

1  
2  
3  
4  
5  
6  
7  
8  
9  
10  
11  
12  
13  
14  
15  
16  
17  
18  
19  
20  
21  
22  
23  
24  
25

**Randomized, Controlled Dietary Treatment Study of Pediatric NAFLD**

**Funding Source:** Nutrition Science Initiative

**Principal Investigators:** Miriam Vos, MD, MSPH and Jeff Schwimmer, MD

**Co-Investigators:** Ariel Feinstein, MD, Jonathan Africa, MD, Kimberly Newton, MD, Claude Sirlin, MD, Michael Middleton, MD, PhD, Jean Welsh PhD, MPH, RN, and Adina Alazraki, MD

**Draft or Version Number:** 2.0

**May 28, 2015**

## TABLE OF CONTENTS

|    |  |    |
|----|--|----|
| 27 | TABLE OF CONTENTS.....   | 1  |
| 28 | LIST OF ABBREVIATIONS .....  | 3  |
| 29 | PROTOCOL SUMMARY .....   | 4  |
| 30 | 1 KEY ROLES.....   | 6  |
| 31 | 2 Introduction: Background Information and Scientific Rationale..... | 8  |
| 32 | 2.1 Background Information .....                                     | 8  |
| 33 | 2.2 Justification.....   | 10 |
| 34 | 2.3 Potential Risks and Benefits.....                                | 11 |
| 35 | 2.3.1 Potential Risks .....  | 11 |
| 36 | 2.3.2 Potential Benefits.....  | 12 |
| 37 | 2.3.3 Alternative treatments and procedures.....                     | 12 |
| 38 | 2.3.4 Protection against risks.....                                  | 12 |
| 39 | 3 OBJECTIVES.....  | 13 |
| 40 | 3.1 General hypothesis .....   | 13 |
| 41 | 3.2 Specific Hypothesis .....  | 13 |
| 42 | 3.3 Implications .....   | 13 |
| 43 | 3.4 Primary Outcome Measure .....                                    | 13 |
| 44 | 3.5 Secondary Outcome Measures.....                                  | 13 |
| 45 | 4 Study Design.....  | 14 |
| 46 | 4.1 Overview .....   | 14 |
| 47 | 4.2 Intervention.....  | 14 |
| 48 | 4.3 Dietary Assessment .....   | 15 |
| 49 | 4.4 Sweetness Perception Testing.....                                | 16 |
| 50 | 4.4.1 Participant preparation .....                                  | 16 |
| 51 | 4.4.2 Sensory Ratings .....  | 16 |
| 52 | 4.5 Insulin Sensitivity Measurements.....                            | 17 |
| 53 | 4.6 Study duration for participants.....                             | 17 |
| 54 | 4.7 Study Timeline.....  | 17 |
| 55 | 5 Study Enrollment and Withdrawal .....                              | 18 |
| 56 | 5.1 Participant Inclusion Criteria.....                              | 18 |
| 57 | 5.2 Participant Exclusion Criteria .....                             | 18 |
| 58 | 5.3 Strategies for Recruitment.....                                  | 19 |
| 59 | 5.4 Reasons for Withdrawal .....                                     | 19 |
| 60 | 5.4.1 Handling of Withdrawal.....                                    | 19 |
| 61 | 5.4.2 Termination of Study.....                                      | 19 |
| 62 | 6 Study Schedule .....   | 21 |
| 63 | 6.1 Screening .....  | 21 |
| 64 | 6.2 Day 0: Baseline Visit .....                                      | 22 |
| 65 | 6.3 Follow-up Visit Day 28.....                                      | 23 |
| 66 | 6.4 Follow-up Visit Day 56.....                                      | 23 |
| 67 | 6.5 End of study follow-up.....                                      | 23 |
| 68 | 7 Statistical Considerations.....                                    | 24 |

|    |    |     |   |    |
|----|----|-----|---|----|
| 69 |    | 7.1 | Sample Size Considerations .....              | 24 |
| 70 | 8  |     | Ethics/Protection of Human Participants ..... | 26 |
| 71 |    | 8.1 | Ethical Standard .....                        | 26 |
| 72 |    | 8.2 | Institutional Review Board .....              | 26 |
| 73 |    | 8.3 | Informed Consent Process .....                | 26 |
| 74 |    | 8.4 | Informed Assent Process .....                 | 26 |
| 75 |    | 8.5 | Participant Confidentiality .....             | 26 |
| 76 |    | 8.6 | Study Discontinuation.....                    | 27 |
| 77 |    | 8.7 | Data Safety Monitoring Plan.....              | 27 |
| 78 | 9  |     | Data Handling and Record Keeping.....         | 28 |
| 79 |    | 9.1 | Data Management Responsibilities.....         | 28 |
| 80 |    | 9.2 | MRI Data Coordination.....                    | 28 |
| 81 |    | 9.3 | Types of Data .....                           | 28 |
| 82 |    | 9.5 | Study Records Retention .....                 | 28 |
| 83 | 10 |     | Literature References.....                    | 29 |
| 84 |    | 11  | Attachments 11.1 SCHEDULE OF EVENTS .....     | 32 |
| 85 |    |     | 11.2 BLOOD DRAW SCHEDULE .....                | 33 |
| 86 |    |     |   |    |

