

# Research Protocol

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## **Effect of an Adapted Hospital Elder Life Program on Postoperative Delirium in Older Patients Experiencing Non-cardiac Surgery**

**Trial site:** West China Hospital, Sichuan University

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## STUDY ABSTRACT

15 **Design:** A single-blind, randomized controlled trial in West China Hospital.

16 **Aims:** To evaluate the effectiveness of the modified HELP model by conducting a randomized controlled trial.

17 **Outcome measures:** The primary outcome is the incidence of POD within 7 days after surgery. Secondary outcomes are  
18 as follows: (1) incidence of severe delirium; (2) cognitive function, using the Short Portable Mental Status Questionnaire  
19 (SPMSQ); (3) physical function, using Barthel Index as Activities of Daily Living (ADL) and Instrumental Activities of  
20 Daily Living (IADL); (4) length of stay (LOS); (5) adherence to intervention; (6) adverse events; (7) follow-up SPMSQ,  
21 ADL, IADL and FRAIL scale at 30 days after discharge.

22 **Population:** Old patients ( $\geq 70$  years of age) from the six surgical floors (gastric surgery, colorectal surgery, pancreatic  
23 surgery, biliary surgery, thoracic surgery, and thyroid surgery) will be screened for enrollment.

24 **Eligibility:** The inclusion criteria are as follows: age  $\geq 70$  years; scheduled for elective surgery, with an anticipated  
25 hospital stay longer than 2 days. The exclusion criteria include (1) delirium at baseline, as assessed with the confusion  
26 assessment method; (2) a terminal condition with life expectancy  $< 6$  months; (3) inability to perform cognitive tests  
27 because of legal blindness, severe deafness, or severe dementia; (4) a documented history of schizophrenia or psychosis;  
28 and (5) active alcohol abuse.

29 **Treatment:** The intervention includes assessments and interdisciplinary intervention daily from the first day after surgery  
30 (or from the day of return to the surgical inpatient ward) to the 7th day (or to the day of discharge if the LOS was less  
31 than 7 days). The interdisciplinary intervention includes 3 universal protocols and 8 targeted protocols. The universal  
32 protocols are orientation, therapeutic activities, and early mobilization. The targeted protocols are implemented according  
33 to the presence of delirium-related risk factors, and included pain management, sleep enhancement, nutritional  
34 assistance/aspiration prevention, fluid repletion/constipation management, hearing/vision enhancement, hypoxia  
35 improvement, catheter-associated urinary tract infections prevention, and multiple medications management. Control  
36 patients in a usual care unit receive the usual treatment and care, without individualized assessment or interdisciplinary  
37 intervention.

38 **Duration:** The trial starts from August 24, 2015, and sustain at least 6 months.

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## 40 1. BACKGROUND & SIGNIFICANCE

41 Along with the longer global life expectancy and the rapid development of medical science and technology, more and  
42 more elderly patients are undergoing surgery. It is estimated that 50% of patients older than 65 years in the U.S. undergo  
43 surgical procedures in the US.<sup>1</sup> Similar trends are seen in Taiwan, with 39% of abdominal surgeries implemented in older  
44 patients.<sup>2</sup> Postoperative complications increase with advancing age.<sup>3,4</sup> Usually, surgeons pay more attention to traditional  
45 postoperative complications, such as infection, myocardial events, and sepsis, rather than cognitive function.  
46 Postoperative delirium (POD) affects 13-50% of patients undergoing non-cardiac surgery,<sup>5</sup> with annual health care costs  
47 of more than \$182 billion in the US,<sup>6-7</sup> and POD has been included in patient safety indicators.<sup>5</sup> It is associated with a  
48 significant increase in functional decline and mortality.<sup>8,9</sup> However, it is often undetected by surgical staff.<sup>10</sup>

49 Previous studies have demonstrated 30~40% of cases of delirium could be prevented by multi-component  
50 non-pharmacologic interventions in medical in-patients.<sup>11</sup> The Hospital Elder Life Program (HELP), the classic  
51 interdisciplinary intervention model established by Inouye et al in 1999,<sup>12</sup> includes 6 evidence-based and practical  
52 intervention protocols (i.e. reorientation, therapeutic activities, reduced use and doses of psychoactive drugs, early  
53 mobilization, promotion of sleep, maintenance of adequate hydration and nutrition, and provision of vision and hearing  
54 adaptations) that target multiple risk factors for delirium. The HELP has been proved highly efficient and cost-effective  
55 in reducing delirium incidence and preventing functional decline for elderly patients.<sup>13,14</sup> However, modification is  
56 necessary depending on available resources and local environments.

