

## Supplementary Online Content

Laitio R, Hynninen M, Arola O, et al. Effect of inhaled xenon on cerebral white matter damage in comatose survivors of out-of-hospital cardiac arrest: a randomized clinical trial. *JAMA*. doi:10.1001/jama.2016.1933

### **Trial protocol**

This supplementary material has been provided by the authors to give readers additional information about their work.

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3 **CLINICAL STUDY PROTOCOL**  
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6 **Study title:** Effect of Xenon, in Combination with Therapeutic  
7 Hypothermia, on the Brain and on Neurological Outcome  
8 following Brain Ischemia in Cardiac Arrest Patients (EudraCT  
9 number 2009-009505-25)  
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13 **Date:** 24/02/2014

14 **Version:** 8

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16 **Study Code:** Xe-Hypotheca

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18 **Study drug:** Xenon

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20 **Phase:** II  
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27 This study will be conducted in accordance with GCP.  
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32  
33

34 **Principal Investigator:** Timo Laitio, M.D. Ph.D  
35 **Contact address:** Perioperative Services, Intensive Care Medicine and Pain Management,  
36 Turku University Hospital, University of Turku, P.O.X. 52, FIN-20521,  
37 Turku, Finland  
38

39 **Institutes:** Perioperative Services, Intensive Care Medicine and Pain Management,  
40 Turku University Hospital, University of Turku, P.O.X. 52, FIN-20521,  
41 Turku, Finland  
42

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44 **Sponsor:** An investigator-initiated clinical drug study  
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60  
61

**SIGNATURES**

Approved by:

Principal investigator:

\_\_\_\_\_  
Timo Laitio

Date: \_\_\_/\_\_\_/\_\_\_

Biostatistician:

\_\_\_\_\_  
Tero Vahlberg

Date: \_\_\_/\_\_\_/\_\_\_

62

63 **LIST OF INVESTIGATORS AND CONTACT INFORMATION**

64

65 **Turku University Hospital (Amendment 6.1 see Summary)**

66 Juhani Airaksinen Professor, Chief physician, Cardiological Unit, Department of Internal  
67 Medicine, Turku University Hospital, POB 52, 20521 Turku; e-mail:  
68 juhani.airaksinen@tyks.fi; tel. 02-3130005

69

70 Olli Arola Staff physician, EDIC, Specialist for internal medicine Department of  
71 Anesthesiology, Intensive Care, Emergency Care and Pain Medicine,  
72 Turku University Hospital, POB 52, 20521 Turku; e-mail:  
73 olli.arola@tyks.fi; 02-3130499

74

75 Olli Eskola Chemist, Turku PET Centre Turku PET centre, Turku University  
76 Hospital, POB 52, 20521; e-mail; olli.eskola@abo.fi; tel. 02-3335042  
77 and 040-5689757

78 Juha Grönlund Staff Intensivist, M.D., PhD, EDIC, Department of Anesthesiology,  
79 Intensive Care, Emergency Care and Pain Medicine, Turku University  
80 Hospital, POB 52, 20521 Turku; e-mail: juha.gronlund@tyks.fi

81

82 Sarita Forsback Chemist, Turku PET Centre Turku PET centre, Turku University  
83 Hospital, POB 52, 20521; e-mail; sarita.forsback@abo.fi; tel. 3133552  
84 and 050-5444807,

85

86 Outi Inkinen Staff physician, Intensive Care Unit, Deptment of Internal Medicine,  
87 Turku University Hospital, POB 52, 20521 Turku; e-mail:  
88 outi.inkinen@tyks.fi; tel. 02-3130510

89

90 Timo Laitio M.D, PhD, Staff cardiac anaesthesiologist, Department of  
91 Anesthesiology, Intensive Care, Emergency Care and Pain Medicine,  
92 Turku University Hospital, POB 52, 20521 Turku; e-mail:  
93 timo.laitio@tyks.fi and timo.laitio@elisinet.fi; tel. 02-3130979

94

95 Ruut Laitio M.D, PhD, Staff anaesthesiologist Department of Anesthesiology,  
96 Intensive Care, Emergency Care and Pain Medicine, Turku University  
97 Hospital, POB 52, 20521 Turku; e-mail: ruut.laitio@tyks.fi; tel. 02-  
98 3130606

99

100

101 Jyrki Liesivuori Professor, Finnish Institute of occupational health and Department of  
102 Pharmacy, Drug Development and Therapeutics, University of Turku,  
103 Lemminkäisenkatu 14-18B, FI-20520, Turku, Finland; e-  
104 mail;jyrki.liesivuori@ttl.fi; tel:

105

106 Mervyn Maze Professor, Department of Anesthesia and Perioperative Care, University  
107 of California San Francisco, USA

108 Heikki Minn Acting Professor in PET Centre and Associate Professor in Clinical  
109 Oncology, Turku PET centre, Turku University Hospital, POB 52,  
110 20521 Turku; e-mail: heikki.minn@tyks.fi; tel. 02-3130149

111  
112 Klaus Olkkola Professor and Chairman Department of Anesthesiology, Intensive Care,  
113 Emergency Care and Pain Medicine, POB 52, 20521 Turku University  
114 Hospital, Turku; e-mail: klaus.olkkola@tyks.fi and  
115 Klaus.olkkola@utu.fi; tel. 02-3131960  
116  
117 Riitta Parkkola Associate Professor, Neuroradiologist, Department of Radiology, Turku  
118 University Hospital, POB 52, 20521 Turku; e-mail:  
119 riitta.parkkola@tyks.fi; tel. 02-3130148  
120  
121 Sami Virtanen Neuroradiologist. PhD student, IRad Oy, Turku. **(Amendment 3.3 see**  
122 **Summary)**  
123  
124  
125 Mikko Pietilä Staff cardiologist, M.D, PhD, Cardiological Unit, Department of Internal  
126 Medicine, Turku University Hospital, POB 52, 20521 Turku; e-mail:  
127 mikko.pietila@tyks.fi; tel. 02-3130511  
128  
129 Juha Rinne Professor of neurotransmission, Vice-director of Turku PET Centre  
130 Turku PET centre, Turku University Hospital, POB 52, 20521 Turku; e-  
131 mail: juha.rinne@tyks.fi; tel. 02-3131866  
132 Eero Rissanen M.D., PhD, PET Centre Turku PET centre, Turku University Hospital,  
133 POB 52, 20521 Turku; e-mail: eero.rissanen@tyks.fi; tel.02-3131879  
134  
135 Risto O. Roine Professor, Chief Physician, Department of Neurology, Turku University  
136 Hospital, POB 52, 20521 Turku; e-mail: risto.roine@tyks.fi and  
137 risto.roine@luukku.com; tel. 02-3130758  
138  
139 Timo Roine iMinds-Vision Lab, Department of Physics, University of Antwerp,  
140 Belgium; University of Antwerp (CDE) Universiteitsplein 1, N.1.16  
141 B-2610 Wilrijk, Belgium; timo.roine@uantwerpen.be  
142  
143 Antti Saraste M.D., PhD, Cardiologist, Turku PET Centre and Cardiology, Turku  
144 University Hospital; Turku University Hospital, POB 52, 20521 Turku;  
145 e-mail: antti.saraste@tyks.fi; tel. 040-7022756.  
146  
147 Jani Saunavaara PhD, MRI Physicist, Department of Radiology, Turku University  
148 Hospital, POB 52, 20521 Turku; e-mail: riitta.parkkola@tyks.fi; tel. 02-  
149 3130148 **(Amendment 2.7 see Summary)**  
150  
151  
152 Harry Scheinin Associate Professor, Department of Pharmacology, University of Turku,  
153 Kiinanmyllynkatu 10, 20014 Turku University; harry.scheinin@utu.fi;  
154 tel. +358400825599  
155  
156 Olof Solin Professor of Radiochemistry, University of Turku, Turku PET centre,  
157 Turku University Hospital, POB 52, 20521 Turku; e-mail:  
158 olof.solin@abo.fi; tel. 040-5081485  
159

160	Emmi Ylikoski	M.D. PhD, Staff anaesthesiologist, Department of Anesthesiology, Intensive Care, Emergency Care and Pain Medicine, Turku University Hospital, POB 52, 20521 Turku; e-mail: emmi.ylikoski@tyks.fi;
161		
162		
163		
164	Tero Vahlberg	Head of the Department of Biostatistics, University of Turku, Lemminkäisenkatu 1, 20014 Turku University; e-mail: tero.vahlberg@utu.fi; tel. 02-3338443
165		
166		
167		
168		
169	Research sites	Intensive Care Unit, Department of Anesthesiology, Intensive Care, Emergency Care and Pain Medicine, Turku University Hospital, Turku; tel. 02-3130950
170		
171		
172		
173		Turku PET centre, Turku University Hospital, POB 52, 20521 Turku
174		
175		Department of Radiology, Turku University Hospital, POB 52, 20521 Turku
176		
177		
178	Laboratory	TYKSLAB, POB 52, 20521 Turku
179		
180		
181	Monitoring	Lena Nybond, Director, Turku CRC, PL 52, 20521 Turku; Tel. (02) 313 3588, 050 438 3707, e-mail: turkucrc@tyks.fi and lena.nybond@tyks.fi
182		
183		
184	Safety Committee	Organized by Turku CRC
185		
186	<b>Helsinki University Hospital (Amendment 5.1 see Summary)</b>	
187	Marja Hynninen	Director of the Intensive Care Unit (Teho 20), Meilahti, PL 340, 00029 HUS, associate professor, M.D., PhD, marja.hynninen@hus.fi, tel.0504271731
188		
189		
190	Johanna Wennervirta	M.D., PhD, Staff intensivist, Teho20 Meilahti, PL340, 00029 HUS johanna.wennervirta@hus.fi; tel. 050 4271724
191		
192	Minna Bäcklund	M.D., PhD, Staff intensivist, Teho20 Meilahti, PL340, 00029 HUS minna.backlund@hus.fi, tel. 050 4271740
193		
194	Päivi Silvasti	M.D., Staff intensivist, Jorvin Sairaalan Teho, PL 800, 00029 HUS paivi.silvasti@hus.fi; tel. 050 4286274
195		
196	Eija Nukarinen	M.D., Staff intensivist, Teho20 Meilahti, PL 340, 00029 HUS eija.nukarinen@hus.fi; tel.
197		
198		
199	Marjaana Tiainen	M.D., PhD, Staff neurologist, Department of Neurology, PL340, 00029 HUS, tel. 09-47172662 marjaana.tiainen@hus.fi
200		
201	Leena Valanne	Associate professor, PhD, M.D. HUS Röntgen, Department of Neuroradiology; leena.valanne@hus.fi
202		
203	Juha Martola	PhD, M.D., Staff neuroradiologist, HUS Röntgen, Department of Neuroradiology; tel.
204		
205	Heli Silvennoinen	PhD, M.D., Staff neuroradiologist, HUS Röntgen, Department of Neuroradiology; tel.
206		
207	Veli-Pekka Harjola	Department of Cardiology, PL 800, 00029 HUS; veli-pekka.harjola@hus.fi
208		
209	Jussi Niiranen	Department of Cardiology, PL 800, 00029 HUS; jussi.niiranen@hus.fi

210 Kirsi Korpi Department of Cardiology, PL 800, 00029 HUS; kirsi.korpi@hus.fi  
211 Marjut Varpula Department of Cardiology, PL 800, 00029 HUS; marjut.varpula@hus.fi  
212 Laboratory HUSLAB PL340, 00029 HUS  
213 Monitoring Satu Nikander, Study Coordinator, tel.+358 40 847 0008; e-mail: ext-satu.nikander@  
214 hus.fi

215 Safety Board Chair Päivi Rautava, Turku CRC

216

217

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300 **SYNOPSIS**

<b>Name of the Sponsor:</b> An investigator-initiated clinical drug study		
<b>Name of the finished product:</b> LENOXe™		
<b>Name of the active ingredient:</b> Xenon (Xe)		
<b>Study title:</b> Effect of Xenon, in Combination with Therapeutic Hypothermia, on the Brain and on Neurological Outcome following Brain Ischemia in Cardiac Arrest Patients		
<b>Study code:</b> Xe-Hypotheca		
<b>Investigators and study centres:</b> Timo Laitio (PI), Juhani Airaksinen, Olli Arola, Olli Eskola, Juha Grönlund, Sarita Forsback, Outi Inkinen, Ruut Laitio, Jyrki Liesivuori, Mervyn Maze, Heikki Minn, Klaus Olkkola, Riitta Parkkola, Jani Saunavaara, Sami Virtanen, Antti Saraste, Mikko Pietilä, Juha Rinne, Risto Roine, Harry Scheinin, Olof Solin, Tero Vahlberg, Emmi Ylikoski,; Department of Anaesthesiology, Intensive Care, Emergency Care and Pain Medicine, Turku PET Centre, Department of Radiology, POB 52, 20521 Turku Turku University Hospital, Turku, Finland		
<b>Helsinki:</b> Marja Hynninen (PI), Johanna Wennervirta, Minna Bäcklund, Päivi Silvasti, Eija Nukarinen, Marjaana Tiainen, Leena Valanne, Juha Murtola, Heli Silvennoinen, Veli-Pekka Harjola, Jussi Niiranen, Marjut Varpula, Kirsi Korpi; Department of Anesthesiology and Intensive Care, Dept. of Neurology, HUS Rontgen, Dept. of Cardiology, Meilahden sairaala, Helsinki University Hospital		
<b>Development phase:</b> II		
<b>Objectives:</b> <ol style="list-style-type: none"><li>1) To show a significant reduction in the degree of severity of the ischemic brain injury in the study treatment group as compared with the control group;</li><li>2) To explore the underlying mechanisms for the synergistic neuroprotective interaction of xenon and hypothermia.</li><li>3) To correlate these findings with neurological outcome to determine surrogate markers of favourable clinical outcome at six months.</li></ol>		
<b>Methodology:</b> Study design is an open randomized two armed parallel follow-up study. The study treatment or the control treatment will be administered to patients who have suffered a global ischemic brain injury after an out-of-hospital cardiac arrest. Various magnetic resonance and PET imaging techniques of the brain will be undertaken to evaluate the effects of the treatments on cerebral hypoxia, neuronal loss and mitochondrial dysfunction. Neurological outcome will be evaluated at three and at six months after cardiac arrest.		
<b>Sample size:</b> 110 out-of-hospital cardiac arrest patients; based on a power analysis		

**Main criteria for inclusion:**

**Inclusion criteria:**

1. Ventricular fibrillation or non-perfusive ventricular tachycardia as initial cardiac rhythm.
2. The 1<sup>st</sup> attempt at resuscitation by emergency medical personnel must appear within 15 minutes after the collapse.
3. The cause for collapse should be considered primary as cardiogenic and the return of spontaneous circulation (ROSC) should have been gained in 45 minutes after the collapse
4. Patient should be still unconscious in the emergency room.
5. Age: 18 – 80 years
6. Obtained consent within 4 hours after arrival to the hospital

**Exclusion criteria:**

1. Hypothermia (< 30°C core temperature)
2. Unconsciousness before cardiac arrest (cerebral trauma, spontaneous cerebral haemorrhages, intoxications etc.)
3. Response to verbal commands after the return of spontaneous circulation and before randomization
4. Pregnancy
5. Coagulopathy
6. Terminal phase of a chronic disease
7. Systolic arterial pressure < 80 mmHg or mean arterial pressure < 60 mmHg for over 30 min period after ROSC
8. Evidence of hypoxemia (arterial oxygen saturation < 85%) for > 15 minutes after ROSC and before randomization.
9. Factors making participation in follow-up unlikely
10. Enrolment in another study

**Investigational drug/treatment, dose and mode of administration:** 40% concentration of inhaled xenon in oxygen/air combined with mild hypothermia treatment (MHT) with target core temperature of 33-34 °C.

