

Supplementary Online Content

Buhagiar M, Naylor J, Harris I, et al. Effect of inpatient rehabilitation vs a monitored home-based program on mobility in patients with total knee arthroplasty - the HIHO study: a randomized clinical trial.

Supplement 1: Table of Contents

1. Final protocol
2. Statistical analysis plan

This supplementary material has been provided by the authors to give readers additional information about their work.

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The HIHO Rehab Study Protocol

Version Number: 5

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Approved by the St Vincent’s Hospital HREC

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SYNOPSIS

Protocol title: The HIHO Rehab Study Protocol
Protocol version: 5

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122 **Summary**

123 Study title: The HIHO Rehab Study

124 Protocol version: 5

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126 **Objectives** Our over-arching aim is to establish whether inpatient rehabilitation is
127 necessary after total knee replacement (TKR).

128 **Primary objective:** The aim of the proposed study is to determine whether 10 days of
129 post-acute inpatient rehabilitation followed by a home programme is superior to a home
130 programme alone.

131 **Study design:** Two arm, parallel randomised controlled trial with third observational
132 group.

133 **Planned sample size:** A minimum of 140 participants, minimum of 70 in each arm.

134 **Selection criteria:** Patients presenting to Fairfield or Sutherland Hospital for a primary
135 total knee replacement, and who can comprehend the protocol, and who are not
136 predisposed (due to lack of social supports or multiple physical impairments) to be
137 discharged to an inpatient facility.

138 **Study procedure:** Patients will be screened, consented and thus enrolled pre-operatively.
139 Day 1 after surgery they will be randomized to 1 of the 2 treatment arms – inpatient
140 rehabilitation at Braeside or Sutherland Hospital (10 days) or to usual care, a monitored
141 home programme, provided by Fairfield, Sutherland or Campbelltown Hospitals.

142 **Statistical considerations:** *Sample size calculation:* The primary endpoint in this trial is
143 function at 6 months post-surgery, measured using the outcome of a Six-Minute Walk Test
144 (6MWT). A total minimum of 140 patients (minimum of 70 patients in each group) will
145 provide 80% power and 95% confidence to detect a difference of 60m (SD 100m) in the
146 in-hospital rehab group at 6 months when compared to the home based programme, and
147 allows for 10% loss to follow-up.

148 **Duration of the Study:** 3.5 years

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151

152		TABLE OF CONTENTS
153		
154	BACKGROUND	
155	Disease Background	
156	Rationale for Performing the Study	
157		
158	STUDY OBJECTIVES	
159	Primary Objective	
160	Secondary objectives	
161		
162	STUDY DESIGN	
163	Design	
164	Study Groups	
165	Number of Participants	
166	Number of Centres	
167	Duration	
168		
169	PARTICIPANT SELECTION	
170	Inclusion Criteria	
171	Exclusion Criteria	
172		
173	STUDY OUTLINE	
174	Study Flow Chart	
175	Investigation Plan	
176	Recruitment and Screening	
177	Informed Consent Process	
178	Enrolment Procedure	
179	Randomisation Procedure	
180	Study Procedures	
181		
182	SAFETY	
183	Adverse Event Reporting	
184	Serious Adverse Event Reporting	
185	Data Safety and Monitoring Board - membership and responsibilities	
186	References to national and international guidelines on research in humans	
187	Early Study Termination	
188		
189	BLINDING AND UNBLINDING	
190		
191	STATISTICAL CONSIDERATIONS	
192	Sample Size Calculation	

193	Analysis Plan
194	
195	CONFIDENTIALITY AND STORAGE AND ARCHIVING OF STUDY
196	DOCUMENTS
197	
198	REFERENCES
199	
200	SUMMARY OF CHANGES TO THE PROTOCOL
201	
202	STATISTICAL PLAN
203	
204	

BACKGROUND

1.1. DISEASE BACKGROUND*

TKR and associated costs Since 2003, the number of primary TKR procedures undertaken annually in Australia has increased 55.9%;¹ the increase in the private sector has outstripped the increase in the public sector (62.2 vs 44.4%). The private sector performs approximately 67.4% (22 822/33 884) of all primary TKRs.¹ The increasing surgical volume witnessed locally mirrors the increase seen internationally.^{2,3} Demand is secularly increasing due to advances in surgical approach,⁴ the ageing population, and because consumers, who are living longer, are opting for a surgical solution in order to reduce age-related disability in their latter years.^{5,6} Further increases are expected in the foreseeable future,¹ thus, there is increasing concern regarding the sustainability and affordability of this type of intervention both in the public and private sector. Increased demand in the public sector cannot be readily met because the supply of services is not driven by demand, but rather, is dictated by governmental policy set within the context of a fixed proportion of GDP.⁵ Whilst the private sector can adjust more readily to increases in demand owing to greater underutilised capacity, this inevitably puts upward pressure on private health insurance premiums.⁴ Regardless of sector, current and future affordability is an issue.

