3.83)
Gov + Commercial	2.9 (2.62 - 3.22)	2.79 (2.37 - 3.27)	2.6 (1.95 - 3.46)

PBM (Ref: None of top 10)			
PBM 1	2.09 (1.90 - 2.30)	2.09 (1.78 - 2.45)	2.32 (1.73 - 3.13)
PBM 2	0.99 (0.81 - 1.21)	1.23 (0.91 - 1.66)	1.15 (0.67 - 1.98)
PBM 3	0.74 (0.65 - 0.84)	0.71 (0.58 - 0.86)	0.95 (0.66 - 1.37)
PBM 4	0.81 (0.76 - 0.88)	0.88 (0.78 - 0.99)	0.75 (0.6 - 0.93)
PBM 5	0.82 (0.77 - 0.89)	0.83 (0.74 - 0.93)	0.76 (0.61 - 0.94)
PBM 6	1.26 (1.16 - 1.37)	1.24 (1.09 - 1.41)	1.19 (0.94 - 1.5)
PBM 7	1.26 (1.10 - 1.45)	1.48 (1.19 - 1.83)	1.32 (0.92 - 1.92)
PBM 8	0.82 (0.76 - 0.88)	0.78 (0.7 - 0.88)	0.77 (0.62 - 0.95)
PBM 9	1.10 (1.00 - 1.21)	1.19 (1.02 - 1.39)	1.22 (0.92 - 1.62)
PBM 10	0.44 (0.36 - 0.54)	0.50 (0.37 - 0.67)	0.54 (0.32 - 0.9)
Indication			
Prior ASCVD v. Primary		1.19 (1.11 - 1.28)	1.18 (1.04 - 1.35)
Medication			
High Intensity Statin v. No Statin		1.07 (0.96 - 1.18)	1.03 (0.85 - 1.24)
Low/Moderate Statin v. No Statin		1.02 (0.92 - 1.13)	1.14 (0.94 - 1.38)
Ezetimibe v. No		1.22 (1.1 - 1.36)	1.29 (1.07 - 1.56)
Other LLT vs. No		1.14 (1.03 - 1.26)	1.22 (1.01 - 1.48)
Last LDL-C (Ref: <70 mg/dL)			
70-99			0.93 (0.73 - 1.19)
100-129			0.94 (0.74 - 1.18)
130-159			0.92 (0.73 - 1.15)
160-189			0.89 (0.7 - 1.12)

190+			0.9 (0.71 - 1.15)
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**eFigure: Duration from Initial Prescription Submission and Time to Approval**

Histogram of the amount of time between the pharmacy first attempting to fill the prescription and when the patient is approved for therapy.