57 In this paper, we present a protocol for a pragmatic, cluster randomized controlled trial with a tailored version of  
58 HELP (t-HELP) in West China Hospital. West China Hospital is a teaching hospital and the medical center in the western  
59 part of China, with 4300 beds, more than 180,000 admissions, and 106,000 operations per year. We hypothesize  
60 Chinese-adapted HELP should be beneficial for elderly patients ( $\geq 70$  years of age) undergoing elective non-cardiac  
61 surgery, in prevention of POD and functional decline.

## 62 2. OBJECTIVES

63 Objective1: To modify and to implement HELP for older patients undergoing elective non-cardiac surgery at West China  
64 Hospital.

65 Objective2: To evaluate the effectiveness of the modified HELP model by conducting a two-armed, parallel group  
66 randomized controlled trial in 6 surgical units of West China Hospital.

## 67 3. STUDY DESIGN

68 3.1 Here we adapt the original version of the Hospital Elder Life Program (HELP©) by localizing the content using  
69 additional medical resources and translating the modified instrument into Chinese. Finally, the translated instrument will  
70 be revised and improved on the basis of experts panel discussions and consultations.

71 3.2 This is a two-arm, parallel group, single-blind cluster RCT across 6 surgical floors — gastric surgery, colorectal  
72 surgery, pancreatic surgery, biliary surgery, thoracic surgery, and thyroid surgery— in West China Hospital.

## 73 4. STUDY APPROACH

### 74 4.1 Modify and Implement the HELP Model for implementation at a Chinese Hospital

#### 75 (1) Overview

76 The program screens older patients before operation for delirium risk factors. The risk factors and corresponding  
77 HELP protocols are shown in Table 1. Interventions target these risk factors are implemented by a skilled  
78 interdisciplinary team, including Elder Life Specialists (Geriatric Specialists), Elder Life Nurse Specialists (Nurses), and  
79 trained volunteers (replaced by family members and family-paid caregivers). Dr. Sharon Inouye – the creator of the  
80 HELP model – has provided us with the HELP materials, including manuals and training videos, and will assist with  
81 training intervention and assessment staff. We will modify the HELP model with Dr. Sharon Inouye. She is assisting in  
82 the adaptation of the HELP model for conditions in the Chinese hospital.

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**Table 1 Risk Factors and Corresponding HELP Protocols\***

Risk Factors	HELP Protocol (interventionists)
<b>Cognitive impairment</b>	Orientation Protocol/Therapeutic Activities Protocol (Nurses, family members, family-paid caregivers)
<b>Sleep deprivation</b>	Sleep Enhancement Protocol (Nurses, family members, family-paid caregivers)
<b>Immobility</b>	Early Mobilization Protocol (Nurses, Physical therapy, family members, family-paid caregivers)
<b>Hearing/Vision impairment</b>	Hearing/Vision Protocol (Nurses, family members, family-paid caregivers)
<b>Dehydration/Constipation</b>	Fluid Repletion/Constipation Protocol (Nurses, Dietitian, family members, family-paid caregivers)
<b>Pain</b>	Pain Management Protocol (Nurses, Anesthetists, family members, , family-paid caregivers )
<b>Hypoxia</b>	Hypoxia Protocol (Nurses)
<b>Infection</b>	Infection Prevention Protocols (Nurses)
<b>Multiple Medications</b>	Psychoactive Medications Protocol (Nurses, clinical pharmacist)
<b>Nutrition</b>	Feeding Assistance ( Nurses, family members, family-paid caregivers)