Whilst the TKR procedure is viewed as highly cost-effective in light of the impressive gains in functional performance and health-related quality of life,^{3,7} the acute-care and associated rehabilitative costs impose a significant burden on public and private hospital budgets.^{8,9,10} Not surprisingly, a recent retrospective study from the US concluded that the cost-effectiveness of TKR is reduced if the procedure is associated with a stay in an inpatient rehabilitation facility.³

Evidence in support of inpatient rehabilitation after TKR Our own systematic search of key electronic healthcare databases (May 30, 2011) revealed that there was no high-level evidence to support the provision of inpatient rehabilitation after TKR. Specifically, no randomised trial has compared inpatient rehabilitation to any out-patient mode or a monitored or unmonitored home programme. Several studies undertaken in the US have concluded that inpatient rehabilitation is not superior to domiciliary rehabilitation (hospital services provided at home).^{11,12} A non-randomised pilot study in Germany concluded that inpatient rehabilitation was not more cost-effective compared to out-patient rehabilitation.¹³ Longitudinal data from an Australian cohort⁹ observed that patient-reported outcomes were similar whether or not TKR patients were discharged to inpatient rehabilitation. The authors concluded, therefore, that randomised trials were required to explore who benefits most - such as the older or more infirm patients - from inpatient rehabilitation.

Evidence in support of non-inpatient modes of rehabilitation A recent systematic review concluded that there was insufficient evidence to support any specific type or mode of outpatient rehabilitation over any other outpatient mode or even a home programme.¹⁴ This included the use of hydrotherapy, 1-to-1 or group-based therapy, and even different exercise types such as those targeting function versus isolated muscle groups. Notably, most of the studies to date have been small ($n \leq 160$) and none have simultaneously compared group-based, 1-to-1 and home-based programmes. Our own recently completed, comparatively large RCT ($n = 249$) provides strong evidence that 1-to-1 centre-based therapy is not superior to a group-based programme or a monitored home programme at 10 and 52 weeks post surgery.¹⁵ The similar recovery patterns observed was despite the fact that access to centre-based interventions for the outpatient groups was optimised through the use of transport and parking concessions. Thus, lack of access or poor attendance did not explain a lack of superiority of the 1-to-1 mode.

254 1.2. RATIONALE FOR PERFORMING THE STUDY*

255 In Australia, inpatient rehabilitation is a costly and commonly utilised treatment option after
256 TKR surgery, particularly in the private sector. A 12-day inpatient stay in a private facility
257 costs approximately \$7000¹⁶, and utilization of inpatient rehabilitation by private patients
258 post TKR is estimated to be as high as 43% in NSW and 29% Australia-wide (compiled data
259 from AROC and AOA). As the majority of TKR procedures are performed in the private
260 sector,¹ the question of whether inpatient rehabilitation is cost-effective is of considerable
261 interest to private health insurers and governments alike. Inevitably, the cost of inpatient
262 services is reflected in private insurance premiums and costly premiums negatively affect
263 rates of private health insurance.

264 Based on our recent RCT and the lack of research evaluating the necessity of inpatient
265 rehabilitation, we contend that a trial comparing the effectiveness of the most resource-
266 intensive form of rehabilitation delivery after TKR – inpatient rehabilitation - to one with
267 comparatively little resource use – a monitored home programme – is readily justified. The
268 definitive study will be a landmark study in this area. It will provide conclusive evidence
269 which either supports or refutes the need for resource-intensive inpatient rehabilitation after
270 TKR.