**Comparative drug(s)/placebo/treatment, dose and mode of administration:** MHT with target core temperature of 33-34 °C. MHT is defined as the time of maintaining target core temperature.

**Duration of treatment:** MHT duration is 24 hours. Xenon treatment may start before or after the target core temperature has been achieved, but it must start within 4 hours after arrival to the hospital. Xenon treatment will be continued until completion of MHT. MHT is followed by a controlled rewarming (0.5 °C/hour).

**Assessments:**

**Assessments of efficacy:**

1. *First magnetic resonance imaging (MRI) of the brain will be performed within 16 hours after completion of rewarming, the 2<sup>nd</sup> MRI 10±2 days and the 3<sup>rd</sup> 3-3.5 years after OHCA: (Amendment 6.2 and 6.3 see Summary)*
  - a) Brain tissue anatomy: T2, FLAIR and 3DT1 images
  - b) Depth and extent of the ischemia: Mean diffusivity value of diffusion tensor proton magnetic resonance imaging (DTI)
  - c) White matter tract degeneration of the corresponding tracts of the infarcted area: Fractional Anisotropy value of DTI
  - d) Extent of hypoperfusion of the brain tissue: Perfusion MRI Data

- e) Cerebral metabolic dysfunction: Proton magnetic resonance spectroscopy
- f) Volumetric changes of the gray matter, white matter, and cerebro-spinal fluid spaces: Advanced post-processing method
- g) Estimation of hemosiderin and local neurodegeneration: Susceptibility-weighted imaging (SWI), will be used only in the 3<sup>rd</sup> MRI (**Amendment 6.4 see Summary**)

2. Brain PET will be performed 5±2 days after OHCA to image microglia cell activation by using C11-PK11195 PET positron emission tomography (PET). (not to be performed in Helsinki)

3. *Autonomic nervous system*: Heart rate variability and baroreceptor function;

4. *Cardiac function*: Transthoracic echocardiography will be performed before, during and after treatments in all feasible patients.

5. *Biochemical assessment*: cardiac enzyme release (P-TnT, P-Ck-Mb), neuron specific enolase (P-NSE), D-lactate and plasma catecholamines before treatment and at 24h, at 48h and at 72h after OHCA; urine catecholamines during MHT.

6. *Neurological evaluation*: at 3 and 6 months after cardiac arrest

7. *Long-term outcome*: 3-3.5 years after cardiac arrest (**Amendment 6.5 see Summary**)

**Assessment of safety**: An independent safety committee and a monitor will be involved throughout the trial. A brain CT-scan is performed for all patients before initiation of any treatments to exclude a possible cerebral origin of the cardiac arrest. The subjects will be continuously monitored according to standard care of patients in ICU (i.e. continuous invasive blood pressure, continuous 2-channel ECG, pulse oximetry, central venous pressure, body temperature, blood gas assessment at least once per hour, continuous end-tidal CO<sub>2</sub> %, ventilatory frequency, tidal volume, minute volume, inspiratory O<sub>2</sub> %, end-tidal O<sub>2</sub> % and xenon %). Also, the patients will be treated in accordance with highest standards of intensive care including a routine battery of daily laboratory tests.

**Statistical methods**: 1) Basic statistical tests (t-tests, Mann-Whitney, Chi square, etc); 2) Survival analysis methods; 3) An analysis of variance for repeated measurements; 4) Linear mixed models

Significance level of 0.05 and an estimation of 95 % confidence intervals will be used in the statistical analyses.

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## 307 1 INTRODUCTION

### 308 1.1 Hypothermia treatment – State-of-the-Art

309 In the case of sudden cardiac arrest, patients are confronted with a global ischemia to the brain. At a  
310 rate of sudden cardiac arrest of approximately 1/1,000 population/year (1), the annual incidence  
311 across Europe is approximately 460,000 per year. Several studies using historical controls (2) as  
312 well as two randomized controlled trials (3,4) have demonstrated that the use of therapeutic  
313 hypothermia following cardiac arrest improves neurological outcome in patients with witnessed  
314 arrests. The robustness of these findings is evidenced by the fact that the time to achieve mild  
315 hypothermia varied between 2 (4) and 8 (3) hours. The Hypothermia After Cardiac Arrest (HACA)  
316 trial reported an absolute increase in rates of favourable neurological outcome of 16% (relative  
317 increase 41%) while an absolute increase of 23% (relative increase 88%) was reported in the second  
318 study. A meta-analysis concluded that the number needed to treat to achieve one additional patient  
319 with good neurological outcome was 6 (5).

320

321 In 2005 European Resuscitation Council published Advance Cardiac Life Support guidelines that  
322 included the recommendation for the use of hypothermia after out-of-hospital cardiac arrest  
323 (OHCA). If cardiac resuscitation is successful, the state-of-the-art is to actively cool these patients  
324 into a state of moderate hypothermia for 24 hours in an intensive care unit (ICU). Currently,  
325 mortality at six months after cardiac arrest in hypothermia treated patients is 41 % and 55 % in the  
326 normothermia treated patients. At six months, 55 % of the hypothermia treated patients and 39 % of  
327 the patients treated with normothermia have a favorable neurologic outcome.

328

329 Although therapeutic hypothermia does provide a statistically significant improvement in OHCA  
330 patients, the benefit is only moderate (5). Even if the hypothermia treatment would be universally  
331 adopted within Europe at least 120,000 patients each year would still have an unfavourable  
332 neurological outcome associated with unbearable suffering at an individual level and major  
333 economical consequences. Thus, strategies designed to increase the efficacy of therapeutic  
334 hypothermia are needed.

335

### 336 1.2 Xenon and neuroprotection

337 A noble gas, xenon (Xe), exists as a monoatomic gas. Although it is referred to as “inert,” xenon’s  
338 low ionization potential permits its electron shell to be polarized by surrounding molecules, thereby  
339 inducing a dipole that facilitates biologic interactions including binding to proteins (6). Xenon has  
340 been shown to be a non-competitive antagonist of the N-methyl-D-aspartate (NMDA) subtype of  
341 the glutamate receptor (7). Since Mervyn Maze and his study group discovered the neuroprotective  
342 effect of xenon in in vitro studies (8), numerous additional studies have demonstrated the  
343 neuroprotective property of xenon in both in vitro and in vivo (i.e. at least in 3 species) models of  
344 acute neuronal injury (8-16). Their more recent preclinical studies showed neuroprotective effects  
345 of xenon in various in vivo models of acute neuronal injury involving administration of excitotoxins  
346 to rats (9), cardiopulmonary bypass (CPB) in rats (9), middle cerebral artery occlusion in mice (10),  
347 cardiac arrest in pigs (15), and hypoxic-ischemia in neonatal rats (13,16). In the latest study by Fries  
348 et al., cardiac arrest was induced for 24 pigs followed by an 8 minute period without mechanical  
349 ventilation and resuscitation. Thereafter, the pigs were resuscitated, and 1 hour after successful  
350 resuscitation, they were treated with 30/70 % oxygen/air or 70 % xenon for 1 or 5 hours. In this  
351 study with clinically relevant setup, xenon conferred neurohistopathologic protection (e.g.

352 significantly less perivascular inflammation in the putamen and caudate nucleus and less necrotic  
353 neurons in the putamen) and transient improvement in functional outcome.

354

355 Recent studies have begun to identify plausible sites of action for xenon's neuroprotective effect.  
356 Xenon is a potent activator of the two-pore domain K<sup>+</sup> channel, which has been recently shown to  
357 play an important role in neuroprotection (17). Xenon has little effect on the  $\alpha$ -amino-3-hydroxy-5-  
358 methyl-4-isoxazolepropionate (AMPA) receptor at physiological concentrations of glutamate (18).  
359 At higher glutamate concentrations (as occurs during ischemic injury) xenon blocks both kainate as  
360 well as AMPA receptors thereby preventing the depolarization phase of the pathogenic mechanism  
361 (19). Xenon also induces the expression of hypoxia inducible factor 1 $\alpha$  (HIF 1 $\alpha$ ) and its downstream  
362 effectors erythropoietin (EPO) and vascular endothelial growth factor (VEGF), both of which can  
363 interrupt the apoptotic pathway (20). Trophic factors including brain derived neurotrophic factor  
364 (21) and activity dependent neuroprotective protein (ADNP) that are induced by xenon are capable  
365 of inhibiting apoptosis and allowing cytoskeletal repair to maintain cellular morphology. Recently,  
366 it has been shown that xenon is able to decrease global and regional cerebral metabolic rate of  
367 glucose (MRGlu) using [18F]-labeled fluorodeoxyglucose ([18F]FDG) as a tracer for positron  
368 emission tomography (PET) in healthy human volunteers (22-24). This distinguishes xenon from  
369 other general anesthetics that block the NMDA subtype of the glutamate receptor such as ketamine  
370 (25) in which an increase in MRGlu was noted, and N2O (26).

### 371 **1.3 Xenon and hypothermia**

372 Multiple mechanisms have been identified by which hypothermia protects neurons after ischemia  
373 (27). Pathological mechanisms initiated by ischemia and reperfusion such as neuroexcitatory cascade  
374 (Ca influx, accumulation of glutamate, and a release of its co-agonist glycine), apoptosis,  
375 inflammation, metabolic effects, loss of ion gradients, increased free radicals are mitigated by  
376 hypothermia. A remarkable efficacy of hypothermia to be able to improve neurological outcome  
377 after global ischemia may be a result of its ability to affect multiple systems simultaneously.

378

379 Recently, Mervyn Maze with his study group demonstrated in an *in vitro* study that a combination  
380 of xenon and hypothermia causes a synergistic neuroprotective interaction which was confirmed by  
381 isobolographic analysis (16). They also showed *in vivo* that a combination of xenon (20%; an  
382 ineffective dose alone) and hypothermia (35 °C; also ineffective on its own) administered 4 h *after*  
383 hypoxic-ischemic injury in neonatal rats provided synergistic neuroprotection assessed by  
384 hemispheric weight, by morphological criteria, and by functional neurological studies 30 days after  
385 the injury (16). In a more recent *in vivo* study, injured neonatal rats were exposed to 20% xenon for  
386 two hours, starting 3 hours after 90 min of post-injury treatment with hypothermia at 35°C; this  
387 combination of interventions, even when applied asynchronously, still significantly decreased  
388 infarct size after hypoxemic-ischemic injury (28). A very recent *in vivo* study with a model of  
389 hypoxic/ischemic brain injury in neonatal rats further confirms the additive neuroprotective effect  
390 of the combination (Xe 50%+Hypothermia 32°C) by showing that the functional improvement was  
391 almost complete, was sustained long-term, and was accompanied by greatly improved  
392 histopathology (29). The protective mechanism of the combination, in both *in vitro* and *in vivo*  
393 models, appears to converge on the apoptotic pathway (16). The prosurvival (anti-apoptotic) protein  
394 Bcl-xL is upregulated by the synergistic combination while expression of Bax (the pro-apoptotic  
395 factor) is decreased by the combination. It is notable that expression of neither protein is changed  
396 by either xenon 20% or hypothermia at 35°C, i.e. non-protective interventions when administered  
397 alone (16). While it appears that the synergistic neuroprotective action of xenon and hypothermia  
398 involves the apoptotic pathway proximal to mitochondrial injury, there are other possible targets  
399 that can be modulated by the synergistic interaction of xenon and hypothermia, including  
400 excitotoxicity, inflammation, and metabolic rate.

401

402 **1.4 Xenon's clinical applicability**

403 Xenon is anesthetic at high concentrations in man (> 60 %), although its neuroprotective  
404 concentration is estimated to be about 30% of the concentration required for anesthesia in humans  
405 when combined with modest hypothermia. Marketing authorization for the use of xenon as an  
406 anesthetic has been obtained in Russia in 2002, in Germany in 2005, and extended through Europe  
407 in March 2007. Xenon's anesthetic effect has been known for more than fifty years; its remarkable  
408 safety and efficacy in a variety of clinical settings bring it close to the "ideal anesthetic" (30). With  
409 a minimal alveolar concentration (MAC) of less than 70% xenon is more potent than nitrous oxide.  
410 It has minimal hemodynamic effects and has the lowest solubility (blood/gas partition coefficient of  
411 0.115) of any known anesthetic agent. Thus it possesses very rapid induction and recovery  
412 characteristics. The manufacturing costs have been high but research on fully closed delivery  
413 systems and novel scavenger devices are making the use of xenon economically feasible. As an  
414 elementary substance xenon possesses no environmental disadvantages. Retrieving a drug directly  
415 from the atmosphere for use and then allowing it to return back unchanged represents a unique  
416 possibility in modern medicine.

417

418 In the clinical arena xenon has been used in several thousand anesthetics in Europe and Russia (31)  
419 and is associated with remarkable cardiovascular stability (31). Xenon does not affect myocardial  
420 contractility (33,34); recent results show that cardiovascular performance is not compromised by  
421 xenon anesthesia in patients with impaired left ventricular (LV) function, and in this respect appears  
422 superior to propofol for anaesthesia in this high-risk group (35). The benefit of these clinical  
423 features may be even more important to patients who have suffered myocardial injury as usually  
424 occurs during out-of-hospital cardiac arrest because xenon has been shown to exert myocardial  
425 protective properties in a preclinical model of myocardial ischemic injury (36). Prolonged xenon  
426 exposure in the critical care setting has been shown to be safe (37). Therefore, xenon has potential  
427 to become the sedative of choice in the management of compromised patients in critical care  
428 settings (37). Xenon has also been used safely in patients who have suffered an acute stroke (38). A  
429 recent report shows that xenon (up to 50% for up to 3h) is safe in patients undergoing cardiac  
430 surgery (39). Furthermore, recent studies by Laitio R et al. (23,40) demonstrated that xenon can be  
431 delivered safely for several hours at high concentrations (i.e. 65%) to humans; they delivered xenon  
432 as a single-agent anesthetic to achieve deep anesthesia in healthy human volunteers (40).

433 **1.5 Rationale of the study**

434 Although, therapeutic hypothermia clearly provides a statistically significant improvement in  
435 OHCA patients, the benefit is clinically quite modest. Thus, strategies designed to increase the  
436 efficacy of therapeutic hypothermia are needed. The following recommendations for standards  
437 regarding preclinical neuroprotective and restorative drug development by Stroke Therapy  
438 Academic Industry Roundtable (STAIR) have been fulfilled for xenon (Stroke Therapy Academic  
439 Industry Roundtable (STAIR). Recommendations for standards regarding preclinical  
440 neuroprotective and restorative drug development. *Stroke*. 1999;30:2752-2758).