88 \* Full protocols available at HELP website < [www.hospitalelderlifeprogram.org](http://www.hospitalelderlifeprogram.org)>.  
89 ELNS: Elder Life Nurse Specialist, ELS: Elder Life Specialist  
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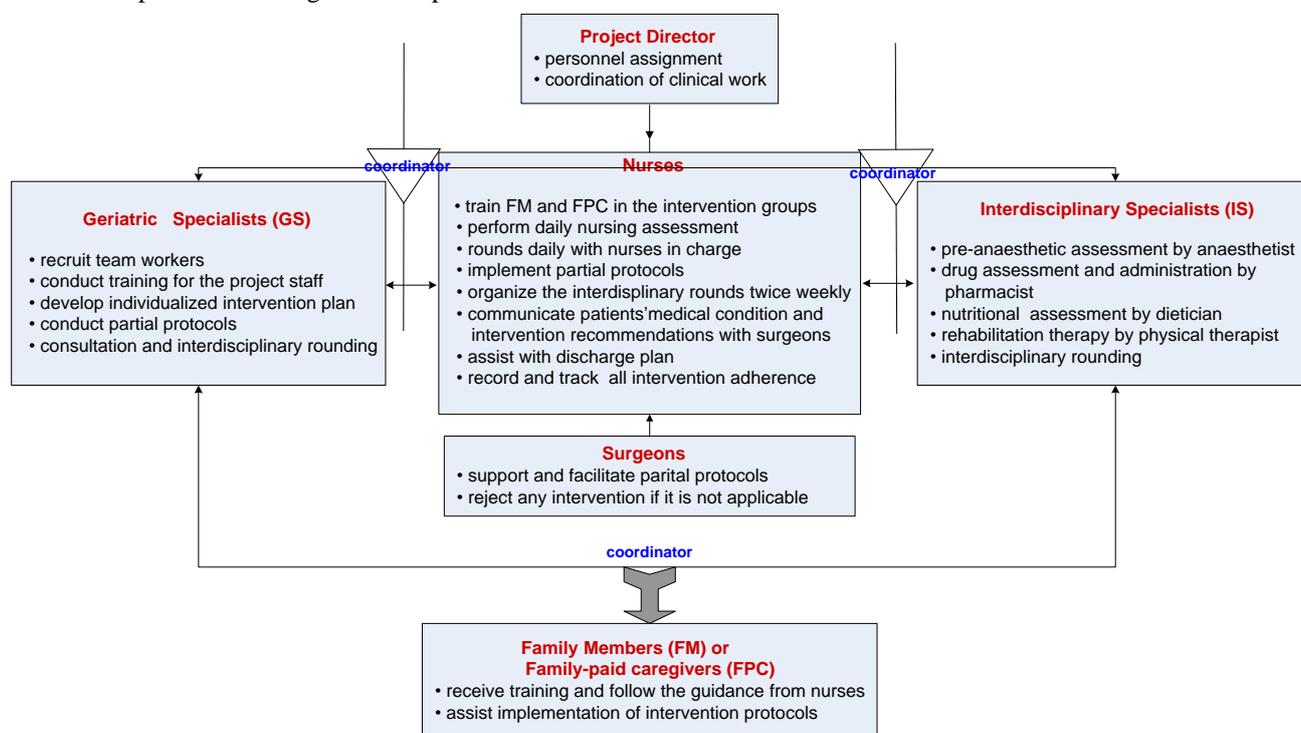
91 **(2) Interdisciplinary Team and Responsibilities**

92 The interdisciplinary team comprises 4 levels of team workers. The program director, on the first level, takes charge  
93 of the personnel assignment in the surgical units. The geriatric specialists, nurses, and interdisciplinary specialists on the  
94 second level, as primary members, are responsible for facilitating the progress of the project. The surgeons, work directly  
95 and frequently with the patients, and back up the implementation of the project. Also, they can reject any intervention if it  
96 is not applicable. The family members or family-paid caregivers, on the third level, help patients complete some  
97 interventions under the guidance and supervision of nurses (Figure 1).

- 98 • **Program Directors:** Two directors (Xiuying Hu, Ning Ning) of the nursing department. They are responsible for  
99 assigning personnel and coordinating clinical work in general.
- 100 • **Geriatric Specialists:** The experienced geriatricians (Jirong Yue, Ning Ge) take charge of recruiting team workers,  
101 training for project staff, developing individualized intervention plans, conducting partial protocols, and so on.
- 102 • **Nurses (also in control group):** These include advanced geriatric nurses and surgical nurses. They perform daily  
103 nursing assessment, making rounds daily with nurses-in-charge, implementing partial protocols, organizing  
104 interdisciplinary rounds twice weekly, training and guiding family-paid caregivers or family members, and so on.
- 105 • **Interdisciplinary Specialists:** The interdisciplinary team, including an anesthetist, clinical pharmacist, dietician,  
106 and physical therapist, provides consultation and support for the program. We add the anesthetist on the team  
107 because all patients will experience surgery and the assessment of anesthesia is especially important for elderly  
108 patients.
- 109 • **Surgeons (also in control group):** They support or reject any intervention if they think it is not necessary or  
110 applicable.
- 111 • **Family Members or Family-paid caregivers (also in control group):** Because there is no volunteer system in  
112 China, we recruit family-paid caregivers or family members to assist completion of some living protocols with the  
113 guidance of nurses.

- 114 ● **Coordinators:** We recruit 3 medical postgraduates to work as coordinators, who play an important role in  
115 facilitating effective work of the whole multidisciplinary team. They are responsible for contacting and coordinating  
116 team members among different levels whenever necessary, for example reporting to the program director, following  
117 the guidance of geriatric specialists, assisting organization of interdisciplinary consultation, and delivering  
118 information among different levels of personnel.

119 All the participants should commit to a minimum of 6 months' work in the program. They are required to receive  
120 intensive training, involving 16 hours of didactic and small group training, followed by approximately 16 hours of  
121 one-on-one practical training in the hospital units.