87

88

## LIST OF ABBREVIATIONS

|          |   |
|----------|---|
| Adipo-IR | Adipose Tissue Insulin Resistance                   |
| AE       | Adverse Event                                       |
| ALT      | Alanine Transaminase                                |
| AST      | Aspartate Aminotransferase                          |
| BMI      | Body Mass Index                                     |
| CFR      | Code of Federal Regulations                         |
| CRF      | Case Report Form                                    |
| GCP      | Good Clinical Practice                              |
| GGT      | Gamma-glutamyl Transpeptidase                       |
| HIPAA    | Health Insurance Portability and Accountability Act |
| ICH      | International Conference on Harmonization           |
| IRB      | Institutional Review Board                          |
| MRI      | Magnetic Resonance Imaging                          |
|          |   |
| NDSR     | Nutrition Data System for Research                  |
| NAFLD    | Nonalcoholic Fatty Liver Disease                    |
| NASH     | Nonalcoholic Steatohepatitis                        |
| NuSI     | Nutrition Science Initiative                        |
| OGTT     | Oral Glucose Tolerance Test                         |
| PI       | Principal Investigator                              |
| RCT      | Randomized Control Trial                            |
| SOM      | School of Medicine                                  |
| TPN      | Total Parenteral Nutrition                          |

89

90

91

## PROTOCOL SUMMARY

92

**Title:** **Randomized, Controlled Dietary Treatment Study of Pediatric NAFLD**

93

94

**Summary:** This is a multisite, randomized, controlled, 8-week outpatient feeding study at Emory University and University of California, San Diego. Participants will be non-diabetic male adolescents with biopsy-proved NAFLD. Two groups of 20 participants will be followed for 8 weeks with hepatic fat content assessed by MRI PDFF at weeks 0, 4, and 8. One group will be a standard of care control to track the naturally-occurring changes in hepatic fat content in children over time. The other group will be provided with a low free sugars (<3% total daily calories) version of their habitual diets. The primary outcome is % change in MRI PDFF over time in the treatment group compared to the control group. Additional parameters of liver function and metabolic status (e.g., serum ALT) will also be assessed.

95

96

97

98

99

100

101

102

103

104

**Objectives:** To evaluate hepatic fat by MRI PDFF before and after 8 weeks of a study-provided low free sugars diet (<3%) compared to a prospective, standard of care, control group receiving only imaging.

**Population:** Males age 11 to 16 with a history of liver biopsy confirming NAFLD and hepatic fat by MRI PDFF  $\geq$  10% during screening.