271 *Rationale for cohort selection and setting:* From our previous RCTs conducted in this
272 area^{15,17} and discussions with representatives from the private sector (Australia Private
273 Hospital Association and members), we know that private patients are less willing to partake
274 in randomised trials that compare the effectiveness of different rehabilitation interventions
275 given participation removes their automatic ‘right’ to be discharged home via an inpatient
276 rehabilitation facility. *We therefore propose to answer this most significant question ‘Is*
277 *inpatient rehabilitation necessary after TKR?’ by researching a private sector model within a*
278 *public sector setting.*

279 *Working hypothesis:* The hypothesis for the definitive study is that inpatient rehabilitation
280 after TKR is more cost-effective and associated with superior outcomes – in terms of
281 recovery of function, mobility and quality of life – compared to a monitored home
282 programme. If superiority is shown, a cost-effectiveness analysis will be undertaken.

283

284 2. STUDY OBJECTIVES*

285 2.1. PRIMARY OBJECTIVES*

286 The primary objective of this study is to establish whether inpatient rehabilitation is
287 necessary after TKR for patients with osteoarthritis (OA) who could otherwise be discharged
288 directly home.

289 The main hypothesis to be tested by the proposed study is that TKR recipients who receive
290 inpatient rehabilitation in addition to participating in a home programme, compared to
291 patients who participate in a home programme only, will achieve a superior level of mobility
292 at 6 months post surgery. If superiority is shown, a cost-effectiveness analysis will be
293 undertaken.

294

295 Primary outcome

296 The primary outcome variable is walking distance at 6 months post surgery, measured using
297 the Six-Minute Walk Test (6MWT)^{17, 19}. Function measured by this test is a composite of
298 several factors targeted in rehabilitation programmes after TKA such as lower limb strength,
299 knee range of motion, and balance^{17, 18, 19, 20, 21}. The test is highly reproducible within the
300 individual²², is likely to be less susceptible to misinterpretation and less culturally sensitive

301 than patient-reported outcomes, and does not appear to suffer from the floor or ceiling effects
302 associated with many patient-reported outcomes²³ Together, these attributes mean the results
303 for our primary outcome should be readily translatable to any TKA cohort. Finally, a
304 functional outcome based on a physical test is a novel choice as a primary outcome in this
305 field with most TKA rehabilitation studies focusing on patient-reported outcomes^{12, 17, 18, 20, 24}.

306

307 2.2. SECONDARY OBJECTIVE

308 Secondary hypotheses to be tested relate to patient-reported knee pain and function, health-
309 related quality of life, and knee joint mobility. Superiority in these outcomes will be evident
310 at six months after surgery.

311

312 3. STUDY DESIGN*

313 3.1. DESIGN*

314 Two-arm, parallel randomised controlled trial with third observational group.

315 3.2. STUDY GROUPS

316 Two study groups (treatment arms).

317 1) HI – Hospital inpatient rehabilitation group

318 2) HO – Home-based rehabilitation group

319

320 Description of the interventions

321 (HI): Those allocated to the HI will be admitted to Braeside or Sutherland Hospital's
322 Rehabilitation Unit for 10 days. Informed by the private sector,²⁵ HI patients will receive
323 twice-daily supervised physiotherapy. Supervised therapy will comprise 1-1.5 hr of class-
324 based exercises and 1-1.5 hr of 1-to-1 therapy. After discharge, patients will be provided with
325 a home programme (described below). Monitored Home Programme (HO) (standard care):
326 Approximately 2 weeks post-surgery, patients allocated to HO will attend 1 group-based
327 exercise session at Fairfield, Sutherland or Campbelltown Hospitals where the home
328 programme will be rehearsed and exercises individualised as per extant co-morbidities.
329 Patients will be encouraged to attend a 2nd class within the first 2-8 post-operative weeks to
330 encourage exercise progression and discuss any ongoing issues with the therapist. *Patients in*
331 *both groups will receive a booklet (see attached) detailing the home programme, and will be*
332 *permitted to contact the therapist by phone in this period for advice.* Any patient can be
333 reviewed at any time by the therapist within the first 8 weeks of surgery if there are any
334 concerns raised by either the patient or the therapist. All patients will be required to complete
335 a diary detailing programme adherence and healthcare utilisation.^{8,9}

336 Treating therapists will be required to complete a study proforma detailing therapy provided
337 and therapy attendance and any complications.