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**Figure 1 Structure of Interdisciplinary Team and Responsibilities**

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## 4.2 Evaluate the Effectiveness of the multidisciplinary intervention - A Cluster Randomized Trial

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### (1) Setting and Participants

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- **Setting:** Patients aged 70 and older undergoing elective surgery and planning admission to the hospital for at least 2 days are recruited from 24 nursing units on 6 surgical floors — gastric surgery, colorectal surgery, pancreatic surgery, biliary surgery, thoracic surgery, and thyroid surgery — in West China Hospital in Sichuan, China. West China Hospital is an academic medical hospital which has 4300 beds with more than 180,000 admissions and 106,000 operations per year.

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- **Inclusion criteria:** age  $\geq 70$  years; scheduled for elective surgery, with an anticipated hospital stay longer than 2 days.

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- **Exclusion criteria:** 1) delirium at baseline, as assessed with the Confusion Assessment Method (CAM); 2) a terminal condition with life expectancy of less than 6 months (e.g., metastatic cancer, pancreatic cancer, or receiving end-of-life care); 3) inability to perform cognitive tests because of severe dementia, legal blindness, severe deafness; 4) a documented history of schizophrenia or psychosis; and (5) a documented history of alcohol abuse or withdrawal within past 6 months and/or reporting more than 5 drinks per day for men (4 for women).

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### (2) Randomization and Concealment

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This is a two-step randomization process. (1) With standard computerized randomization techniques, the nursing units are randomly assigned as either t-HELP (intervention) or Usual Care (control). (2) After admission to the hospital, eligible participants are randomly assigned to t-HELP or Usual Care units according to the randomization codes previously put in sealed, opaque envelopes by a member of the hospital staff (LLG) who is not involved in the research project.

144 The randomization is performed by a member of the hospital staff who is not involved with the intervention and is  
 145 not on the research team. The random assignment is concealed from all until the initiation of the intervention. Given  
 146 the nature of the intervention, it is not feasible to blind participants and t-HELP personnel. However, outcome  
 147 assessors and statistical analysts are blinded. The hospital wards have similar decoration and facilities in both  
 148 groups. Blinding is strictly maintained and is monitored by inspectors (DM Xie). Throughout the trial, separation is  
 149 maintained between (a) the assessors and data management staff and (b) the project staff who deliver the  
 150 intervention. All investigators, staff, and study participants are masked to outcome measurements during the trial.  
 151 The patients and family are told to avoid discussing their intervention with assessors. The whole process of the  
 152 study is monitored by the IRB of West China hospital.

153 **(3) Intervention and control**

154 ● **Intervention**

155 The t-HELP intervention is provided by an interdisciplinary team who are not involved in the outcome assessment.  
 156 The intervention includes assessments and interdisciplinary intervention daily from the first day after surgery (or from  
 157 the day of return to the surgical inpatient ward) to the 7th day (or to the day of discharge if the length of stay is less than  
 158 7 days). The interdisciplinary intervention includes 3 universal protocols and 8 targeted protocols. The universal  
 159 protocols are orientation, therapeutic activities, and early mobilization. The targeted protocols are implemented according  
 160 to the presence of delirium-related risk factors, and include pain management, sleep enhancement, nutritional  
 161 assistance/aspiration prevention, fluid repletion/constipation management, vision/hearing enhancement, hypoxia  
 162 improvement, catheter-associated urinary tract infections (CAUTI) prevention, and multiple medications management  
 163 (Table 2).

164 **Table 2 Differences of t-HELP and Usual Care**

t-HELP	Usual Care
<b>Universal protocols for all patients regardless of risk factors</b>	<b>Usual Care</b>
<p><b>Orientation Protocol</b></p> <ul style="list-style-type: none"> <li>● Orienting communication</li> <li>● Current events: Patients with normal cognition receive orienting communication 1 time daily, patients with cognitive impairment receive orienting communication 3 times daily.</li> </ul> <p><b>Therapeutic Activities Protocol</b></p> <p>Cognitive stimulating activities based on patients' interests and functional status.</p> <p><b>Early Mobilization Protocol</b></p> <ul style="list-style-type: none"> <li>● Ambulation or active range-of-motion exercises.</li> <li>● Minimizing use of immobilizing equipment.</li> </ul>	<p><i>None</i></p> <p><i>None</i></p> <p>Healthy education on early exercise, without specific guideline or supervision</p>
<b>Targeted protocols for patients who had the risk factor</b>	<b>Usual Care</b>
<p><b>Pain Management Protocol</b></p> <p>Complementary therapies: relaxation techniques; backrub or hand massage.</p> <p><b>Sleep Enhancement Protocol</b></p> <ul style="list-style-type: none"> <li>● Environmental modifications</li> <li>● General sleep hygiene measures</li> <li>● Relaxation strategy</li> </ul> <p><b>Nutrition Assistance/Aspiration Prevention Protocol</b></p> <ul style="list-style-type: none"> <li>● Provide regular oral care</li> <li>● Aspiration precautions</li> <li>● Encourage assessment of correct placement of feeding tubes</li> </ul>	<p>Use analgesic following doctor's prescription</p> <p>General sleep hygiene and environmental modifications. Use hypnotics following doctor's advice if severe insomnia</p> <p>Oral care, die education; Patients with severe malnutrition will be</p>

at regular intervals consulted with dietitians.