- 441 1. demonstration of efficacy in at least two species, in at least two laboratories that use  
442 different models
- 443 2. effective in both permanent and transient focal ischaemia
- 444 3. improvement in short-term and long-term histological and functional outcomes
- 445 4. effective when administered several hours after the onset of ischaemia
- 446 5. achieves brain concentrations that rapidly equilibrate with plasma
- 447 6. consistent minimum neuroprotective concentration across different species, allowing  
448 prediction of the putative neuroprotective concentration in humans

- 449 7. sigmoid rather than bell-shaped dose-response curve  
450 8. data should be published or submitted for review in a peer-reviewed journal

451

452 Furthermore, current evidence of four separate preclinical and several other studies show xenon's  
453 remarkable neuroprotective interaction with hypothermia. In addition, xenon's remarkable safety  
454 and efficacy in a variety of clinical settings has been well demonstrated bringing it close to the  
455 "ideal anesthetic". Therefore, current proposed clinical trial designed to find out whether the  
456 efficacy of hypothermia treatment on neuroprotection can be improved with a combination of xenon  
457 inhalation should be justified.

458

459 This study will be conducted in accordance with this protocol, GCP, GSP and pertinent laws in  
460 Finland relating to clinical trials.

461

## 462 **2 OBJECTIVES AND PURPOSE**

463 The main purpose of this study is to explore the underlying mechanisms for the synergistic  
464 neuroprotective interaction of xenon and hypothermia in patients suffering cerebral ischemia post  
465 cardiac arrest, by undertaking brain imaging to evaluate their effects on cerebral hypoxia, neuronal  
466 loss and mitochondrial dysfunction. In addition we aim to correlate these findings with neurological  
467 outcome to determine surrogate markers of favourable clinical outcome at six months.

### 468 **2.1 Detailed objectives**

- 469 1. To explore whether Xe in combination with MHT has better neuroprotective effect than the  
470 MHT alone in OHCA patients by showing a significant reduction in the degree of severity  
471 of the ischemic brain injury in the MHT+Xe group compared with the MHT group using  
472 diffusion tensor magnetic resonance imaging (DTI). To investigate the presence, pattern and  
473 long-term progression of white matter and gray matter degeneration and long-term  
474 volumetric changes of the gray matter, white matter, and cerebro-spinal fluid spaces. To  
475 define an association of these findings with neurological outcome and  
476 mortality. **(Amendment 6.6 see Summary)**
- 477 2. To explore the mechanism for neuroprotective interaction of xenon and hypothermia in  
478 these patients by using C11-PK11195 PET positron emission tomography (PET) and proton  
479 magnetic resonance spectroscopy (<sup>1</sup>H-MRSI) in the thalamus and striatum; to identify  
480 neuroprotective properties of xenon and a possible predictive imaging marker for  
481 neurological outcome.
- 482 3. To characterize the behaviour of the neuron specific enolase (NSE) in the different treatment  
483 groups; to explore whether there is correlation between NSE and brain imaging showing  
484 neuronal injury
- 485 4. To define the possible cardioprotective property of the combined treatment of xenon and  
486 moderate hypothermia in patients after cardiac arrest.

### 487 **2.2 Hypotheses**

- 488 1. The values of fractional anisotropy (FA) and mean diffusivity (MD) of the DTI will be  
489 significantly higher in the MTH+Xe group (see details in sections 5.7.1 and 5.12).
- 490 2. The imaging results with <sup>11</sup>C-PK-11195 PET and <sup>1</sup>H-MRSI will differ in the groups of  
491 patients treated with xenon and hypothermia vs the group of patients treated with  
492 hypothermia alone. Neuroprotective properties of xenon and favourable neurological  
493 outcome will be demonstrated by showing less microglia cell activation in the combined  
494 therapy (xenon + hypothermia group) than in the reference therapy (hypothermia) group.



- 495 Poor neurological outcome will be associated with greater reduction in N-acetylaspartate  
496 (NAA) levels demonstrated by <sup>1</sup>H-MRSI at 2 and 10 days post cardiac arrest.  
497 3. The serum biochemical marker NSE will be lower in patients treated with MTH+Xe,  
498 reflecting less severe hypoxic-ischemic injury and more efficient neuroprotection.  
499 4. Cardiac enzyme release (Tn-T and CK-Mb) is less in patients treated with the combined  
500 therapy indicating a possible cardioprotective effect. The myocardial function imaged with  
501 transthoracic echocardiography is better preserved in the treatment group.  
502

### 503 3 STUDY DESIGN

#### 504 3.1 *Type and design of the study*

505 This is a two-centre (**Amendment 5.3 see Summary**) phase II, randomized, controlled two armed  
506 parallel follow-up study.

#### 507 3.2 *Randomisation and blinding*

508 Randomisation will be performed in random blocks of several sizes. The randomisation will be  
509 performed independently and separately at each centre. (**Amendment 5.4 see Summary**) A sealed  
510 envelope containing the treatment assignment will be provided by the department of biostatistics in  
511 Turku University.

512 This trial will have two patient groups. The 1<sup>st</sup> group will be randomised to receive only MHT  
513 treatment. The 2<sup>nd</sup> group will be randomised to have MHT and xenon exposure. This is an open  
514 study but the physicians responsible for assessing the neurologic outcome within the first six  
515 months after the OHCA, and the neuroradiologist responsible for analysing the magnetic resonance  
516 images (MRI) are unaware of the treatment assignment.  
517

#### 518 3.3 *General study outline and study schedule*

519 The study consists of the following periods (see table of events, next page):

- 520 1. Screening  
521 a. Before hospital arrival  
522 b. Hospital arrival  
523 2. ICU period  
524 a. Treatment induction,  
525 b. Treatment maintenance  
526 c. After treatment period  
527 3. After ICU period before discharging from hospital  
528 4. Follow-up period  
529 Long-term outcome assessed 3-3.5 years after cardiac arrest (**Amendment 6.8 see**  
530 **Summary**)

531

532

Schedule of events	Screening		ICU period			After ICU	Follow-up period		
	Before hospital arrival	Hospital arrival and ED	Treatment period		Intensive care period after treatment	Hospital stay before discharge	3 months after OHCA	6 months after OHCA	3-3.5 years after OHCA
Treatment induction			Treatment maintenance						
OHCA	X								
Arrival to hospital =T 0h		X							
Brain CT		X							
Possible coronary intervention		X							
Decision to start MHT		X							
Inclusion and exclusion		X	X						
Informed consent		X	X						
Demography	X	X	X						
Medical history	X	X	X						
ED lab routines		X							
Arterial cannulation	X or	X or	X						
Central vein cannulation			X						
TCT measurement		X	X	X	X				
Blood gas analysis incl. Lactate and B-Gluc		X	X (every hour)	X (every hour)	X (every 2-4 h)				
Randomisation		X <sup>1</sup>	X <sup>1</sup>						
Active cooling starts for MHT			X						
MHT start time = TCT 33-34 °C achieved			X						
MHT period = TCT maintained				X (maintain 24 h)					
Xenon treatment (Xe 40 % in air/O <sub>2</sub> )			X (must start within 4 h after T0h)	X (Maintain until stop MHT)					
Stop MHT and start rewarming (0.5°C/h to 36.5 °C)					X (MHT stop after 24h treatment)				
Maintain 36.5-37.0 °C until extubation or tracheostomy					X				
GCS in every 24 h			X (At T0)	X (at T24h)	X (at T48h ,T 72h, T96h)				
Neurological evaluation (CPC and mRS)						X	X		
1. MRI					X <sup>2</sup>				
2. MRI					X <sup>3</sup> or	X <sup>3</sup>			

3. MRI									X
PET					X <sup>4</sup>				
24 hour urine collection for determination of diurnal catecholamine*			X	X					
Tn-T, Ck-Mb, NSE, D-Lactate*, plasma catecholamine*, ECG		X or	X	X (at T24 h)	X (at T48,72h)				
TTE (all feasible study treatment patients)			X (prior MHT and Xe+during MHT prior Xe if feasible)	X (20 ± 4h after MHT start)	X (24 ± 4h after completed rewarming)	X (at discharge or 7d after Xe+MHT)			
TTE (all feasible control patients)			X (prior MHT)						
Continuous ECG, invasive BP, pulse oximetry			X <sup>5</sup>	X <sup>5</sup>	X (monitored only)				
ET Xenon concentration			X <sup>6</sup>	X <sup>6</sup>					
ET Oxygen concentration			X <sup>6</sup>	X <sup>6</sup>					
Electronic data collection and capture			X <sup>7</sup>	X <sup>7</sup>	X <sup>7</sup>				
Adverse events			X	X	X	X	X	X	
Concomitant treatment	X	X	X	X	X	X			
Long-term outcome									X
Recording on study specific CRF		X	X	X	X	X	X	X	X

533 X<sup>1</sup> Control (MHT) or study treatment (MHT+xenon)

534 X<sup>2</sup> performed within 16 hours after completion of rewarming the patient; see section 6.1.2. for details of MRI

535 X<sup>3</sup> performed 10 days after OHCA; similar MRI as the 1<sup>st</sup> MRI

536 X<sup>4</sup> performed within 24 hours after completion of rewarming the patient; only part of the patients will go through PET imaging

537 X<sup>5</sup> recorded and stored continuously

538 X<sup>6</sup> recorded and stored continuously

539 X<sup>7</sup> See details in section 10.2.

540 \* Blood and urine samples will be collected, stored in -70 C and determined later by CRST

541 Abbreviations: BP = blood pressure; CPC = cerebral-performance category; h = hour; CT = computerized tomography; d = day; ED = emergency department; ET = end tidal;

542 ICU = intensive care unit; GCS = Glasgow coma scale; h = hour; MHT = Moderate hypothermia treatment, mRS = modified ranking scale; MRI = Magnetic resonance

543 imaging; NSE = neuron specific enolase; OHCA = out-of-hospital cardiac arrest; PET = Positron emission tomography; TCT = target core temperature; TTE = transthoracic

544 echocardiography; Xe = Xenon;

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An OHCA patient will receive the MHT as a standard care in the ICU of Turku University Hospital if cardiac resuscitation has been successful and the patient meets routinely used inclusion criteria. The inclusion criteria of this trial and the criteria in routine use are the same with one exception: patients with an asystole as a primary rhythm are excluded from this study. A patient will be recruited to this trial after the decision for MHT has already been made by an intensivist or other physician on duty. There will be a nominated group of investigators, i.e. recruiters, who will have a permission to recruit patients. The recruiter will be called upon by the physician after the decision for MHT has been made. A written informed consent will be obtained from the next of kin or the patient's legal representative. The patient can not be included if the written consent has not been obtained within 4 hours after arrival to the hospital. Patients recruited are randomized according to separately presented scheme to receive either standard hypothermia treatment (control group) (details in section 5.2) or hypothermia and additional xenon (details in section 5.3).

On arrival at an emergency department, the patients will undergo routine initial assessments and treatment including mechanical ventilation and correction of cardiovascular instability (details in section 5.4.). A brain CT-scan is performed for all patients before initiation of any treatments to exclude a possible cerebral origin of the cardiac arrest. The co-morbidity data including cardiovascular diseases and risk factors in addition to other chronic diseases are collected. Trombolytic therapy and possible invasive cardiac procedures must be performed before initiation of active cooling or xenon treatment. The pre-arrest co-morbidity and functional status, cause of death, patient's quality of life, in-hospital system factors, investigations and treatment, and physiological data at various time points during the first 72 hours are recorded on CRF (Table 1).

All patients require intubation and mechanical ventilation, and insertion of an arterial catheter, and insertion of a central venous catheter via jugular vein, subclavian vein or femoral vein. Central venous pressure can be measured also via endovascular cooling catheter if a separate central venous catheter can not be inserted.

The active cooling of the patient is initiated at arrival to the ICU. The patient will be cooled into a state of mild hypothermia with target core temperature of 33-34 °C, which will be maintained for 24 hours. Possible xenon treatment will be initiated in the ICU immediately after the written informed consent has been obtained. The xenon treatment is continued until the MHT is completed (i.e. core temperature of 34 °C is achieved). Otherwise, patient care will follow usual ICU protocols, which follow national and international recommendation. Determination and certification of brain death and withdrawal of active life support can be made according to legally and ethically accepted methods.

The neuroprotective efficacy of hypothermia in combination with xenon will be analysed with following brain imaging methods: Proton magnetic resonance spectroscopy will be performed for all patients; the 1<sup>st</sup> within 16 hours after completion of rewarming, the 2<sup>nd</sup> on day 10±2 and the 3<sup>rd</sup> 3-3.5 years after cardiac arrest (see details in 6.1.2.). Whenever feasible, positron emission tomography imaging will also be performed 5±2 days after OHCA (see details in 6.1.3.).

Evaluation of cardiac function is based on cardiac transthoracic echocardiography, analysis of cardiac enzyme release during three days after study or control treatments.

Neurological outcome is evaluated by a specialist in neurology 3 and 6 months after OHCA.