338 Therapy provided to those who do not consent to participate

339 Patients who do not consent to participate in the study will receive standard care, i.e. the HO
340 programme. Due to unforeseen circumstances, some may be discharged to inpatient
341 rehabilitation.

342

343 3.3. NUMBER OF PARTICIPANTS*

344 A minimum of 140 participants will be assigned to either the HI or HO groups with a
345 minimum of 70 in each group.

- 346 3.4. NUMBER OF CENTRES
347 - There are two recruitment centres and four treatment sites.
348 - Fairfield and Sutherland Hospitals are the recruiting sites. Fairfield, Sutherland
349 and Campbelltown Hospitals will oversee the monitored home programme.
350 - Braeside and Sutherland Hospitals will provide the inpatient rehabilitation.

351 3.5. DURATION
352 The study is expected to take approximately 48 months including 30 months for
353 recruitment, 12 months from enrolment of the last participant, and 6 months for
354 analysis.

355

356 4. PARTICIPANT SECTION

357 4.1. INCLUSION CRITERIA*

- 358 • Undergoing primary unilateral TKR at Fairfield or Sutherland Hospital
- 359 • Having a primary diagnosis of osteoarthritis
- 360 • Willingness to give written informed consent and willingness to participate
361 to and comply with the study.
- 362 • Age 40 years or over

363 4.2. EXCLUSION CRITERIA*

- 364 • Patients with a history of a psychological illness or condition such as to
365 interfere with the patient's ability to understand the requirements of the study.
366 This may include, but is not limited to a history of dementia or short-term
367 memory impairment secondary to a cerebrovascular accident.
- 368 • Patients predisposed to be discharge to an inpatient rehabilitation (or hostel)
369 facility due to lack of social support or multiple physical impairments
- 370 • Patients unable to read English
- 371 • Patients unable to perform a home exercise programme without hands-on
372 support from another person or who are unable to perform the programme
373 without supervision (that is, observation) from another person.
- 374 • Patients restricted to partial or nil weight-bearing through the operated limb
375 post surgery.
- 376 • Patients experiencing a catastrophic complication post surgery (e.g. suffer a
377 stroke or periprosthetic fracture) which precludes participation in the planned
378 rehabilitation programmes.
- 379 • Pregnancy

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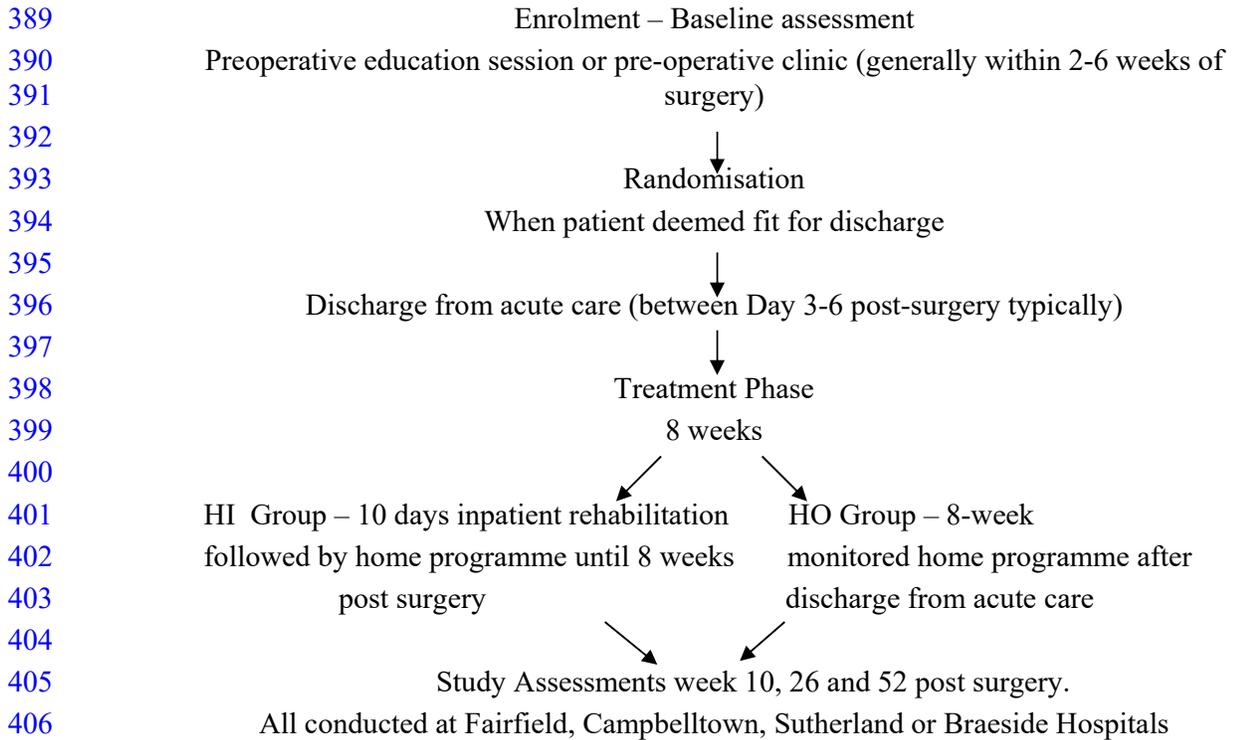
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387 **5. STUDY OUTLINE***