**Fluid Repletion/Constipation Protocol**

- Early recognition of dehydration, push oral fluids as needed
- Encourage patient mobility and regular toileting; collaborate with dietician

Daily assessment and record of intake and output; encourage oral fluids.

**Vision/Hearing Enhancement Protocol**

- Visual aids and adaptive equipment
- Portable amplifying devices, and special communication techniques

None

**Hypoxia Improvement Protocol**

- Position patient with the head of the bed elevated to 30–45 degrees
- Oxygen administration strategy

Similar

**Catheter Associated UTI (CAUTI) Prevention Protocol**

- Monitor all patients with indwelling catheters daily, and advocate for removal at the earliest possible time
- Perform meatal care twice daily; Monitor for symptoms of urinary retention

Similar strategies for managing indwelling catheter, but no strict supervision on the time of indwelling catheter.

**Multiple Medications Management Protocol**

- Performs a past and current medication history review at time of enrollment
- Collaborates with geriatrician and pharmacist

None

165 Note: ©2003, 2018 Hospital Elder Life Program (HELP) as the original source of the intervention protocols.

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167 ● **Control: Usual Care**

168 Usual care consists of standard hospital care provided by surgeons, residents, and nurses. If needed, the  
169 interdisciplinary staff consultation (e.g., dietitians, physical therapists) is provided sometimes. The t-HELP staff will not  
170 provide services to participants assigned to the control group.

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172 **(4) Implementation procedure**

173 ● *Screening patients*

174 Six study nurses from the 6 surgical units screen eligible patients aged 70 and older from Monday to Friday each week,  
175 according to the inclusion criteria. After the introduction of aims and significance of the whole program by study nurses,  
176 patients decide to accept it or not themselves, and sign the consent form if they agree to participate. Meanwhile, study  
177 nurses fill in the registration form for the included patients.

178 According to the randomization grouping methods described above, if the patients randomly enter the intervention  
179 nursing groups, they are provided with the patients' information booklets, which include introduction and significance of  
180 the interdisciplinary intervention program (adapted HELP) for POD, especially the details of pain alleviation, sleep  
181 improvement, and relaxation skills. Also, study nurses explicate the details of the intervention protocols and some  
182 requirements for patients and their family members. Patients in the control group receive these materials by mail, after  
183 completion of the whole study, according to ethical principles of fairness and justice.

184 ● *Preoperative assessment*

185 For both groups: Once the operation schedule is confirmed, nurses start the comprehensive assessments for all patients.  
186 Nurses take charge of assessing delirium-related risk factors. Surgery data and some important biomarkers are collected  
187 from the Health Information System (HIS). Assessors take charge of assessing sociodemographics, medical history and

188 comorbidities, physical and cognitive status.  
189 For the intervention group: Geriatric specialists (GS) develop the individualized intervention plan for the patients in the  
190 intervention group, if delirium related risk factors are found before and after the surgery.

191 ● *Postoperative intervention*

192 For both groups: Nurses track and record intraoperative condition, including type of surgery and anesthesia, duration of  
193 surgery, blood transfusion, and transfer to ICU once the patients are transferred back to the surgical wards.