595 A long-term outcome will be evaluated 3-3.5 years after the OHCA by the investigators.  
596

597 The primary and secondary endpoints are listed in section 6.4. The study patient may participate in  
598 another study after the follow-up of six months has been completed. This study will be performed  
599 during 2009-2013.  
600

## 601 **4 SUBJECT SELECTION**

### 602 **4.1 Source population**

603 A male or female OHCA patient admitted to Turku University Hospital can be considered for  
604 enrolment to this trial only if the decision for MHT has already been made by an intensivist or other  
605 physician on duty. Thereafter, the patient meeting the inclusion criteria will be considered eligible  
606 for the study. Screening activities may be temporarily suspended in the event of inadequate medical  
607 staff to manage the study subject or excessive workload of study staff. During the temporary  
608 suspension, all eligible patients will be recorded in the subject screening log with a reason for  
609 exclusion, e.g. a temporary suspension.  
610

### 611 **4.2 Number of patients**

612 Fifty-five patients will be recruited to each group, i.e. the MHT and MHT+Xe groups. See power  
613 analysis in section 8.2.  
614

### 615 **4.3 Inclusion criteria**

616 The current criteria in use as a standard practise in Turku university hospital will be the same as the  
617 inclusion and exclusion criteria of this study with one exception: a patient with an asystole as a  
618 primary rhythm are excluded from this study.  
619

- 620 1. Ventricular fibrillation or non-perfusive ventricular tachycardia as initial cardiac rhythm.
- 621 2. The 1<sup>st</sup> attempt at resuscitation by emergency medical personnel must appear within 15  
622 minutes after the collapse.
- 623 3. The cause for collapse should be considered primary as cardiogenic and the return of  
624 spontaneous circulation (ROSC) should have been gained in 45 minutes after the collapse
- 625 4. Patient should be still unconscious in the emergency room.
- 626 5. Age: 18 – 80 years (**Amendment 2.9 see Summary**)
- 627 6. Obtained consent and possible xenon treatment initiated within 4 hours after arrival to the  
628 hospital  
629

### 630 **4.4 Exclusion criteria**

631 (**Amendments 2.1 and 2.2 see Summary**)

- 632 1. Hypothermia (< 30°C core temperature)
- 633 2. Unconsciousness before cardiac arrest (cerebral trauma, spontaneous cerebral  
634 haemorrhages, intoxications etc.)
- 635 3. Response to verbal commands after the return of spontaneous circulation and before  
636 randomization
- 637 4. Pregnancy
- 638 5. Coagulopathy
- 639 6. Terminal phase of a chronic disease

- 640 7. Systolic arterial pressure < 80 mmHg or mean arterial pressure < 60 mmHg for over 30 min  
641 period after ROSC  
642 8. Evidence of hypoxemia (arterial oxygen saturation < 85%) for > 15 minutes after ROSC and  
643 before randomization.  
644 9. Factors making participation in follow-up unlikely  
645 10. Enrolment in another study  
646

#### 647 **4.5 Subject withdrawal/replacement**

648 Study subjects are free to permanently discontinue their participation in the scheduled study  
649 assessments at any time without providing a reason or the study subjects can be permanently  
650 discontinued by their legal representatives as well. The study subjects can also permanently  
651 discontinue their participation in the study if it is recommended for any medical reasons by the  
652 investigator.  
653

654 The study subjects may also discontinue their study treatment at any time, or it can be done by their  
655 legal representative. In this case, the study subjects will not be removed from the study and all  
656 scheduled study assessments will be completed. The data which have been collected before  
657 withdrawal will be used for study purposes in all cases of withdrawals or permanent  
658 discontinuations. After withdrawal the patient will be treated according to normal clinical practise.  
659

660 All reasons of withdrawal will be recorded on the CRF. The withdrawn patients will not be  
661 replaced.  
662

663 It must be noticed that the MHT will continue as a routine treatment for OHCA patients and it can  
664 be terminated only by attending physician on medical reasons. In the case of premature termination  
665 of MHT, the xenon treatment will be also terminated. In such case, a deviation from the protocol  
666 will be recorded on the CRF.  
667

668 The study monitor will be notified about all discontinuations and withdrawals by email, phone or  
669 fax within 24 hours.  
670

## 671 **5 STUDY TREATMENTS**

### 672 **5.1 Control and study treatment**

673 The control treatment is MHT. The target core temperature is 33-34 °C. MHT is defined as the time  
674 of maintaining target core temperature (TCT), which in this study is 24 hours. MHT of this study is  
675 conducted in accordance with national and international recommendations. The current routine  
676 MHT protocol of the ICU of Turku University hospital follows the recommendations. Hypothermia  
677 is induced with cold intravenous fluids and with endovascular cooling device. Usually, the core  
678 temperature has been achieved within 3 hours after arrival to the ICU. Also, most patients are  
679 already hypothermic at arrival to the hospital. However, in rare cases, the core temperature has been  
680 achieved 8 hours after arrival to the ICU. The target core temperature will be maintained for 24  
681 hours. Thereafter, a maximum rate of 0.5 °C / hour rewarming (**Amendment 5.5 see Summary**) of  
682 the patients is allowed to a temperature of 36.5-37.0 °C, which will be maintained until the time of  
683 extubation or successful weaning from the respirator. The endovascular catheter is inserted via  
684 femoral vein by an experienced anaesthesiologist or intensivist. In endovascular cooling system  
685 (e.g. CoolGard 3000™, Alsius Co), temperature controlled saline circulates within a balloons in a  
686 closed loop; the saline never comes in contact with the patient. The cooling of the blood takes place

687 by contact with the balloon membrane (Microtherm™, Alsius Co). The CoolCard 3000 can cool at  
688 a rate between 0.5 – 1.5 °C per hour depending on the endovascular catheter used (Icy™ or Cool  
689 Line™ catheter). Core temperature is measured with probes placed in oesophagus and in urinary  
690 bladder. After the actual rewarming procedure has been completed, the endovascular catheter will  
691 be hold in place until stabilization of the temperature can be assured.

692

693 *The study treatment* is inhaled xenon (LENOXe™) with subanaesthetic target concentration of 40%  
694 in oxygen/air combined with MHT. Details of the MHT are discussed above. The supplier is Air  
695 Liquide Deutschland GmbH (Germany). The Finnish National Agency for Medicines (Lääkelaitos)  
696 will be provided with the necessary details (including the supplier) of the investigational product  
697 before study execution.

698

## 699 **5.2 Administration of study treatment**

700 A patient can be recruited to this trial if the inclusion criteria, but none of the exclusion criteria, for  
701 standard MHT have been fulfilled. A patient will be recruited to this trial after the decision for  
702 MHT has already been made by an intensivist or other attending physician. Xenon treatment is  
703 initiated in the ICU as soon as possible after the written informed consent has been obtained. Xenon  
704 treatment may start before or after target core temperature has been achieved, but it must start  
705 within 4 hours after arrival to hospital, or otherwise the patient will be excluded. The xenon  
706 treatment will be continued until completion of MHT. The expected duration of xenon inhalation  
707 will be approximately 24 hours. Thus, in extreme cases, the duration of xenon inhalation can vary  
708 between 18-34 hours depending on the time needed to achieve the core temperature, and on the  
709 other hand, to obtain the consent. Xenon will be administered through closed-system ventilator,  
710 which is designed for delivery of xenon (PhysioFlex, Physio Dräger, Harlem, The Netherlands).

711

712 Target concentration for end-tidal xenon is 40 %. The concentration is adjusted by flushing the  
713 ventilation circuit with extra xenon flushes or nitrogen/air flushes/oxygen. The administration of  
714 xenon and the MHT are terminated at the same time (i.e. core temperature  $\geq 34$  °C). The xenon  
715 treatment will be terminated if the core temperature has to be elevated above 34 °C e.g. to treat  
716 bradycardia.

717

718 A failure to maintain 40 % concentration of xenon in oxygen during the treatment is not a reason to  
719 remove the study patient from the study. In such case, the treatment will be continued with a  
720 possible concentration which can be administered. The end tidal xenon concentration of 65 % may  
721 not be exceeded nor can it be less than 20% (i.e. the safety limits of xenon concentration).

722

723 The end-tidal xenon concentration is electronically monitored, recorded and stored continuously,  
724 and it is also recorded on specifically designed Case Report Forms (CRF) in every 30 minutes  
725 during the xenon administration.

726

## 727 **5.3 Handling of study products**

728 The Hospital Pharmacy of Turku University Hospital will import xenon. The investigator  
729 responsible for the study shall attend to that safety precautions and given instructions are carefully  
730 followed.

731

732

733 **5.4 Prior and concomitant treatments**

734

735 The patients will be treated according to a normal clinical practise prior and after the study  
736 treatment. All concomitant treatments must be recorded on the CRF.

737 5.4.1 Sedation and analgesia

738 Propofol-infusion (1-5 mg/kg/h) is used as sedative regimen and fentanyl-infusion (50-100 µg/h)  
739 and 25- 50 µg i.v. boluses will be administered as an analgesic regimen for all patients while  
740 intubated and mechanically ventilated. The depth of sedation will be adjusted according to the  
741 RASS scale with a target level of -5. The dosage of propofol and fentanyl can be increased if  
742 needed. Also, remifentanyl or oxycodone can be used for analgesia as rescue treatment. Midazolam  
743 (bolus of 1-3 mg i.v.) is a first-line rescue treatment for sedative purposes. To prevent shivering and  
744 to maintain hypothermia, muscle-relaxant (e.g. cisatracurium) is given as bolus doses and/or by  
745 continuous infusion to all patients.

746

747 5.4.2 Mechanical ventilation

748 Adjustments of the ventilation parameters i.e. the ventilation mode, tidal volume and frequency are  
749 made according to normal treatment of patients in mechanical ventilation. The inspiratory oxygen  
750 concentration is adjusted to maintain the partial pressure for arterial oxygen in range of 10-18 kPa.  
751 The minute ventilation is adjusted to maintain the partial pressure for arterial carbon dioxide in  
752 range of 4.5 – 5.5 kPa. Repeated blood-gas analysis is performed at every hour during hypothermia  
753 and xenon treatment, and later whenever clinically indicated but at least in every 4 hours.

754

755 5.4.3 Hemodynamics and other

756 Systemic hypotension (mean arterial pressure < 60 mmHg) is primarily treated with crystalloid  
757 fluids or colloids.

758

759 Target mean arterial pressure	60-90 mmHg
760 Systolic arterial pressure	≥ 100 mmHg
761 Target central venous pressure (PEEP corrected)	6-10 mmHg
762 (PEEP= positive end expiratory pressure)	

763

764 Vasopressors (e.g. epinephrine, norepinephrine, dopamine, dobutamine) and antiarrhythmic  
765 medication are administered as needed. Maintenance of fluid balance is monitored either by central  
766 venous catheter or by fluid intake and output.

767

768 Levosimendan (Simdax) can be used.

769

770 Hypertension is treated with vasodilators as needed.

771

772 Bradycardia treatment during MHT includes boluses of atropine (0.01 mg/kg) and/or a raise of body  
773 temperature (0.5 °C/h) to a degree that is considered necessary. Often in clinical practise, this means  
774 a completion of MHT. The final decision to increase body temperature during MHT can be made  
775 only by the attending physician. Xenon will be terminated if the core temperature is increased ≥ 34  
776 °C. A decision to treat bradycardia is always based on clinical grounds, e.g. if general status of the



777 hemodynamics is considered to be inadequate. The final decision can be made by the attending  
778 physician only.

779

780 Serum glucose will be kept between 5.0 – 8.0 mmol/L (Amendment 5.6 see Summary) and  
781 hematocrit between 0.3 and 0.45. Potassium is supplemented if the serum potassium level is < 4  
782 mmol/L. Parenteral nutrition or enteric feeding is initiated as soon as possible. An optimal head  
783 position of 30° is provided.

784

### 785 **5.5 Treatment compliance**

786 Any deviation from the defined protocol of the study treatment must be documented on the CRF.  
787 The end tidal concentrations of xenon will be monitored continuously and recorded electronically  
788 on a separate file during the treatment (source data). The concentrations will also be recorded  
789 manually on the study treatment CRF. Also, all air or xenon flushes used must be recorded. The  
790 absolute consumption of xenon by each patient will be measured afterwards by weighting the xenon  
791 cylinders.

### 792 **5.6 Criteria for premature study termination**

793 The independent safety committee and the PI will assemble for a meeting in every 6 months. The  
794 committee reserves the right to prematurely terminate the study prior to entry of the intended  
795 number of study subjects for valid scientific, ethical or administrative reasons. After such a  
796 decision, the investigator shall not enroll more subjects and he must inform the local ethical  
797 committee and the National Agency of Medicines of his decision.

798

799 The study of individual patient will be terminated by investigator or by the attending physician at  
800 any moment during the treatment in the ICU if safety of the patient can not be assured otherwise.  
801 Thereafter, the patient will be treated by the most appropriate means according to the judgment of  
802 the attending physician. Each individual case will be considered by the attending physician  
803 according to clinical evidence of intensive care medicine. It must be noted that various adverse  
804 events occurring during intensive care may not be related to the study treatment and will be  
805 considered individually by the attending physician.

806

807 The study subjects may be withdrawn from their study treatment prematurely by the investigator or  
808 by the physician for one or more of the following reasons:

- 809 1. A failure to maintain xenon concentration  $\geq 20$  %
- 810 2. A failure of ventilation and/or oxygenation of the patient with the xenon delivery device  
811 (Physioflex)
- 812 3. If MHT is terminated prematurely; a decision which can be done only by attending  
813 physician
- 814 4. AE/serious adverse event (SAE)
- 815 5. Protocol violation
- 816 6. If for any reason the investigator or the attending physician believes that continued  
817 participation in the study is not in the best interest of the patient.

818

819 A premature termination of the trial will be considered in the case clinically adverse outcome can be  
820 shown in the study treatment group after interim analysis (see 10.2). An interim analysis will be  
821 performed with 60 patients after they have undergone a 6-months follow-up.

822

823 **6 ASSESSMENTS**

824 All data obtained in Helsinki University Hospital will be transported to Turku University Hospital  
825 **(Amendment 5.7 see Summary)** (Address: Timo Laitio Department of Anaesthesiology, Intensive  
826 Care, Emergency Care and Pain Medicine, Turku University Hospital, Turku, Finland, P.O.X. 52,  
827 FIN-20521, Turku, Finland). Final analyses of all assessments will be carried out by investigators in  
828 Turku University Hospital.  
829

830 **6.1 Assessment of efficacy**

831 6.1.1 Echocardiography

832 An experienced cardiologist will perform transthoracic echocardiography using Vivid 7 or Vivid Q  
833 devices (GE Healthcare) for all feasible patients as follows:

834 Treatment group:

835 Before initiating MHT or xenon

836 During MHT prior xenon administration if feasible

837 20 ± 4 hours after initiation of MHT

838 24 ± 4 hours after completing rewarming procedure

839 At discharge from hospital or 7 days after treatment

840

841 Control group:

842 Before initiating MHT

843 20 ± 4 hours after initiation of MHT

844 24 ± 4 hours after completing rewarming procedure

845 At discharge from hospital or 7 days after treatment

846

847  
848 Measurements will be marked on data collection sheets (EXCEL file) and all image data will be  
849 stored in CDs/DVDs allowing off-line analysis with Echopack software.  
850

851 Parameters:

852 **Global left ventricular (LV) ejection fraction (LVEF)** is calculated from the end-diastolic and  
853 end-systolic volumes (modified Simpson's rule) obtained from the apical four-chamber and two-  
854 chamber views according to the recommendations of European Association of Echocardiography.  
855

856 **Regional LV function/contractility** will be evaluated using a standard 16 segment model. Each  
857 segment will be individually scored based on its motion and systolic thickening by visual analysis  
858 according to the recommendations of European Association of Echocardiography.  
859

860 For quantitative analysis 2D longitudinal (and radial) Strain and Strain rates will be measured using  
861 speckle tracking imaging (automatic functional imaging, AFI) in apical four-chamber, two-chamber  
862 and long-axis views.  
863

864 **Parameters of diastolic function and left ventricular filling pressure (LVFP)** will be measured  
865 from the transmitral and transpulmonary flow velocities and mitral annulus motion. Measurements  
866 from the transmitral flow will be early diastolic wave velocity (E), late diastolic wave velocity (A),  
867 late diastolic wave duration (Adur), deceleration time of early diastolic wave (Edec), propagation  
868 velocity of early diastolic flow (Epropag) and isovolumic relaxation time (IVRT). Derived  
869 measurement will be the ratio between early diastolic and late diastolic wave velocity (E/A).  
870 Parameters measured from the pulmonary venous flow will be systolic forward flow wave velocity

871 (S) and diastolic forward flow wave velocity (D). Derived measurement will be the ratio between  
872 systolic forward flow wave velocity and diastolic forward flow wave velocity (S/D). Tissue  
873 Doppler measurements from the septal mitral annulus will be velocity of early mitral annulus  
874 motion (E') and late mitral annulus motion (A'). Derived measurement was the ratio between  
875 velocity of early mitral annulus motion and late mitral annulus motion (E'/A') Derived  
876 measurement for the assessment of LVFP will be the ratio between early diastolic wave velocity  
877 and early diastolic velocity of mitral annulus (E/E'). According to these parameters, the patients will  
878 be assigned to four groups for having either normal diastolic function or mild, moderate or severe  
879 diastolic dysfunction. Patients whose parameters are borderline and suggestive but not definitive for  
880 diastolic dysfunction will be classified as indeterminate.  
881