388 5.1. STUDY FLOW CHART



408 5.2. INVESTIGATION PLAN*

409 Consenting participants will undergo a baseline assessment prior to surgery. Participants will

410 be reassessed (followed up) at 10, 26 and 52 weeks post surgery. The assessments which will

411 be conducted are summarised in the table below. As well as obtaining measures commonly

412 used to assess recovery after knee replacement [six minute walk, 15 metre walk (distance and

413 gait speed), Knee Injury and Osteoarthritis Outcome Score (KOOS), EQ-5D, Oxford knee],

414 patient adherence to the home programme and physiotherapy attendance, patient healthcare

415 utilisation costs and carer burden will be monitored. Physiotherapy attendance will be

416 directly obtained from the treating therapists. Patients experiencing any catastrophic

417 complaints that affect their capacity to engage in the allocated rehabilitation programme will

418 still be followed-up as per the planned schedule. Patients allocated to the Inpatient arm will

419 also be assessed using the Functional Independence Measure (FIM) on entry and exit to

420 Braeside and Sutherland Hospitals as this is a tool commonly utilised by inpatient

421 rehabilitation providers and will allow future comparisons with other rehabilitation datasets.

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List	Enrolment	Week 10	Week 26	Week 52
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Interventions	Visit			(Final)
Informed Consent	✓			
Inclusion / Exclusion criteria	✓			
Nomination of preferred Rx method	✓	✓		
Obtain demographic and anthropometric data	✓	Weight only	Weight only	Weight only
Complete KOOS, EQ5D, Oxford surveys	✓	✓	✓	✓
Six minute walk test (gait speed and distance)	✓	✓	✓	✓
15 metre walk test	✓	✓	✓	✓
SF12 or EQ-5D	✓	✓	✓	✓
Patient-reported adverse event		✓	✓	✓
Postacute healthcare utilisation costs		✓	✓	✓

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Each test or survey will be completed according to standardised protocols, and conducted by an assessor blinded to the treatment allocation. The assessments will take place at Fairfield Hospital (Whitlam Joint Replacement Centre or the Pre-Admission Clinic), Sutherland Hospital (Preadmission Clinic) or Campbelltown Hospital (Physiotherapy Department). Occasionally it may be necessary for a follow-up assessment to be conducted at a participant's home, in the event that this is deemed the only way that an outcome measure may be collected for a participant, for example when participants are unable to come to the designated hospital for follow-up due to transportation or other issues. Patients will be given diaries to complete their record of healthcare utilisation after discharge from acute care or from inpatient rehabilitation. Acute care costs will be ignored as they will be assumed to be the same for all patients. Length of stay will not be dictated by the study as

441 the normal criteria for discharge from acute care will apply independent of which study arm
442 the patient is in. Costs of rehabilitation will commence from either discharge to inpatient
443 rehabilitation or discharge home. The inpatient rehabilitation costs will be estimated from
444 known costs of TKR inpatient episodes in a private facility. Other healthcare costs will be
445 derived from the standard Medicare Schedule and Pharmaceutical Benefits Schedule for the
446 study time period.

447

448 Study endpoints

449 The data collection phase of the study will end when the last randomised patient has their 12-
450 month assessment. The entire study will end after the analysis involving all participants is
451 complete.