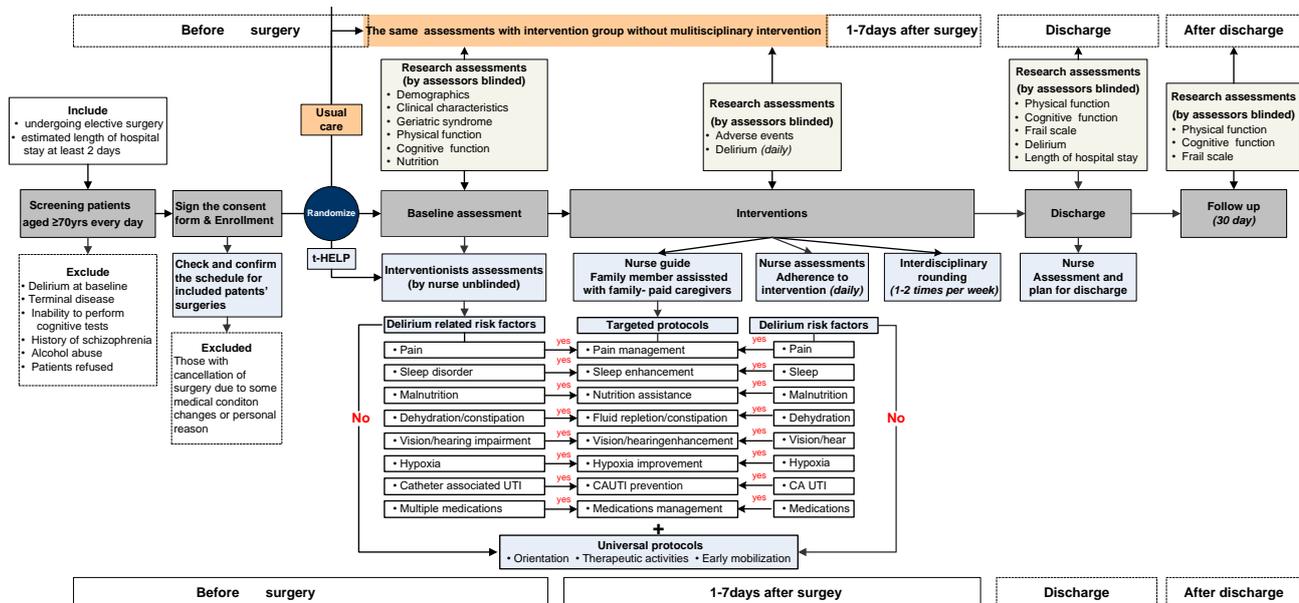
194 For the intervention group: 1) From the 1<sup>st</sup> day to the 7<sup>th</sup> day or to the day patients prepare to discharge, nurses develop or  
195 correct the intervention plan individually for the patients according to the postoperative assessment every day. 2) Three  
196 medical postgraduates, majoring in geriatrics or geriatric nursing, are trained as coordinators. They take charge of  
197 delivering the intervention plan to nurses and surgical doctors, as well as other interdisciplinary team staff if needed, in  
198 order to make everyone complete their responsibilities. 3) The Family-paid caregivers or family members carry out most  
199 of the protocols, such as feeding assistance, sleep enhancement, and relaxation. If there is any problem or controversy  
200 during the process of implementation, consulting with GS in time is required. 4) Nurses track and supervise the  
201 completion of intervention plan by asking patients directly, or their family members or family-paid caregivers indirectly,  
202 about if the patients really complete the planned intervention protocols and how they value it, in order to maintain  
203 adherence to the interdisciplinary intervention. 5) Coordinators assist nurses organizing the interdisciplinary consultation  
204 twice a week, in order to discuss some special cases in the intervention group and propose individualized solutions. 6)  
205 Nurses conduct individualized discharge assessment and plan for each patient.

206 For the control group: Patients in the control group are assessed the same way as the intervention group from the 1<sup>st</sup> day  
207 to the 7<sup>th</sup> day or to the day patients prepare to discharge, but following by usual care without individualized  
208 interdisciplinary intervention.

209 (5) Assessment and outcome measures

210 For the baseline assessment period, all patients undergo preoperative assessment the day before surgery, including an  
211 interview and medical record abstraction by the trained research team. Components of the patient interview consist of: 1)  
212 Sociodemographic data; 2) Medical history and comorbidities; 3) Cognitive status; 4) Depression status using the  
213 Geriatric Depression Scale; 5) Physical function; 6) Data about surgery and anesthesia. The intervention lasts up to 7  
214 days after surgery. Follow-up phone interview is done 1 month after discharge. The implementation procedure is shown  
215 in Figure 2.

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Figure 2. Implementation procedure

219 (6) Outcomes

220 ● *Primary Outcome*

221 The incidence of POD within 7 days after surgery during hospitalization, is assessed with the confusion assessment  
222 method (CAM).

223 ● ***Secondary Outcome***

224 a) Incidence of severe delirium, using the Memorial Delirium Assessment Scale (score $\geq$ 18), during hospitalization;

225 b) Changes in cognitive function, using the Short Portable Mental Status Questionnaire (SPMSQ), during  
226 hospitalization;

227 c) Changes in physical function, using Barthel Index as Activities of Daily Living (ADL) and Instrumental Activities  
228 of Daily Living (IADL), during hospitalization;

229 d) Length of Hospital Stay (LOS);

230 e) Adherence to intervention, during hospitalization;

231 f) Adverse events, during hospitalization;

232 g) Follow-up SPMSQ, ADL, IADL and FRAIL scale, at 30 days after discharge.

233 ● ***Outcome Measures***

234 The characteristic variables and outcomes are collected according to the following time schedule using the related tools  
235 (Table 3).