#### 882 6.1.2 Magnetic resonance imaging of the brain

883 Diffusion Tensor Imaging (DTI) and diffusion-weighted MRI identify early ischemia-related  
884 changes of the brain and offers great potential for prognostic value after cardiac arrest or stroke.  
885 Brain gray matter is more vulnerable to ischemia and hypoxia than white matter. The primary sites  
886 that are acutely affected in hypoxia or ischemia are the basal ganglia, thalamus, cerebral cortex (in  
887 particular the sensorimotor and visual cortices), cerebellum and hippocampus. The grey matter is  
888 preferentially affected in acute phase because it is metabolically more active than white matter as it  
889 contains a large number of synapses, which are vulnerable to ischemia. In the early phase after  
890 cardiac arrest brain damage is characterized by brain swelling and cortical necrosis. Late  
891 manifestations are white matter degeneration, volumetric changes, atrophy of the both white and  
892 gray matter and dilatation of the cortical cerebro-spinal fluid spaces and ventricles. Also an amount  
893 of hemosiderin found in the later phase reflects the severity of the brain damage. Hemosiderin is a  
894 degradation product of haemorrhages in the brain tissue and can be specifically imaged by a  
895 susceptibility-weighted imaging (SWI) sequence. SWI can also be used to estimate local  
896 neurodegeneration of basal ganglia and various brain cortical areas  
897

898 DTI is a proton magnetic resonance method, which can find subtle changes in brain white matter  
899 structure. Mean Diffucivity (MD) in DTI method that reflects the free water movement in a tissue,  
900 whereas Fractional Anisotropy (FA) reflects the directionality of diffusion and can be used in  
901 estimating white matter integrity (42). Restricted diffusion and low MD is typical finding in acute  
902 ischemic brain insult inside the lesion and reflects the depth and extent of the ischemia. Later on,  
903 pseudo-normalization of MD in the infarcted tissue occurs, followed by high free water diffusion  
904 values reflecting severe brain tissue damage. In late brain ischemia, white matter tract degeneration  
905 of the corresponding tracts of the infarcted area can be evaluated with lowering of the FA values  
906 and visually on 3D tractography based on DTI (43).  
907

908 Brain perfusion imaging with contrast-enhanced MR perfusion can be used in evaluation of the  
909 extent of hypoperfusion of the brain tissue. The hypoperfusion of the tissue can be evaluated by  
910 blood volume and flow maps and also the tissue at risk, the so called ischemic penumbra can be  
911 evaluated on the miss-match maps based on blood volume and flow maps. The volume of both  
912 infarcted tissue and tissue at risk can be measured based on perfusion MR data.  
913

914 Proton magnetic resonance spectroscopy (1H-MRSI) has been used to explore cerebral metabolic  
915 dysfunction, and permits sensitive, non-invasive assessment of neurochemical alterations in selected  
916 brain regions after cerebral injury and ischemia. This technique may be used to identify "injured"  
917 tissue that is not readily picked up by conventional imaging techniques and this information can aid  
918 in determining clinical neurologic prognosis (44). One of the metabolites measured by 1H-MRSI is  
919 NAA. NAA is ubiquitous in the central nervous system, and is a marker of mature neurons;

920 persistent reductions in NAA have been widely used as a marker of neuronal loss (45,46)  
921 Conversely, transient reductions in NAA are thought to be the hallmark of mitochondrial  
922 dysfunction (47), as NAA is synthesized in neuronal mitochondria (48), and production of NAA  
923 and mitochondrial metabolism are inextricably linked (49,50). Several studies have demonstrated  
924 that high levels of NAA are associated with better outcomes (51,52). Furthermore, the  
925 demonstration of substantial correlations between cerebral metabolite alterations and functional  
926 outcome in a controlled experimental setting provides further evidence for the validity of clinical  
927 studies suggesting that 1H-MR spectroscopy may play an increasing role in predicting outcome in  
928 patients with ischemic brain injury; neurological outcome prediction following stroke and OHCA is  
929 a clinical imperative. 1H-MRSI can also be used to measure several other brain metabolites,  
930 including glutamate/glutamine, choline, total creatine and lactate. Increased lactate also suggests  
931 deranged energy metabolism and is consistent with cerebral ischemia (53). A new method of Tract  
932 Based Spatial Statistics (TBSS) with diffusion tensor magnetic resonance imaging will be applied.  
933 **(Amendment 2.5 see Summary)** TBSS is an automated observer independent method of aligning  
934 fractional anisotropy (FA) images from multiple subjects to make non-biased assessments of  
935 localized ischemic changes in the major white matter tracts. TBSS allows statistically powerful  
936 assessments of white matter microstructure and the separation of small groups of subjects, thus  
937 reducing the sample size significantly compared to using original visual analysis. All diffusion data  
938 acquisition requirements are already fulfilled and therefore MRI protocol will not be changed.  
939

940 Brain temperature is measured noninvasively using proton MR spectroscopy. **(Amendment 2.4 see**  
941 **Summary)** The temperature is determined by the balance between heat produced by cerebral  
942 energy turnover and heat removed by cerebral blood flow. The purpose of this analysis is to  
943 investigate the possible mechanism of the neuroprotective effect of the combination of xenon and  
944 therapeutic hypothermia. A hypothesis is that xenon improves the neuroprotective effect of  
945 hypothermia by further decreasing the temperature of the brain tissue. The magnetic resonance  
946 imaging time will be increased by 2 minutes, i.e. from 50 minutes to 52 minutes.  
947

948 All patients will go through the MRI (3.0 TE Siemens Verio), one within 16 hours after completion  
949 of rewarming the patient, i.e. approximately 36-52 hours after cardiac arrest, and the second MRI  
950 will be performed  $10 \pm 2$  days **(Amendment 2.3 see Summary)** after OHCA. A third MRI  
951 including a susceptibility-weighted imaging (SWI) sequence will be performed in all survived  
952 patients 3 to 3.5 years after cardiac arrest in order to investigate the presence and pattern of long-  
953 term progression of brain damage and degeneration.  
954

955 The patients will remain intubated and mechanically ventilated in a respirator until the first MRI  
956 scans have been performed. An experienced anaesthesiologist or intensivist will take care of the  
957 patient during the imaging. MRI scans will include proton density/T2 weighted (PDT2) multiecho  
958 images; fluid attenuation inversion recovery (FLAIR) images. T1-weighted FLASH images will be  
959 acquired for volumetric analysis. In addition, 1H-MRSI will be used to measure NAA, choline, and  
960 lactate and other brain metabolites will be performed in a multivoxel manner covering basal  
961 ganglia, thalamus and frontal white matter. The 1H-MRSI data from each time point will have a  
962 fixed multi-voxel grid overlaid allowing direct comparison between spectra collected. DT images  
963 will be used for measurement of the FA and MD of the normal and infarcted tissue. FA of the  
964 clinically corresponding and relevant white matter tracts will be measured to evaluate possible  
965 remote Wallerian degeneration.  
966

967 The MR images will be analyzed at the Department of radiology by the Physicist Jani Saunavaara,  
968 neuroradiologist Riitta Parkkola and Sami Virtanen. They are blinded to the patient treatment  
969 group.

970  
971

972 The MRI data will be analysed as follows: Routine MRI will be evaluated on standard PACS  
973 (Kodak Carestream) and perfusion and diffusion tensor imaging post-processing will be performed  
974 on Siemens Verio post-processing work station (Leonardo, Siemens Medical Imaging, Erlangen,  
975 Germany). The proton MR spectra data will be analysed using LCModel (S Provencer, Canada),  
976 which contains normal brain spectra data of the brain of 10 000 healthy volunteers. The results of  
977 metabolite rates received in this study are compared to the normal data of the LCModel. On 2D  
978 images ROI-measurements of the MD, FA, blood flow, blood volume, TTP, ischemic penumbra  
979 and metabolites will be performed. 3D tractography of DTI data will be performed to visualize and  
980 assess the white matter tracts in volume images. An advanced post-processing method is used in the  
981 volumetric analysis and applied in all three MRIs per patient. **(Amendment 6.7 see Summary)** The  
982 post-processing analysis will be performed with SPM8 software (Wellcome Department of  
983 Cognitive Neurology, London, UK, <http://www.fil.ion.ucl.ac.uk/spm/>). A susceptibility-weighted  
984 imaging (SWI) sequence will be carried out in the 3<sup>rd</sup> MRI protocol. SWI increases the imaging  
985 time by 5 minutes from 52 minutes to 57 minutes.

986

987 Constrained spherical deconvolution (CSD) **(Amendment 7 see Summary)**

988 Diffusion-weighted (DW) magnetic resonance imaging (MRI) is a noninvasive imaging method to  
989 investigate white matter microstructure and neural fibers. Traditionally the most commonly used  
990 method for the analysis of DW data is diffusion tensor imaging (DTI) (54). However, the  
991 shortcoming of DTI is that crossing fibers, present in 60-90 % of white matter voxels, cannot be  
992 correctly identified (55).

993 Constrained spherical deconvolution (CSD) is a new technique that estimates the orientation of  
994 multiple fiber populations, e.g. crossing fibers, in a voxel accurately and within a clinically feasible  
995 scan time (56,57). We will estimate the fiber orientation distributions with CSD and use this  
996 information to perform probabilistic fiber tractography for the whole brain and for certain tracts of  
997 interest (58).

998

999 Network analysis

1000 The whole brain tract networks will be analyzed with graph theoretical analysis (59), which has  
1001 been previously applied successfully in for example schizophrenia and traumatic brain injury [60,  
1002 61). The tract networks are complex, and graph theoretical analysis enables the efficient  
1003 investigation of both local and global properties of the whole brain network.

1004 DW data will be acquired with a 3T spin-echo echo-planar imaging (EPI) sequence with TR=9.1 s,  
1005 TE=121 ms and 2.5 x 2.5 x 2.5 mm<sup>3</sup> voxel size. Field of view is 240 x 240 cm<sup>2</sup> with a 96 x 96  
1006 acquisition matrix and the number of excitations is 1. 56 axial slices are imaged with 2.5 mm  
1007 thickness and no gap. Diffusion gradients with b = 2500 s/mm<sup>2</sup> will be applied in 60 directions  
1008 uniformly distributed on a unit sphere (62). Nine images with b = 0 s/mm<sup>2</sup> will be acquired. In  
1009 addition, eight b = 0 s/mm<sup>2</sup> images with reverse phase-encoding will be acquired to correct for EPI  
1010 distortions (63).

1011

1012 6.1.3 PET imaging of the brain (not to be performed in Helsinki)

1013 **(Amendment 4.2, 5.2 and 5.8 see Summary)** The PET scans can be performed only during  
1014 weekdays which will decrease the number of scans to be carried out at the designated time. It will  
1015 be carefully evaluated which of the clinical study subjects are in such a condition that they can be  
1016 considered eligible for the PET study. Each clinical study subject will undergo brain MRI within 16  
1017 hours after completion of the rewarming procedure (i.e. 36-54 hours after cardiac arrest). A patient  
1018 with multiple areas of cytotoxic cerebral oedema indicating severe hypoxic-ischemic cerebral injury

1019 revealed by MRI will be considered to have a poor prognosis and therefore will not undergo the  
1020 PET scan. Only patients with no more than minor cerebral ischemic injuries, stable hemodynamics,  
1021 and normal ventilation and oxygenation will be considered eligible for PET scans. The PET scan  
1022 will be carried out 5±2 days after cardiac arrest. (**Amendment 4.1 see Summary**) Given the non-  
1023 elective nature of the patients, schedule of each PET scan will be adjusted individually regarding  
1024 logistical, feasibility and safety aspects. Patients will be sedated primarily with dexmedetomidine if  
1025 needed. A secondary choice of sedation will be propofol which can also be combined with  
1026 dexmedetomidine, especially if patient is still intubated and mechanically ventilated. Muscle  
1027 relaxation will be maintained with subsequent incremental boluses of rocuronium if necessary. At  
1028 least one of the study physicians and nurse of the ICU team will be present throughout the PET  
1029 study.

1030 It will be explored whether <sup>11</sup>C-PK 11195 PET can be used to test the hypothesis of  
1031 neuroprotective effect of xenon. This could be demonstrated by showing less microglial activation  
1032 in the combined therapy (xenon + hypothermia group) than in the reference therapy (hypothermia)  
1033 group. PK11195 binds specifically to the “peripheral benzodiazepine binding site” (PBBS), also  
1034 called 18-kDa Translocator Protein (TSPO). The PBBS is expressed by mitochondria in cells of the  
1035 mononuclear phagocyte lineage and within the central nervous system is highly expressed by  
1036 activated, though not resting, microglia - the brain's intrinsic population of tissue macrophages. PK  
1037 11195 has been labelled with carbon-11, which is a positron emitting isotope. Thus, <sup>11</sup>C-PK11195  
1038 can be used in positron emission tomography (PET) to visualize microglial activation. In  
1039 combination with volumetric MRI to provide detailed structural information, the enantiomeric PET  
1040 ligand [<sup>11</sup>C](R)-PK11195 has been used to measure microglial activation in acute and chronic  
1041 inflammatory and non-inflammatory, brain diseases.

1042 Microglial cell activation has been implicated in the pathogenesis of several neurodegenerative  
1043 disorders and to be involved in other conditions where inflammation is involved. Inflammation and  
1044 microglial activation is considered as one possible mechanism of destruction. Thus, measurement of  
1045 microglial activation is expected to be a valuable tool for use in monitoring the effect of  
1046 hypothermia and combined xenon and hypothermia therapy after cardiac arrest.

1047 In order to ensure the feasibility of the PET procedure, a pilot study with 4 patients from either of  
1048 the treatment groups will first be carried out. In the case of successful pilot study, further 16  
1049 subjects will be investigated with <sup>11</sup>C-PK11195 and the effect of hypothermia or combined xenon  
1050 + hypothermia therapy will be compared in a parallel fashion. Thus 8 subjects will be scanned with  
1051 <sup>11</sup>C-PK 11195 5 after hypothermia therapy and 8 subjects after xenon + hypothermia therapy.

1052 PET scanning will be performed with GE Discovery VCT PET-CT device. This gives the  
1053 possibility to obtain in addition to <sup>11</sup>C-PK11195 uptake also anatomical information with CT. The  
1054 PET-tracer to be used is [<sup>11</sup>C]-PK11195. The subjects need to have both venous (for tracer  
1055 injection) and arterial catheters (for radioactivity concentration and metabolite measurements). The  
1056 scanning will be performed in a dimly lit room. After transmission scanning (performed for  
1057 attenuation correction), approximately 200-550 MBq of [<sup>11</sup>C]-PK11195 will be given by i.v.  
1058 injection. A dynamic 60 min study will be performed with a protocol that yields 17 time frames in  
1059 3D mode: 2x15s, 3x30s, 3x60s, 7x300s and 2x600s. During the acquisition, continuous arterial  
1060 sampling for the first 5 minutes with a flow of 6 ml/minute and sampling frequency 1  
1061 sample/second will be carried out. A blood sampling for metabolites and/or total blood activity will  
1062 follow the acquisition period. A total amount of blood samples will not exceed 100 ml per patient.  
1063 The scanning data will be corrected for attenuation and scatter and radioactivity decay. Traditional  
1064 region of interest (ROI) based method will be used to quantify <sup>11</sup>C-PK 11195 uptake using  
1065 metabolite purified arterial blood activity as an input function. The possibility to use automated ROI  
1066 analysis (autoROI) and other ways to quantify <sup>11</sup>C-PK11195 will be also explored.