452

453 5.3. STUDY PROCEDURE RISKS*

454 We do not anticipate that the patient is exposed to any further risk than they would
455 otherwise be exposed to when participating in a typical rehabilitation programme after
456 TKR. There is a risk of falling or muscle or joint soreness (in either treatment arm),
457 however, we believe the risk of falling to be low and the joint or muscle soreness
458 experienced is generally well tolerated. We have conducted two rehabilitation RCTs
459 involving TKR patients (351 patients in total) and not one adverse event has occurred or
460 been reported as a result of the programmes under investigation (home, gym or one-to-one
461 programme or hydrotherapy).^{15,17} The assessments to be undertaken are also low or
462 negligible risk and again have been the same tests conducted without mishap in the previous
463 TKR rehabilitation trials.

464

464 5.4. RECRUITMENT AND SCREENING*

465 Patients booked for TKR surgery at Fairfield or Sutherland hospitals and presenting to the
466 pre-operative education session or the pre-operative clinic will be screened for eligibility by
467 an investigator (MB) and a research officer (if funding is obtained). Screening will occur
468 through discussion with the potential patient and carer, and review of the joint replacement
469 assessment undertaken by a musculoskeletal coordinator who prioritises patients for surgery
470 at Fairfield and Sutherland Hospitals.

471

471 **Enrolment Procedure***

472 The participant will be enrolled into the study after the informed consent process has been
473 completed and the participant has met all inclusion criteria and none of the exclusion
474 criteria. The participant will receive a study enrolment number and this will be documented
475 in the participant's medical record and on all study documents. On enrolment, each
476 participant will be asked their preferred treatment method.

477 Patients who do not wish to take part in the study will be invited to participate in a non
478 randomised observational arm, to be followed up at 6 months.

479

479 5.5. INFORMED CONSENT PROCESS*

480 Eligible patients who express an interest in the study will be required to read a study
481 information sheet. Those agreeing to participate will be required to sign a Consent Form
482 which in turn will be witnessed by an independent person. It is anticipated that a carer,
483 friend or relative will be present during this process.

484

484 5.6. RANDOMISATION PROCEDURE

485 Randomisation will take place in the days following surgery, once it has been confirmed
486 that participants have come through surgery without suffering any relevant adverse
487 outcomes (see exclusion criteria) and are progressing towards discharge. If it is determined

488 that there is a need for rehabilitation at this time, patients are no longer eligible to
489 participate in the study due to exclusion criteria.

490 The University of Sydney Randomisation service will be used for participant randomisation
491 (1:1 ratio). This is a centralised service providing secure, coded randomisation via a
492 telephone. The randomisation service will generate and hold the randomisation schedule.
493 Allocation will be concealed until the point of randomisation. Randomisation will occur as
494 close to the time of intervention as possible, by waiting 3-4 days post-surgery for clearance
495 to participate.

496 Participants will be randomised to one of the two intervention groups using the method of
497 minimisation stratified for age (≤ 70 , >70 y), gender and height.

498

499 **6. SAFETY***

500 6.1. ADVERSE EVENT REPORTING*

501 Adverse events experienced by the patient during the acute period will be monitored to
502 ensure the patient remains eligible for the study. Monitoring will occur via the ward-based
503 physiotherapist who will inform the investigators of the patient progress.

504 Adverse events post discharge from acute care will be monitored, whether they be related or
505 unrelated to the study intervention. This will occur via communication with the
506 physiotherapists providing therapy (inpatient or monitored home programme) and at the
507 follow-up assessments with the patient. Adverse events include any post-surgical
508 complication, falls or instances of greater than expected muscle or joint soreness.

509 If the treating therapist detects a complication, then, as per standard practice, they will
510 advise the treating surgeon and any relevant registrar or GP of their concern and also record
511 this information in the study proforma and patient record. If the patient simply informs the
512 treating therapist about a known complication, then the therapist will record the
513 complication in the study proforma and the patient's record. The study investigator will be
514 informed as soon as possible by the physiotherapist of any complication that the therapist
515 considers may interfere with the patient's capacity to continue with either programme.

516

517 6.2. DATA SAFETY AND MONITORING BOARD

518 An independent DSMB will be established to monitor the trial safety and where appropriate
519 provide advice on issues regarding the scientific aspects of study conduct (eligibility,
520 recruitment rates, and compliance/cross-overs) study safety (serious adverse events) and any
521 emerging evidence as it relates to the trial. This board will comprise at least a rehabilitation
522 physician, physiotherapist and a statistician/epidemiologist.