236 **Table 3 Overview of assessment during the study**

Domain	Indicators/Tools	Pre- <sup>a</sup>	After- Daily <sup>b</sup>	Discharge	30 days <sup>c</sup>
<b>Demographics</b>	Age, gender, marriage, education	X	-	-	-
<b>Clinical characteristics</b>	Principle diagnosis	X	-	-	-
	Disease severity (APACHE II)	X	-	-	-
	Charlson Comorbidity Index (CCI)	X	-	-	-
<b>Geriatric syndrome</b>	Vision, hearing, self-perceived sleep quality, depression (GDS-15)	X	-	-	-
<b>Physical function</b>	ADL (Barthel), IADL	X	-	X	X
<b>FRAIL scale</b>		X	-	X	X
<b>Nutrition (MNA-SF), BMI</b>		X	-	-	-
<b>Cognitive function</b>	SPMSQ	X	-	X	X
<b>Delirium</b>	CAM	-	X	X	-
	Severity (MDAS)	-	X	X	-
<b>Complications or adverse events</b>	Massive haemorrhage, nosocomial infection, fall, etc.	-	X	X	-
<b>Adherence to intervention</b>		-	X	-	-
<b>Length of hospital stay</b>		-	-	X	-

237 APACHE II, Acute Physiology, Age and Chronic Health Evaluation II score; GDS, Geriatric Depression Scale; ADL, Activities of Daily  
238 Living; IADL, Instrumental Activities of Daily Living; MNA-SF, Mini nutritional assessment short form; BMI, Body Mass Index; SPMSQ, Short  
239 Portable Mental Status Questionnaire; CAM, Confusion Assessment Method; MDAS, Memorial Delirium Assessment Scale.

240 <sup>a</sup> Before surgery; <sup>b</sup> Up to 7 days after surgery; <sup>c</sup> Follow-up with phone interview at 30 days after discharge.

241 **5. STATISTICAL PLAN**

242 **5.1 Sample size**

243 PASS 11 software (NCSS Statistical Software, Kaysville, UT) is used to calculate the sample size. Sample sizes of 96 in  
244 the intervention group and 96 in the control, are obtained by sampling 12 clusters (2 clusters from each of the 6 surgical  
245 floors) with 8 subjects each in both the intervention group and the control group, in order to achieve 80% power to detect

246 a between-group difference of -0.2 in the proportion of having POD or a relative risk of 0.43. The calculation is based on  
247 the assumption that the proportion of having incident POD is assumed to be 0.35 under the null hypothesis for both  
248 groups, and 0.15 for the intervention group under the alternative hypothesis<sup>15,16</sup>. The test statistic used is the two-sided  
249 Z-Test (Unpooled) with a 0.05 Type I error and a conservative intracluster correlation of 0.05. By allowing for 20%  
250 attrition, the total sample size is estimated to be 230.

## 251 5.2 Statistical analysis

252 We used SPSS 19.0 (IBM) for all statistical analyses, with two-tailed tests where appropriate, and *P* values < .05 were  
253 considered statistically significant. Participants' baseline characteristics were compared using Student's *t* test, or, if the  
254 variables were not normally distributed, the Mann-Whitney *U* test. Chi-square or Fisher's exact test was used for  
255 categorical or ranked variables.

256 To account for the hierarchical structure of the data, i.e., study participants nested within nursing units, the multilevel  
257 binomial regression model was used to estimate the effect of treatment on primary outcome (delirium incidence).  
258 Generalized estimating equation was built to examine the association between intervention and secondary outcomes  
259 (ADL, IADL, the FRAIL scale, and SPMSQ before surgery, at discharge, and 30 days after discharge). The cluster or type  
260 of surgery were treated as random-effect factor in the models. Within the multilevel binomial regression analysis, two  
261 nested models were fitted. First, an unadjusted model was fit without confounding variables while treating nursing  
262 unit/surgical floor as a random effect. Next, a fully adjusted model (cluster or surgery level) was fit by including age and  
263 sex to study the independent effect of treatment on delirium incidence.

264 Intention-to-treat (ITT) analyses (all randomized patients) and per-protocol (PP) analyses (excluding all subjects who  
265 withdrew or were lost to follow-up) were used for the primary outcome, delirium incidence. The number needed to treat  
266 (NNT) was calculated when *P* < .05. For secondary outcomes, only PP analysis was performed. Adherence to the  
267 intervention was quantified as the proportion of days with complete adherence. All adverse events were evaluated by the  
268 principal investigator and an expert panel to determine whether they were intervention related.

269 We conduct sensitivity analysis to verify the robustness of our findings by conservatively recoding missing values due  
270 to study withdrawals as "delirium positive" for the intervention group or "delirium negative" for the control group.