1067 The study subjects will be exposed to radiation, but the radioactivity from PET tracers is short lived.  
1068 The dose of radiation for one subject from 11CPK 111965 scan will be about 2,1 mSv which equals  
1069 to less than one year dose of background radiation (around 4-5mSv).

1070

#### 1071 6.1.4 Neurological evaluation

1072 Neurological outcome will be defined according to Pittsburgh cerebral-performance category (CPC  
1073 category) and Modified Ranking Scale (mRS). The physician responsible for assessing the  
1074 neurologic outcome within the first six months after the arrest is unaware of the treatment  
1075 assignment. Pittsburgh cerebral-performance category is defined as follows: CPC 1, conscious and  
1076 alert with normal function or only slight disability, good recovery; CPC 2, conscious and alert with  
1077 moderate disability; CPC 3, conscious with severe disability; CPC 4, comatose or in a persistent  
1078 vegetative state; CPC 5, certified brain death or dead by traditional criteria. Best neurological  
1079 response is assessed at 1 h, 24 h, 48, 72 h, and 96 h after the collapse using Glasgow Coma Scale  
1080 (GCS) scale. Additionally pupillary response and involuntary movements, such as seizures,  
1081 myoclonus and extension reactions are reported. Recovery from coma is defined by following  
1082 verbal commands. A best value of CPC and mRS will be defined during hospital stay (i.e. a single  
1083 item) and at six months.

#### 1084 6.1.5 Long-term outcome

1085 The long-term outcome will be assessed at 3-3.5 years after the cardiac arrest by telephone, or by  
1086 seeing the patient at home or at study physician's appointment. Also, deaths and reasons for deaths  
1087 of all randomised patients will be verified from the national Cause-of-death Register at six months  
1088 and at 5 years after cardiac arrest.

#### 1089 6.1.6 Biochemical assessments

1090 A blood samples for determination of plasma troponin-T (P-TnT), plasma creatinine-kinase MB-  
1091 subunit, mass (P-CK-MBm), and serum neuron-specific enolase (S-NSE) are obtained at arrival to  
1092 ICU, and 24 hours, 48 hours and 72 hours after OHCA.. (**Amendment 2.8 see Summary**)

1093

1094 P-TnT, P-NSE and P-CK-MBm will be determined by TYKSLAB in Turku University Hospital  
1095 and by *HUSLAB in Helsinki University Hospital (Amendment 5.10 see Summary)* with standard  
1096 methods. The blood will be collected into three 5 ml tubes for determination of TnT, CK-MBm and  
1097 NSE.

1098

1099 A blood sample of 15 ml will be collected at arrival to ICU, at 24 hours, at 48 hours and at 72 hours  
1100 for later determination of catecholamines and D-lactate, and plasma will be promptly separated in a  
1101 refrigerated centrifuge, aliquoted into 4 storage tubes, frozen at -20C and transferred within 24 h to  
1102 -70 °C for storage. Catecholamine concentration will be determined with HPLC and coulometric  
1103 electrochemical detection by *Clinical Research Services Turku CRST* CRST using established  
1104 methods with intra- and inter-assay CVs below 10% in the relevant concentration range. D-lactate  
1105 will be determined by CRST as well.

1106

1107 All urine produced and excreted during the MHT will be collected, aliquoted and stored for later  
1108 determination of catecholamines.

1109

1110 An additional blood sample of 10 ml is drawn and stored in -70 °C for possible later use. The blood  
1111 and urine samples obtained and stored in -70 °C in HUSLAB will be transported to Turku CRST for  
1112 later determination of catecholamines (**Amendment 5.11 see Summary**).

1113 Quantitative interpretations of signal are confounded by large interindividual variability in binding  
1114 affinity of 11C-PK11195 to the PBBS (also called TSPO) displaying a trimodal (i.e. low-, mixed-  
1115 and high-affinity binders) distribution compatible with a codominant genetic trait. A complete  
1116 agreement was recently observed between the TSPO Ala147Thr genotype and PBR28 binding  
1117 affinity phenotype (P value = 3.1\_10\_13). The TSPO Ala147Thr polymorphism predicts PBR28  
1118 binding affinity in human platelets. As all second-generation TSPO PET radioligands tested hitherto  
1119 display a trimodal distribution in binding affinity analogous to PBR28 (e.g. C11-PK 11195 used in  
1120 our study), testing for this polymorphism may allow quantitative interpretation of TSPO PET  
1121 studies with these radioligands. Therefore, a blood sample of 20 ml for determination of an  
1122 individual TSPO Ala147Thr polymorphism will be obtained from each patient enrolled to the PET  
1123 scans (not to be performed in Helsinki). The subjects will have indwelling arterial lines already as  
1124 part of the procedures stipulated by the existing protocol, so new cannulations will not be required.  
1125 An additional assent will be obtained from the next of kin or the legal representative for this  
1126 assessment.

1127 *Reference:* Owen DR et al. An 18-kDa Translocator Protein (TSPO) polymorphism explains  
1128 differences in binding affinity of the PET radioligand PBR28. Journal of Cerebral Blood Flow &  
1129 Metabolism advance online publication, 19 October 2011; doi:10.1038/jcbfm.2011.147  
1130

1131 6.1.7 Transcranial Doppler (not to be performed in Helsinki)

1132 **(Amendment 2.6 and 5.8 see Summary)** An experienced neurologist will perform a transcranial  
1133 doppler (TCD) imaging to all feasible patients. A following TCD protocol will be applied  
1134 whenever feasible:  
1135

1136 Treatment group:

1137 Before initiating MHT or xenon

1138 During MHT prior xenon administration if feasible

1139 20 ± 4 hours after initiation of MHT

1140 24 ± 4 hours after completing rewarming procedure

1141 At discharge from hospital or 7 days after treatment  
1142

1143 Control group:

1144 Before initiating MHT

1145 20 ± 4 hours after initiation of MHT

1146 24 ± 4 hours after completing rewarming procedure

1147 At discharge from hospital or 7 days after treatment  
1148

1149 6.1.8 Cerebral carbon dioxide reactivity test (not to be performed in Helsinki)

1150 **(Amendment 3.2 see Summary)** The effect of xenon inhalation and hypothermia treatment on  
1151 cerebral carbon dioxide reactivity will be tested on all eligible patients. Reactivity test will be  
1152 repeated twice; during MHT/MHT+xenon treatments and during room air/oxygen inhalation  
1153 (baseline). The effect of xenon on cerebral blood flow will be assessed using trans cranial Doppler  
1154 sonography (see TCD protocol). First the flow velocity in three arteries bilaterally (anterior, middle  
1155 and posterior cerebral arteries) is measured. Thereafter ventilation is increased to allow 1kPa  
1156 decrease in arterial partial pressure for carbon dioxide. Thereafter the flow assessments are  
1157 repeated.  
1158



1159 6.1.9 Heart rate variability

1160 Heart rate variability will also be assessed in all patients. GE Datex-Ohmeda S/5 Anesthesia  
1161 Monitor and a portable computer running the S/5 Collect software will be used for recording  
1162 continuous ECG (standard patient monitoring leads) with 1028 Hz sampling. The recording will be  
1163 completed 24 hours after the normal body temperature has been achieved. The Holter ECG data is  
1164 sampled digitally and transferred to a microcomputer. Careful manual editing of the RR-interval  
1165 tachograms with inspection of the ECG data by deleting premature beats and noise is performed.  
1166 All RR-intervals of suspected portions are printed-out on a 2-channel ECG at a paper speed of 25  
1167 mm/s to confirm the sinus origin of the RR-interval (i.e. beat-to-beat interval) data as previously  
1168 described (64). The same edited data is used for all heart rate variability measures in this study.  
1169 Mean length of RR-intervals and standard deviation of all RR intervals (SDNN) are used as time-  
1170 domain measures of heart rate variability. RR-interval spectrum is computed according to standard  
1171 procedures (65). In addition, various non-linear methods, such as Poincaré plot analysis, detrended  
1172 fluctuation analysis and approximate entropy, will be applied as previously described (64).  
1173 WinCPRS software programs will be used in the analyses. Spontaneous baroreflex sensitivity  
1174 (BRS) can be evaluated by analysing the slopes of spontaneously occurring sequences of three or  
1175 more consecutive beats in which systolic blood pressure (SAP) and pulse interval of the following  
1176 beat change in the same direction (either increase or decrease), in a linear fashion. Individual BRS  
1177 can be obtained by averaging all slopes computed within a given test period i.e. a linear regression  
1178 is applied to each individual sequence and the mean slope of the SAP/RR interval relationship is calculated  
1179 and taken as a measure of the spontaneous BRS for that period. Spontaneous baroreflex analysis  
1180 provides good BRS reproducibility under various stimuli that affect the neural control of circulation  
1181 differently. Baroreflex effective index will be also measured. It quantifies the number of times the  
1182 baroreflex is effective in driving the sinus node (66,67).

1183

1184 6.1.10 Esophagus pressure measurements

1185 **(Amendment 3.1 see Summary)** Transpulmonic pressure measurements will be performed for all  
1186 eligible patients both in the xenon treatment group and in the control group. Pressure assessment  
1187 will be done using oesophageal balloon catheter (Marquat Genie Biomedical, Boissy-Saint-Léger  
1188 Cédex, France). Catheter will be inserted in the oesophagus of the patients upon arrival in the  
1189 intensive care unit. The probe is placed in the middle / inferior third of the oesophagus and it is  
1190 filled with 0.5 – 3 ml air during the measurements. The orifice is attached to a low range differential  
1191 pressure transducer (Extech Instruments, Maine, USA) in order to measure the pressures. Data will  
1192 be stored online into a laptop using USB connection of the device and the software provided by the  
1193 manufacturer (Extec Instruments). The probe will be removed after pressure assessments (see  
1194 protocol below).

1195

1196 Esophageal pressure protocol

1197 All measurements will be performed in 5 minute epochs in all feasible patients.

1198 Additional measurements will be carried out at the time of significant adjustments to ventilator  
1199 settings whenever otherwise feasible.

1200 Each of the following 4 periods in each group will contain 2 epochs.

1201 Control group (MHT)

1202 1. During cooling before target temperature has been achieved

1203 2. During MHT

1204 3. During warming

1205 4. After normal body temperature has been achieved

1206 Treatment group (Xe+MHT)

1207 1. Before start of xenon and during cooling before target temperature has been achieved

1208 2. During Xe+MHT

1209 3. During warming

1210 4. After normal body temperature has been achieved

1211

1212

## 1213 **6.2 Assessment of safety**

1214 A brain CT-scan is performed for all patients before initiation of any treatments to exclude a  
1215 possible cerebral origin of the cardiac arrest.

1216

1217 An independent safety committee conducted by Turku CRC will be responsible for both centres.  
1218 **(Amendment 5.9 see Summary)** A monitor from both centres will be involved throughout the trial.  
1219 Turku CRC will carry out monitoring in Turku University Hospital and the Clinical Research  
1220 Institute Helsinki University Central Hospital Ltd. (HYKS-instituutti) will be responsible for  
1221 monitoring patients enrolled in Helsinki University Hospital.

1222

1223 The subjects will be continuously monitored according to standard care of patients in ICU (i.e.  
1224 continuous invasive blood pressure, continuous 2-channel ECG, pulse oximetry, central venous  
1225 pressure, body temperature, blood gas assessment at least once per hour, continuous end-tidal CO<sub>2</sub>  
1226 %, ventilatory frequency, tidal volume, minute volume, inspiratory O<sub>2</sub> %).

1227

1228 The concentration of end-tidal xenon and oxygen is electronically monitored, recorded and stored  
1229 continuously.

1230

1231

1232 **The following safety limits have to be maintained and adjusted accordingly. All deviations will**  
1233 **be recorded on the CRF.**

1234

1235 Mean arterial pressure 60 – 100 mmHg

1236 Systolic arterial pressure >100 mmHg

1237 Heart rate during MHT >30/min

1238 Heart rate after MHT >40/min

1239 Xenon concentration 20 – 65 %

1240 PaO<sub>2</sub> 9 - 20 kPa

1241 Central venous pressure 4 – 14 mm Hg (PEEP corrected)

1242 Serum glucose 4.5 – 6.5 mmol/L

1243 Hemoglobin > 70

1244 Potassium 3.0 – 5.0 mmol/L (temperature dependent)

1245

1246 See section 5.4.: treatment instructions.

1247

1248 Maintenance of fluid and electrolyte homeostasis is monitored either by central venous catheter or  
1249 by fluid intake and output.

1250

1251 Parenteral nutrition or enteric feeding is initiated as soon as possible after first 24 hours of arrival to  
1252 ICU. An optimal head position of 30° is provided.

1253

1254 In addition, during PET scanning the patients will be monitored according to standard care of  
1255 patients in ICU. A study physician will always be present at the PET study site.

1256

1257 Routine laboratory tests: small blood count, C-reactive protein, platelet count on Tuesday,  
1258 Thursday, Saturday, ad on Sunday and small blood count, C-reactive protein, creatinine, Urea,  
1259 platelet count, bilirubine, on Monday, Wednesday, and on Friday

1260

### 1261 **Patient care during treatment and imaging procedures**

1262 During office hours, there are altogether 4-6 anesthesiologists and intensivists as attending  
1263 physicians in the ICU. Beyond office hours, there are two physicians, one anesthesiologist and one  
1264 specialist in internal medicine, on duty. Several research (5-10) nurses will be trained to administer  
1265 xenon. The research nurses will be involved in the xenon treatment most of the time but the study  
1266 physician will confirm the safety of the treatment at all times. The study physician will always  
1267 initiate the xenon treatment and assure that its administration can be managed safely in accordance  
1268 with the protocol. The study physician and research nurse will always be available during the MHT  
1269 treatments. **(Amendment 1 see Summary)** In addition, study physician can be reached by  
1270 telephone during the period of ICU treatment. However, study physician can not be involved in any  
1271 treatments including emergency situations during the MHT or MHT + Xe treatments.

1272 The temperature management and cooling procedure have been standard care for the out-of-hospital  
1273 cardiac arrest patients for at least five years in the ICU of Turku University Hospital. All study  
1274 physicians of the ICU team and other attending physicians have been involved in treating patients  
1275 with hypothermia. The mechanical ventilation will be continued until the first MRI and the possible  
1276 PET imaging have been performed. An anesthesiologist or intensivist and a nurse will take care of  
1277 the patient during the brain imaging procedures.