523 **Early Termination**

524 The study will be terminated early if access block to Braeside hospital becomes
525 unmanageable because of the study. The primary investigator will inform the HREC if the
526 study is terminated early. All enrolled patients will be notified of study termination by the
527 primary investigator and future follow-ups will only be completed if the patient wishes.

528

529 **7. BLINDING AND UNBLINDING**

530 Any investigator or research officer involved in the follow-up assessments will be blinded to
531 the treatment allocation. Patients and carers will be asked not to disclose their treatment
532 allocation at the follow-up assessments. Blinding will not be possible for the treating
533 physiotherapists, or the participants.

534

535 **8.STATISTICAL CONSIDERATIONS**

536 The primary endpoint in this trial is function at 6 months post surgery, measured using the
537 outcome of a Six-Minute Walk Test (6MWT). A total minimum of 140 patients (minimum of
538 70 patients in each group) will provide 80% power and 95% confidence to detect a difference
539 of 60m (SD 120m) in the in-hospital rehab group at 6 months when compared to the home
540 based programme, and allows for 10% loss to follow-up.

541

542 **9. CONFIDENTIALITY AND STORAGE AND ARCHIVING OF STUDY** 543 **DOCUMENTS***

544 As per 2.2.2 in the Australian Code for the Responsible Conduct of Research,²⁷ the study data
545 will be stored in the researcher's own department. That is, in the office of Mark Buhagiar at
546 Braeside Hospital. The paper data will be stored in a locked cabinet in office which is locked
547 when no research personnel are present and the database will be stored on Mr Buhagiar's M
548 drive and be password protected. As per 2.2.3,²⁷ the researchers and the physiotherapists
549 involved in providing therapy for the patients at Braeside, Sutherland, Campbelltown and
550 Fairfield Hospitals have agreed that: the researchers will store study assessment data (such as
551 KOOS surveys, six minute walk distances, exercise diaries) in the office of Mr Buhagiar; the
552 physiotherapists will store their documentation relating to their treatment in the medical
553 records at the two sites.

554 Once the data is entered into a computer database, the data will be coded, but will be re-
555 identifiable. This is to permit future matching of data from a patient across time (pre-
556 operative, at follow-up). At the conclusion of the analysis, the data will be non-identifiable.

557 The paper-based and electronic data will be stored in the researchers' department for 15
558 years as per 2.1.1 in Code.²⁷

559 As stated in the NEAF (Section 8), confidentiality and patient privacy will be protected a
560 variety of ways:

- 561 • Data will be coded once entered into the computer and the file will be password
562 protected and only viewed by investigators. Paper copies of study documents and
563 audio tapes will be stored in a locked filing cabinet in the researchers' office.
- 564 • Information collected will not be used for any other purpose beyond that stated.
- 565 • When disseminating the results, no individuals will be identified or identifiable.

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666 **eMethods1. Statistical analysis plan**

667

668 **HIHO STATISTICAL ANALYTICAL PLAN (SAP) – JANUARY 2014**

669

670 **Background**

671 HIHO is a 2-armed parallel randomised controlled trial comparing 10 days on inpatient
672 rehabilitation followed by a hybrid home program (usual care) to a hybrid program alone in
673 people who have undergone a TKA. A third observational arm provides the opportunity to
674 assess the effect of preference on the measured outcomes as well as assess generalisability of
675 the randomised cohort.

676 Participants are randomly assigned via a central randomisation process to 1 of 2 arms. A
677 centralised randomisation service will be used for participant randomisation using a 1:1 ratio,
678 providing secure, coded randomisation via telephone. Group allocation, therefore, will be
679 concealed from all parties until the result of the randomisation is known. Participants will be
680 randomised to one of the two intervention groups using the method of minimisation stratified
681 for variables that affect the primary outcome (distance walked in the 6MWT - age (≤ 68 y,
682 >68 y), height (≤ 163 cm, >163 cm), and gender.