## 271 6. DATA MANAGEMENT AND MONITORING

272 We use paper forms for local data collection. Epilinfo software is used for data entry and management. To ensure accuracy,  
273 two research assistants input the data independently, for double entry. They independently use Epilinfo to check each item  
274 against the original records for verification. We randomly select 10 cases reported in the database, and compare to ensure  
275 consistency with the original data.

276 Internal management of data includes daily collection forms, morning reporting of scheduling information, and  
277 development of an interim project database for preliminary analyses. After the data are verified and cleaned, we perform  
278 data freezes. No changes are made to the frozen data file. During all preliminary analyses, the analysts and investigators  
279 remain blinded to results linked to the main study hypotheses. External management of data is conducted by the Data and  
280 Safety Monitoring Board (DSMB) on a quarterly basis, including study-related adverse events, data quality, completeness,  
281 and timeliness, adherence to the protocol and dropouts, and so on.

## 282 7. ADVERSE EVENTS AND MONITORING

283 The trial protocol is approved by the Institutional Review Boards at West China Hospital and conducted according to  
284 Good Clinical Practice guidelines. Full informed consent is obtained from all trial participants.

285 Assessors monitor adverse events on a daily basis. The intervention team also monitors the adverse events on rounds  
286 and by chart review. Any reported adverse events are categorized as related or not related to the study intervention by the  
287 investigators. These events are reported in real time to the principal investigators for confirmation and review of grading.  
288 Regardless of causality, all unanticipated serious adverse events, are reported to the IRB for review. Any adverse events  
289 that occur are discussed at team meetings with the project leadership team, on an ongoing basis, to make a decision  
290 regarding overall safety of the study, whether subject participation should be stopped, and plans for communicating  
291 safety concerns to the local healthcare providers. The conclusions and recommendations are summarized in quarterly  
292 reports by the project manager. Our study statistician is blinded to subject randomization when analyzing the safety data.

293 Also, safety data are reviewed by the DSMB every 3 months. Our principal investigators and project manager accept  
294 the recommendations from the DSMB, and decide to modify our study protocol if required, according to the  
295 recommendations.

## 296 8. QUALITY CONTROL

### 297 8.1 Bias

298 Before starting the trial, all participants should receive training as required, including theoretical knowledge and  
299 assessment skills for delirium, in order to maintain high consistency of delirium assessment in all included units. To  
300 minimize error and maximize reliability, the project director performed the following: (1) providing intensive training to  
301 the assessors including a review of the t-HELP procedure outlined in a short booklet and video to ensure high inter-rater  
302 reliability ( $Kappa \geq 0.9$ ); (2) monitoring the assessors' administration of questionnaires with older patients who were not  
303 part of the study; (3) meeting with the assessors every week to review procedures and check the quality of the assessment  
304 for the primary outcome.

### 305 8.2 Blinding

306 It is impossible to blind interdisciplinary team workers and patients to allocation, because the professional workers know  
307 which patients need interdisciplinary intervention, and also patients' cooperation is important for adherence to the  
308 intervention. However, outcome assessors and the statistical expert do not have access to the group assignment, nor to the  
309 study hypothesis.

### 310 8.3 Contamination

311 Due to different nursing groups taking charge of different rooms, patients from the intervention group or control group  
312 stay in different rooms. Besides, research personnel are divided into two groups, one serving the intervention group  
313 patients, and another serving the control group patients. Besides, they do not communicate about patients' conditions and  
314 intervention protocols between the two groups within the research period. The personnel assignment remains until the  
315 end of the program to avoid contamination due to personnel exchange.

### 316 8.4 Adherence

317 As adherence to the interdisciplinary intervention is important for assuring the effectiveness of adapted HELP for the  
318 intervention group, nurses supervise adherence and record the actual completion of the intervention by comparing it with  
319 the intervention plan, and determine reasons for non-completion.

## 320 9. APPROVALS AND HUMAN SUBJECT PROTECTION

321 The study is carried out in accordance with the Helsinki Declaration. The study is approved by the Institutional Review  
322 Boards of West China Hospital. The trial registration number is ChiCTR-POR-15006944 (<http://www.chictr.org.cn/>). At  
323 the beginning of all contacts with patients, the purpose of the study is explained, participants' right to refuse to answer all  
324 or some of the questions is described, and written consent is obtained from all trial participants or their next of kin or  
325 legal representatives before initiating the trial. All information provided to subjects contains the following elements: title  
326 of study, name of investigator and affiliation, purpose of study, description of procedures, duration of participation, as  
327 well as expected risks, inconvenience, and benefits. Mechanisms are in place to ensure the safety of patients when  
328 implementing t-HELP interventions.

329

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