1278

1279

### 1280 *6.3 Other assessment*

1281 The concentration of end-tidal xenon and oxygen is electronically monitored, recorded and stored  
1282 continuously. In addition, it is recorded on specifically designed Case Report Forms (CRF) in every  
1283 30 minutes during the xenon administration.

1284

### 1285 *6.4 Primary and secondary variables*

1286 *Primary outcome* is to show a significant reduction in the degree of severity of the ischemic brain  
1287 injury in the MHT+Xe group as compared with the MHT group, reflected by following MRI:

1288

a) Brain tissue anatomy: T2, FLAIR and 3DT1 images

1289

b) Depth and extent of the ischemia: Mean diffucivity value of diffusion tensor proton  
magnetic resonance imaging (DTI)

1290

c) White matter tract degeneration of the corresponding tracts of the infarcted area:  
Fractional Anisotrophy value of DTI

1291

d) Extent of hypoperfusion of the brain tissue: Perfusion MRI Data

1292

e) Cerebral metabolic dysfunction: Proton magnetic resonance spectroscopy

1293

1294

#### 1295 In detail:

1296 1) Routine T2, FLAIR and 3DT1 images give detailed information about the brain tissue anatomy.

1297 The cerebro-spinal fluid spaces (ventricles and cortical fluid spaces) can be evaluated and volume

1298 measured on these images. 3DT1 images can be used as an anatomic reference images for PET-  
1299 studies. Volume of possible old and new ischemic insults can be seen and measured by means of  
1300 DTI.

1301

1302 2 and 3) On DTI data, the fresh ischemic insults can be detected and differentiated from old insults.  
1303 The volume of fresh infarcts and ischemic areas is evaluated. The MD values of the ischemic area  
1304 reflect the depth of ischemia. Normal range of MD of both the grey and white matter is from 0.75 to  
1305  $0.85 \cdot 10^{-3} \text{ mm}^2 \text{ s}^{-1}$ . In acute ischemia, the MD values of the ischemic brain tissue decreases to  $0.4\text{-}0.5$   
1306  $\times 10^{-3} \text{ mm}^2 \text{ s}^{-1}$  and then gradually increases to the pseudonormal phase value at about 2 weeks after  
1307 insult and then increases to the value of cerebro-spinal fluid in months. The FA values of the neural  
1308 tissue range from 0 to 1. Value near zero can be measured on areas, where no tract bundles can be  
1309 expected. On strong and healthy tracts like pyramid tract values up to 0.8 to 0.9 can be expected.  
1310 The FA values of clinically relevant tracts will be measured respective to the ischemic grey matter  
1311 areas related to the cardiac arrest prior to this study.

1312

1313 4) Perfusion MR Data gives semi-quantitative brain blood volume maps, brain blood flow maps and  
1314 Time-to-Peak maps of the whole brain tissue. On these maps the depth and volume of blood volume  
1315 and blood flow loss of the ischemic area can be measured relative to blood volume and flow of the  
1316 areas of normal perfusion of the brain. Based on blood volume and flow maps, ischemic penumbra  
1317 'the tissue at risk' can be evaluated and volume measured.

1318

1319 5) The brain MR spectroscopy gives data about the metabolite ratios of the brain tissue. The  
1320 metabolite rates will be measured at the basal ganglia and thalamus and frontal white matter. The  
1321 spectra data will be analyzed using LCModel post-processing software (S Provencher, Canada). This  
1322 software contains the normal brain spectra metabolite values of about 10000 healthy volunteers.  
1323 The results of the recent work are compared to this normal database of the post-processing program.

1324

1325 *Secondary end-points* will be neurological outcome within six months, mortality (non-cardiac  
1326 death, cardiac death, sudden cardiac death), morbidity (myocardial infarction, cardiac arrhythmias  
1327 needing treatment or hospitalization, stroke) at six months, and the complication rate (according to  
1328 HACA study: severe bleeding, pneumonia, sepsis, pancreatitis, renal failure, pulmonary oedema,  
1329 seizures, arrhythmias, pressure sores) during the first week.

1330

## 1331 7 ADVERSE EVENTS

### 1332 7.1 Definitions

1333 An Adverse Event (AE) is any untoward medical occurrence in a study subject to which the study  
1334 treatment may or may not have positive causal relationship. An unexpected adverse drug reaction is  
1335 defined as an adverse drug reaction, which or the severity of which is not available in the  
1336 information submitted to the investigator. It implies a positive judgment of causal relationship to the  
1337 study drug by the investigator.

1338

1339 An AE may be reported to the investigator by the study subject or his/her caregiver or observed by  
1340 the investigator clinically, or be an adverse change in laboratory assessment results. An AE may be  
1341 a subjective symptom, worsening of existing illness, newly appearing disease, accident or a new  
1342 finding in a clinical or laboratory assessment.

1343

1344 Study subjects participating in this study already need intensive care due to one or more organ  
1345 insufficiency or failure. Therefore, multiple and diverse clinical symptoms and laboratory findings

1346 must be expected to occur frequently. Expected minor fluctuations in the study patient's presenting  
1347 illness would not represent an AE. Any clinically significant worsening in a study patient's  
1348 condition according to clinical judgement, laboratory finding or other diagnostic finding, compared  
1349 with the study patient's baseline status at the time of starting xenon treatment should be recorded as  
1350 an AE whether the worsening condition is considered to be due to the study patient's underlying  
1351 illness or study treatment.

1352

1353 A Serious adverse event (SAE) is any AE in a study subject of the treatment group that is

- 1354 • fatal,
- 1355 • life-threatening,
- 1356 • requires or prolongs inpatient hospitalization,
- 1357 • results in persistent or relevant disability or incapacity,
- 1358 • is a congenital anomaly/birth defect or
- 1359 • another medically significant event, e.g. an intervention to prevent one of the above outcomes.

1360

1361 In this study, a careful judgment is needed when reporting SAEs, since the patients are already in a  
1362 life-threatening condition and their length of ICU stay or hospitalization cannot be predicted.  
1363 Therefore, AEs cannot readily be judged as life-threatening condition or the reason for prolonged  
1364 ICU stay or hospital stay. Furthermore, in this study MHT itself as a routine treatment may cause  
1365 clinical perturbations or clinical worsening of the study patient's condition. In such case, if xenon  
1366 treatment is initiated simultaneously with MHT, it may be difficult to distinguish which one of the  
1367 treatment is the cause for any adverse affect. Any persistent disability would not necessarily  
1368 indicate an SAE, if it was predictable from the study patient's clinical condition at the time of  
1369 starting study treatment. However, any newly-emergent condition that meets the above definitions  
1370 of an SAE in the study treatment group should be recorded as an SAE including death in all  
1371 circumstances. In addition, the investigator should make best efforts to identify any clinically  
1372 significant worsening of the study patient's underlying condition that meet the definition of SAE.  
1373 However, all safety limit deviations, clinical symptoms and events or other major fluctuations in all  
1374 patients will be recorded in the CRF and evaluated by the independent safety committee.

1375

## 1376 **7.2 Reporting of adverse events (AEs)**

1377 All AE:s must be elicited, documented and reported by the investigator from the moment of  
1378 treatment until the end of the follow-up period of six months. All AEs must be recorded by the  
1379 investigator on the appropriate space in the CRFs irrespective of the causal relationship of the study  
1380 treatment to the event as assessed by the investigator. The independent safety committee will check  
1381 and evaluate the reports of AEs.

1382 The investigator should provide the following information in all cases:

- 1383 1. onset and resolution time points of the AE
- 1384 2. frequency of occurrence
- 1385 3. degree of severity
- 1386 4. causal relationship of the study treatment to the AE
- 1387 5. how the AE came to the attention of the investigator
- 1388 6. subject outcome
- 1389 7. absence of AEs should also be documented.

1390

## 1391 **7.3 SAE reporting**

1392 Most of the SAEs are also end points in this study, and therefore a summary of SAEs and suspected  
1393 unexpected serious adverse reactions (SUSARs) will be reported by the principal investigator to the

1394 National Agency for Medicines (NAM) in every 6 months instead of employing the “7 and 15 day  
1395 rule”. The principal investigator will report SAEs to safety committee in every month. The  
1396 independent safety committee must report to NAM within 7 days after finalizing their reports.

#### 1397 **7.4 Treatment of emergencies**

1398 The personnel of the ICU are experienced in handling all kinds of emergencies. Emergencies will  
1399 be treated according to state of the art in ICU by attending physicians and investigators.

1400

1401 See section 5.6., criteria for premature study termination

1402

## 1403 **8 STATISTICS**

### 1404 **8.1 Statistical hypothesis**

1405 Our objective is to show a significant reduction in the degree of severity of the ischemic brain  
1406 injury in the MTH+Xe group as compared with the MTH group, reflected by MRI.

### 1407 **8.2 Sample size**

1408 The power analysis is based on the FA values of the DTI data. FA reflects the directionality of  
1409 diffusion and can be used in estimating white matter integrity. Restricted diffusion is typical finding  
1410 in acute ischemic brain insult inside the lesion and reflects the depth and extent of the ischemia. The  
1411 study is a randomized two-armed parallel follow-up study. Fifty-five patients per treatment group  
1412 are needed to show an absolute median difference of 15 % in FA values between the groups (85 %  
1413 power,  $\alpha < 0.05$ ). The median difference of 15 % is considered as clinically relevant.  
1414

### 1415 **8.3 Statistical plan and analysis**

1416 The univariate distributions of variables and the associations between variables will be described  
1417 using summary statistics. Basic statistical tests (t-tests, Mann-Whitney, Chisquare, etc) will be used  
1418 in the preliminary analysis.

1419

1420 Survival analysis methods will be applied for the occurrence of end-point events. An analysis of  
1421 variance of repeated measurements will be applied for normally distributed response variables. In  
1422 the case of other type of variables, the analysis will be based on generalized linear mixed models  
1423 which include comprehensive and general tools to analyse longitudinal data. Baseline  
1424 characteristics of patients will be used as covariates when needed.

1425

1426 The statistical analysis will be based on all available data. If an analysis is based only on complete  
1427 cases, it will be mentioned separately. The need for ITT analysis will be considered case by case.

1428

1429 Significance level of 0.05 and an estimation of 95 % confidence intervals will be used in the  
1430 statistical analyses.

### 1431 **8.4 Interim analyses and stopping rules**

1432 An interim analysis will be performed with 60 patients after they have undergone a 6-months  
1433 follow-up. A premature termination will be considered in the case clinically adverse outcome  
1434 shown by CPC and mRS can be shown in the Xe+MTH group after interim analysis.

1435

1436 **9 QUALITY CONTROL AND QUALITY ASSURANCE**

1437 **9.1 Information of study personnel and training**

1438 Study personnel will be appropriately informed of the nature and conduct of the study. All study  
1439 physicians are experienced specialists in anesthesiology and intensive care. One of the study  
1440 physicians (Ruut Laitio) is experienced in delivering xenon to man and she will train all study  
1441 physicians to conduct this phase of the study. The investigators have extensive experience in  
1442 running complex trials in the ICU.

1443  
1444

1445 **9.2 Monitoring**

1446 Data and Safety Monitoring Plan will be prepared and a Data and Safety Monitoring Board (i.e.  
1447 independent safety committee) will be established according to the relevant SOPs of Turku CRC  
1448 and that the Plan and the composition of the Board will be communicated with the Ethics  
1449 Committee of the Hospital District of Southwest Finland before commencement of this study.

1450

1451 **(Amendment 5.12 see Summary)** The independent safety committee conducted by Turku CRC  
1452 and chaired by Päivi Rautava, M.D, PhD, will be responsible for both centres. Turku CRC will  
1453 carry out monitoring in Turku University Hospital and the Clinical Research Institute Helsinki  
1454 University Central Hospital Ltd. (HYKS-instituutti) will be responsible for monitoring patients  
1455 enrolled in Helsinki University Hospital.

1456

1457 In addition, the Ethics Committee will be informed about outcomes related to the responsibilities of  
1458 the Data and Safety Monitoring Board and about other responsibilities defined by ICH GCP.

1459 The study monitor will visit the study centre regularly as agreed by the principal investigator. The  
1460 study monitor will ensure that the study complies with the good clinical practice (GCP) and  
1461 applicable regulatory requirements and that the protocol is followed in all aspects, accurate  
1462 recording of results, reporting of AEs, drug accountability and record keeping.

1463 Furthermore, it will be verified that the clinical facilities remain adequate, and that the CRFs  
1464 correspond with source data. For this purpose the study monitor will be allowed direct access to  
1465 hospital or patient records of the study subject, original laboratory data etc. relevant to the study.

1466 It is essential that the investigator and other relevant members of the study centre team are available  
1467 during the monitoring visits and inspections, and that they devote sufficient time to these processes.

1468 **9.3 Protocol amendments**

1469 All possible protocol amendments will be provided in writing and consequently sent to the local  
1470 EC. If other than administrative changes will be made to the protocol, the study will be discontinued  
1471 until approval by the local EC.

1472

1473 **10 DATA HANDLING AND RECORD KEEPING**

1474 **(Amendment 5.13 see Summary)** The data obtained in Helsinki University Hospital will be  
1475 transported to Timo Laitio, the principal investigator and person in charge of Xe-Hypotheca trial.  
1476 Address: Department of Anaesthesiology, Intensive Care, Emergency Care and Pain Medicine,  
1477 Turku University Hospital, Turku, Finland, P.O.X. 52, FIN-20521, Turku, Finland. A safety copy of

1478 all data obtained in Helsinki University Hospital will be stored at ICU and HUSRöntgen (i.e. MRI  
1479 data).  
1480

### 1481 **10.1 Case Report Forms**

1482 Relevant baseline information and follow-up monitoring will be manually recorded on specifically  
1483 designed CRFs by study nurse or by the investigators of the ICU team. These same persons are  
1484 allowed to make corrections to the CRF if necessary. After the correction, the original writing must  
1485 be visible, and the correction must be dated and marked with one's initials. The following is  
1486 considered as a source data:

- 1487 • Electronic data collection and capture (see details in 10.2.)
- 1488 • Original patient documents and reports made by nurses and physicians during the hospital  
1489 stay(s) and during the follow-up
- 1490 • X-rays, brain CT, MRIs and electronic PET data  
1491

### 1492 **10.2 Electronic data collection and capture**

1493 Collection:

- 1494 • Original continuous electrocardiogram (3 channels), arterial blood pressure, and pulse  
1495 oximetry will be recorded during the treatments and displayed on a multichannel monitor  
1496 (GE Healthcare S/5 Compact Monitor, E-PRESTIN module, ECG cable, and S/5 Collect  
1497 software on a laptop PC). All data is transferred/copied to PC-workstations for further  
1498 analysis.