683 Participants are followed up by an assessor blind to treatment allocation at 10, 26 and 52
684 weeks.

685 Neither therapists or participants are blind to the intervention received.

686 Different therapists are involved in the delivery of the inpatient and home-based programs.

687 **Data management**

688 Data will be sent by blinded assessors to blinded data entry staff for collation and data entry,
689 with range checks for data values. All databases will be password protected and stored in
690 secure areas. Data will be checked for accuracy against the source documents by the study
691 coordinator after all participants are randomized and then when all participants have had their
692 final follow-up.

693 **Statistical plan**

694 **Outcome measures:** The primary outcome and most secondary outcomes will be measured
695 pre-operatively, at week 10 (the time when the home program formally ceases), and then at 6
696 and 12 months post-randomisation. Assessments will be performed by an observer blinded to
697 the participants' study allocation.

698 The primary endpoint in this trial is functional mobility at 6 months post-surgery measured
699 using the distance walked during a 6MWT. Seventy participants in each group (140 in total)
700 will provide 80% power at a significance level of 5%, to detect an increase in walking
701 capacity from 400 m to 460 m between the Home and Inpatient groups respectively in the
702 six-minute walk test at six months post-surgery, assuming a SD of 120 m and a drop-out rate
703 of $< 10\%$. With the addition of a second recruitment site, the definitive sample is expected to
704 be approximately 160 participants, allowing us to increase the power of the study.

705 In the absence of data describing the minimum clinically important difference (MCID) for the
706 6MWT, a sub-study is now included to evaluate the MCID/MID for measured mobility in
707 this population.

708 **Descriptive analyses at baseline**

709 Comparability of intervention groups will be investigated at baseline. Descriptive statistics
710 will be presented, including summary statistics of potential confounding variables.

711 **Analyses subsets**

712 Data analysis will be completed using the principle of intention-to-treat (ITT) [1]. We will
713 include all randomised participants regardless of level of compliance with the protocol. The
714 primary outcome variable is distance measured using the outcome of a 6MWT at 26 weeks.

715 Analysis of covariance will be used for this primary outcome, with treatment group as the
716 main study factor and walking distance at baseline, weight, co-morbidities and patient
717 preference as covariates. For participants with a missing outcome measure at 26 weeks, an
718 imputation method will be used [1,2].

719 The secondary outcome variables include the 6MWT at 10 and 52 weeks post-surgery, the 15
720 metre walk test, EQ5D, Oxford Knee Score, KOOS and knee ROM at most post-surgery time
721 points.

722 Mixed model analyses will be used for the continuous variables measured repeatedly at 10,
723 26 and 52 weeks to estimate the treatment group by time effects. These analyses incorporate
724 the missing data that may occur at the follow up occasions. Baseline measurements of the
725 outcome variables, together with factors, such as weight, co-morbidities and patient
726 preference, will be included as covariates. For the binary outcome measured 10, 26 and 52
727 weeks (knee flexion $> 100^\circ$ or $\leq 100^\circ$), a Generalised Estimating Equation model will be
728 used to test the treatment effect, with the adjustment of the covariates as above.

729 For the sensitivity analysis, we will also perform a per protocol analysis including only
730 patients who complied with the treatment protocol. Compliance for HI group will be defined
731 as attendance of a minimum of 7 days of inpatient rehabilitation, along with attendance at no
732 less than two outpatient sessions and no more than five. Compliance for the HO group will be
733 defined as attendance at no less than two outpatient sessions and no more than five.

734 There will be procedures put in place to minimise loss to follow-up, such as obtaining
735 multiple contact details at time of consent and reminders that assessments are due. The age,
736 gender and height of participants will be used as stratification variables in the randomisation
737 procedure via a minimisation algorithm. The mixed model analysis indicated above will
738 include these three variables as additional covariates to incorporate the possible within
739 treatment group correlation associated with by stratification [3].

740 For the analyses involving the observational arm, the change score in the primary outcome
741 will be compared between the observational group and those in the randomised home group
742 adjusting for other covariates. A lack of significant difference in scores between the two
743 groups will suggest there is no strong preference effect in this trial and we may not need to
744 include preference as a covariate in the RCT analyses. To be consistent with the two RCT
745 groups, we will aim for a minimum 64 participants in the observational group.

746 Cost-effectiveness analysis will be undertaken if the inpatient arm is shown to be superior.
747 Due to limited funding, we will no longer utilize data from the PBS or Medicare. Costs will
748 be based on standardized unit prices and based on patient diaries of expenses.

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