1499 Captured from the ICU database:

- 1500 • Ventilatory parameters (i.e. tidal volume, respiratory frequency, minute volume),  
1501
- 1502 • Hemodynamic: central venous pressure and blood pressure.  
1503
- 1504 • Bladder and oesophageal temperature  
1505
- 1506 • The concentrations of end tidal xenon and oxygen are displayed electronically and  
1507 continuously monitored, recorded and stored.  
1508
- 1509 • All laboratory results  
1510
- 1511 • Use and dosage of all medication  
1512
- 1513 • All medical reports written or dictated by nurses and physicians  
1514

### 1515 **10.3 Data management**

1516 Manually recorded CRFs will be stored at the Department of Anesthesiology and Intensive Care of  
1517 the Turku University Hospital. Chart files containing the continuously measured clinical data will  
1518 be stored on a PC workstation, and will be packed up on CD-ROMs. The data which have been  
1519 collected before a possible withdrawal will be used for study purposes. All patient files, including  
1520 ethical committee approvals and amendments, all source documents and case report copies, PET  
1521 film hard copies, and patient informed consent forms will be stored for a minimum of 15 years.  
1522 Data Protection: We will follow the guidelines in the European Clinical Trial Directive regarding  
1523 protecting the identity of the patients.  
1524



1525 **10.4 Study subject register**

1526 Each study patient is identified by a patient code (i.e. first two initials of first and last name and  
1527 subject number). The patient identity (initials and screening/subject number, the subject's first  
1528 name, last name, date of birth, social security number) is recorded on a separate register (i.e. patient  
1529 identity file) which can be accessed only by the PI with a password. Study subject records, subject  
1530 screening log, all original signed informed consent forms, and CRFs will be kept in the  
1531 Investigator's study file to enable the follow-up assessments by safety committee and monitor.  
1532 Investigator's study file can be accessed only by the PI and biostatistician with a password.  
1533

1534 The study subject code (initials and screening/subject number), date of informed consent, date of  
1535 entry or date of exclusion and the reason for exclusion for those recruited for screening (i.e. a  
1536 patient with a decision to start MHT) but not fulfilling the inclusion criteria and therefore excluded  
1537 will be recorded in the subject screening log. Also, all those patients who have been admitted to  
1538 ICU after OHCA but will not receive MHT, will be recorded in the list with a reason for not to be  
1539 treated with MHT.

1540 **11 ETHICS**

1541 **11.1 Ethical review**

1542 Prior to commencement of this investigation, the study protocol, subject information and informed  
1543 consent form will be submitted for approval to the Ethics Committee (EC) of the Hospital District  
1544 of Southwest Finland. The principal investigator (PI) is responsible for obtaining approval of the  
1545 EC for the study protocol including its appendices and for keeping the EC informed of any SAEs  
1546 and amendments as requested by the EC. The PI should file all correspondence with the EC in the  
1547 Investigator's Study File.  
1548

1549 **11.2 Ethical conduct of the study**

1550 The study will be conducted in accordance with the current revision of Declaration of Helsinki  
1551 guiding physicians in medical research involving human subjects.  
1552

1553 The issue of informed consent from a comatose patient is a vexing matter that has engendered  
1554 considerable debate regarding the conduct of trials related to brain protection where the window of  
1555 opportunity to initiate a beneficial intervention is quite small. The laws regarding informed consent  
1556 from a comatose patient vary across different countries. We propose to undertake due diligence of  
1557 the pertinent laws in Finland relating to informed consent of a comatose patient.  
1558

1559 The current study treatment i.e., the combination of inhaled Xenon and endovascular hypothermia  
1560 has not been administered to any patient, or a group of patients, or other individuals prior this trial.  
1561 Therefore, a serious attention to the safety of the patients has been and will be paid during this trial.  
1562 Especially, investigators involved in administering xenon to the patient will be carefully trained by  
1563 an investigator (RL) who has an earlier experience in administering xenon to man. This study will  
1564 be conducted in accordance with GCP and with good scientific practice (GSP). An independent  
1565 safety committee will be involved throughout the trial. All investigations performed and the  
1566 methods employed in this clinical trial, except administering xenon to a patient needing intensive  
1567 care, are in routine use in the ICU of Turku University Hospital. Therefore, it is crucial that all  
1568 investigators who will be involved in taking care of the patients in the ICU as well as during the  
1569 imaging procedures are experienced anesthesiologists and/or intensivists. Also, technical and other  
1570 supporting staff of Turku PET Centre and the department of radiology are well experienced to  
1571 perform PET and MRI studies.

1572

1573 **11.3 Subject information and informed consent**

1574 The written informed consent will be obtained from the next of kin as soon as possible after the  
1575 patient has arrived to the hospital. Next of kin will be provided with appropriate information on the  
1576 investigation, the way it is conducted, its risks and its aims. They will be allowed sufficient time to  
1577 acquaint them selves with the subject information prior to obtaining the written informed consent.  
1578

1579 **12 FINANCING AND INSURANCE**

1580 This study has received a 4-year (2009-2012) grant from the Academy of Finland. An additional  
1581 funding has been applied form the Sigrid Juselius Foundation. Further grants will be applied (e.g.  
1582 EVO) as considered necessary.

1583

1584 All patients will be insured by the “Insurance against medicine-related injuries”  
1585 (Lääkevahinkovakuutus).  
1586

1587 **13 STUDY REPORT AND PUBLICATION(S)**

1588 All effort will be put on eventually publishing the results in peer-reviewed high impact medical  
1589 journals. The authors of such publications will be determined in joint agreement by the  
1590 investigators.  
1591

1592 **14 ARCHIVING**

1593 The principal investigator is responsible for archiving all study related material according to current  
1594 regulations.  
1595

1596 **15 STUDY AGREEMENT**

1597 **(Amendment 5.14 see Summary)** A study agreement will be signed by the principal investigators  
1598 of both participating centres, and by the managing director Seppo Pakkala of Clinical Research  
1599 Institute Helsinki University Central Hospital Ltd. (HYKS-instituutti) and director of Hospital  
1600 District of Southwest Finland before the study can be started in Helsinki University Hospital.  
1601

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1645 **17 ABBREVIATIONS AND DEFINITION OF TERMS**

1646	ADNP	Activity dependent neuroprotective protein
1647	AMPA	$\alpha$ -amino-3-hydroxine-5-methyl-4-isoxazolepropionate
1648	BRS	Baroreflex sensitivity
1649	DTI	Diffusion tensor magnetic resonance imaging
1650	[ <sup>18</sup> F]-EF5	<sup>18</sup> F-2-(2-nitro-1H-imidazol-1-yl)-N-(2,2,3,3,3-pentafluoropropyl)-acetamide
1651	EPO	Erythropoeitin
1652	FA	Fractional anisotrophy
1653	HIF 1a	Hypoxia inducible factor 1
1654	1H-MRSI	Proton magnetic resonance spectroscopy
1655	LV	Left ventricular
1656	ICU	Intensive care unit
1657	OHCA	Out-of-hospital cardiac arrest
1658	MAC	Minimal alveolar concentration
1659	MD	Mean Diffucivity
1660	MHT	Mild hypothermia treatment
1661	MR	Magnetic resonance
1662	MRGlu	Regional cerebral metabolic rate of glucose
1663	MRI	Magnetic resonance imaging
1664	NMDA	N-metyl-D-aspartate
1665	NAA	N-acetylaspartate
1666	NSE	Neuron specific enolase
1667	PET	Positron emission tomography
1668	ROSC	Return of spontaneous circulation (ROSC)
1669	VEGF	Vascular endothelial growth factor
1670	Xe	Xenon

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1691 18 SUMMARY OF THE AMENDMENTS

AMENDMENT	DATE	Section	Regarding	Earlier protocol	Amended protocol	Reason
No 1.1	10.6.2009	6.2	Patient care	A research nurse will be present if needed. Study physician can be reached by telephone.	The study physician and research nurse will always be available during the MHT treatments. Study physician cannot make any clinical decisions	Safety and to avoid bias
No 2.1	16.10.2009	4.4	Exclusion criteria	Exclusion criteria: Admission B-Glucose > 15 mmol/litre	Omitted	Weak evidence
2.2		4.4	Exclusion criteria	Occurrence of cardiac arrest after the arrival of emergency medical personnel	Omitted	Clerical error
2.3		6.1.2	MRI timing	The second MRI will be performed 10 days after OHCA.	Second MRI will be performed 10 ± 2 days after OHCA	Safety
2.4		6.1.2	MRI protocol		Brain temperature is measured noninvasively using proton MR spectroscopy	Study spin-off ( <b>was not feasible</b> )
2.5		6.1.2	MRI protocol		A new method of Tract Based Spatial Statistics (TBSS) with diffusion tensor magnetic resonance imaging will be applied.	New method Study spin-off ( <b>was not feasible; not implemented</b> )
2.6		6.1	Patient monitoring		Transcranial doppler (TCD) imaging will be performed to all feasible patients.	
2.7			Investigators		MRI physicist PhD Jani Saunavaara has been added to the investigator list.	New investigator
2.8		6.1.2	Laboratory samples	TNT, Ck-mb and NSE are obtained at arrival to ICU, at 24 hours, at 48 hours and at 72 hours after arrival to the hospital.	TNT, Ck-mb and NSE are obtained at arrival to ICU, and 24 hours, 48 hours and 72 hours after OHCA.	Previous literature
2.9		4.3	Inclusion criteria	Inclusion criteria: age 18 – 75 years	Inclusion criteria age: 18-80 years	Weak evidence Study spin-off ( <b>was not feasible; not implemented</b> )
No 3.1	21.2.2011	6.1	CONFIDENTIAL Ventilatory care		Transpulmonic pressure measurements during treatments	

3.2	6.1	Monitoring		The effect of xenon inhalation on cerebral carbon dioxide reactivity via trans cranial doppler	Study spin-off ( <b>was not feasible not; implemented</b> )	
3.3		Investigators		Neuroradiologist Sami Virtanen has been added to the investigator list	New investigator	
No 4.1	29.12.2011	6.1.3	PET protocol	PET will be performed within 24 hours after completion of rewarming: Imaging hypoxic but viable brain tissue with hypoxia tracer [18F]-EF5	Brain PET will be performed 5±2 days after OHCA to image microglia cell activation Imaging hypoxic but viable brain tissue with hypoxia tracer [18F]-EF5	Study spin-off ( <b>was not feasible</b> ) , logistical rearrangements
4.2		6.1.3	PET protocol	PET protocol	PET protocol rewritten	Study spin-off ( <b>was not feasible</b> )
No 5.1	22.3.2012		Study sites		List of investigators and study sites as a new recruiting center Helsinki University Hospital became involved.	Recruitment Availability ( <b>was not feasible, by the end of patient recruitment PET imaging was undertaken in 5 patients</b> )
5.2		6.1.3	PET protocol	Brain PET will be performed 5±2 days after OHCA	Brain PET will be performed 5±2 days after OHCA (not to be performed in Helsinki).	
5.3		3.1	Study design	A phase II, randomized, controlled two armed parallel follow-up study.	A two-centre, phase II, randomized, controlled two armed parallel follow-up study.	New recruiting center
5.4		3.2	Randomisation	Randomisation will be performed in random blocks of several sizes.	Randomisation will be performed in random blocks of several sizes. The randomisation will be performed independently and separately at each centre.	New recruiting center
.....5.5		5.1	Patient care	0.5°C/hour rewarming of the patients is allowed to a temperature of 36.5-37.0 °C	A maximum rate 0.5°C/hour rewarming of the patients is allowed to a temperature of 36.5-37.0 °C	Previous literature
5.6		5.4.3	Patient care	Serum glucose will be kept between 4.5-6.5 mmol/l	Serum glucose will be kept between 5.0-8.0 mmol/l	New evidence from literature

5.7	6	Data analysis		All data obtained in Helsinki University Hospital will be transported to Turku University Hospital, and analyzed in Turku	New recruiting center
5.8	6.1	PET and TCD protocol		PET, TCD and carbon dioxide reactivity not to be assessed in Helsinki	Study spin-off, Turku <b>(was not feasible)</b>
5.9	6.2	Safety	An independent safety committee and a monitor will be involved throughout the trial.	An independent safety committee conducted by Turku CRC will be responsible for both centres. A monitor from both centres will be involved throughout the trial. Turku CRC will carry out monitoring in Turku and the Clinical Research Institute Helsinki University Central Hospital Ltd. will be responsible for monitoring patients enrolled in Helsinki.	Safety
5.10	6.1.6	Laboratory samples	P-TnT, P-NSE and P-CK-MBm will be determined by TYKSLAB	P-TnT, P-NSE and P-CK-MBm will be determined by TYKSLAB in Turku University Hospital and by HUSLAB in Helsinki University Hospital	New recruiting center
5.11	6.1.6	Laboratory samples		The blood and urine samples obtained and stored in -70 °C in HUSLAB will be transported to Turku CRST for later determination of catecholamines.	New recruiting center
5.12	9	Study monitoring		The independent safety committee conducted by Turku CRC and chaired by Päivi Rautava, M.D, PhD, will be responsible for both centres. Turku CRC will carry out monitoring in Turku University Hospital and the Clinical Research Institute Helsinki University Central Hospital Ltd. will be responsible for monitoring patients enrolled in Helsinki University Hospital.	New recruiting center

5.13	10	Data handling		The data obtained in Helsinki University Hospital will be transported to Timo Laitio, the principal investigator and person in charge of Xe-Hypotheca trial.	New recruiting center
5.14	15	Study agreement		A study agreement will be signed by the principal investigators of both participating centres, and by the managing director Seppo Pakkala of Clinical Research Institute Helsinki University Central Hospital Ltd. (HYKS-instituutti) and director of Hospital District of Southwest Finland before the study can be started in Helsinki University Hospital.	New recruiting center
No 6.1	28.1.2013	Investigators		Updated list of investigators	
6.2	Synopsis	MRI protocol	First magnetic resonance imaging (MRI) of the brain will be performed within 16 hours after completion of rewarming and the 2nd MRI 10 days after OHCA	First magnetic resonance imaging (MRI) of the brain will be performed within 16 hours after completion of rewarming, the 2nd MRI 10±2 days and the 3rd 3-3.5 years after OHCA	New method
6.3	Synopsis	MRI protocol		Volumetric changes of the gray matter, white matter, and cerebro-spinal fluid spaces: Advanced post-processing method	New method ( <b>study spin-off</b> )
6.4	Synopsis	MRI protocol		Estimation of hemosiderin and local neurodegeneration: Susceptibility-weighted imaging (SWI), will be used only in the 3rd MRI	New method ( <b>study spin-off</b> )
6.5	Synopsis	Follow-up	Long-term outcome: 5years after cardiac arrest	Long-term outcome: 3-3.5 years after cardiac arrest	To avoid loss of follow-up



6.6	2.1	MRI protocol		Added protocol for mri verified damage. Late manifestations: white matter degeneration, volumetric changes, atrophy of the both white and gray matter and dilatation of the cortical cerebro-spinal fluid spaces and ventricles.	Exploratory plan
6.7	6.1.2	MRI protocol		An advanced post-processing method is applied in the volumetric analysis and carried out in all obtained MRIs.	Exploratory plan <b>(study spin-off)</b>
6.8	3.3	Follow-up	The long-term outcome will be assessed at 5 years after the cardiac arrest by telephone, or by seeing the patient at home or at study physician's appointment.	The long-term outcome will be assessed at 3-3.5 years after the cardiac arrest by telephone, or by seeing the patient at home or at study physician's appointment.	To avoid loss of follow-up
No 7.	24.2.2014	6.1.2	MRI protocol	Constrained spherical deconvolution (CSD) and whole brain tract networks graph theoretical analysis added as MRI methods	Study spin-off