**Type of Trial:** Phase IIb, randomized clinical trial

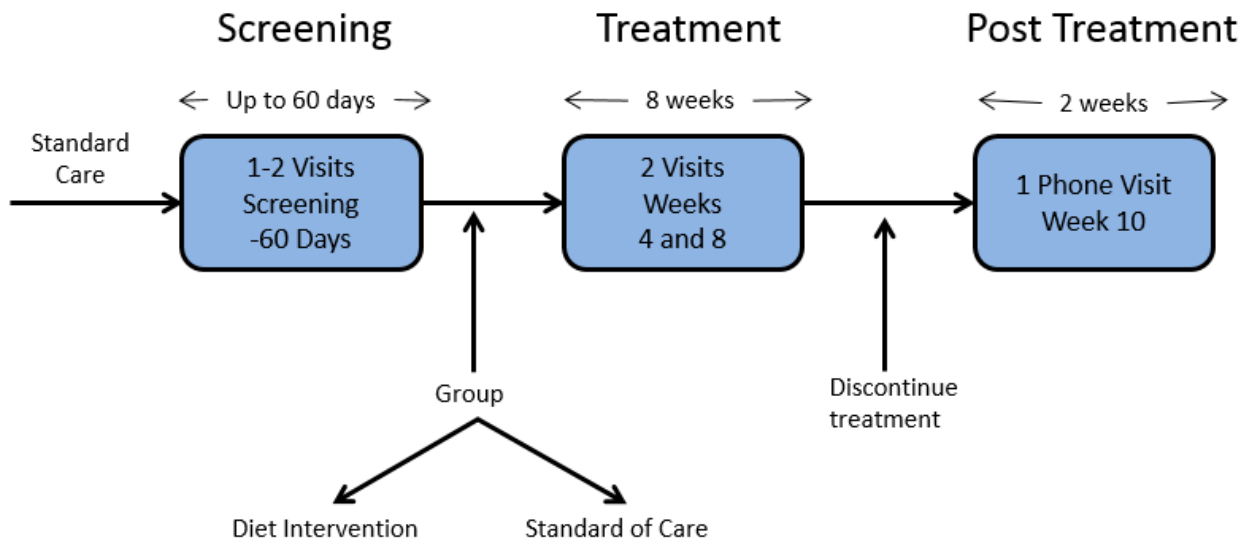
**Study Duration:** 2 years

**Participant Participation Duration:** Up to 16 weeks

**Total Number of Participants:** 40 complete (20 per site – 10 interventions and 10 controls)  
May enroll up to 60 across sites to account for screen fails and drop outs

105  
106

### SCHEMATIC OF STUDY DESIGN



107  
108  
109  
110  
111  
112  
113  
114  
115  
116  
117  
118  
119  
120  
121  
122  
123  
124

125 1 KEY ROLES

**Principal Investigators:**

Jeffrey Schwimmer, MD  
University of California, San Diego  
UCSD Medical Center  
200 West Arbor Drive, MC 8450  
San Diego, CA 92103

Miriam Vos, MD, MSPH  
Emory University School of Medicine  
1760 Haygood Drive, W450  
Atlanta, GA 30322

**Co-Investigators:**

Adina Alazraki, MD  
Children's Healthcare of Atlanta

Claude Sirlin, MD  
University of California, San Diego

Jonathan Africa, MD  
University of California, San Diego

Michael Middleton, MD, PhD  
University of California, San Diego

Ariel Feinstein, MD  
Children Specialists of San Diego

Joel Lavine, MD, PhD  
Columbia University

Jean Welsh, PhD, RN, MPH  
Emory University School of Medicine

**Institutions:**

Emory University and Children's Healthcare of Atlanta

University of California, San Diego and UCSD Medical Center

**Study staff:**

*Project Management*

Rebecca Cleeton, MPH, CCRP  
Research Manager  
Emory University School of Medicine

Janis Durelle, BS  
Project Manager  
University of California, San Diego

Patricia Ugalde Nicalo, MD  
Lead Coordinator  
University of California, San Diego

Jennifer Sanford, BA  
Research Coordinator  
University of California, San Diego

*Nutritionists*

Juna Konomi, PhD  
Emory University School of Medicine

Cynthia Knott, RD  
University of California, San Diego

*Statistician*

Courtney McCracken, PhD  
Emory University School of Medicine

**Institutional Review  
Boards:**

Emory University IRB  
1599 Clifton Road NE, 5<sup>th</sup> Floor  
Atlanta, GA 30322

University of California, San Diego  
Human Research Protections Program  
9500 Gilman Drive, Mail Code 0052  
La Jolla, California 92093

126

127

128



129

130 **2 INTRODUCTION: BACKGROUND INFORMATION AND SCIENTIFIC**  
131 **RATIONALE**

132 **2.1 Background Information**

133 The field of pediatric nonalcoholic fatty liver disease (NAFLD) has grown exponentially  
134 over the past decade in response to the growth of the disease and increased awareness  
135 of this important liver disease. NAFLD is now the most common liver disease for both  
136 adults and children in the US and is estimated to affect more than 20 million persons<sup>1, 2</sup>.  
137 It is a chronic liver disease closely associated with the metabolic syndrome, although its  
138 role as cause, effect or innocent bystander has yet to be defined<sup>3-9</sup>. NAFLD is an  
139 umbrella term and it is histologically categorized into nonalcoholic fatty liver (NAFL),  
140 defined as hepatic steatosis (fat) without hepatocellular injury and nonalcoholic  
141 steatohepatitis (NASH), defined as hepatic fat plus hepatocellular injury with or without  
142 fibrosis<sup>10</sup>.

143 In the US, Mexican-Americans are the group most affected by NAFLD and it is estimated  
144 that 1 of 4 Mexican American adults has NAFLD<sup>1</sup>. Hispanic-Americans are 2.5 times  
145 more likely to have NAFLD compared to African Americans<sup>2, 11, 12</sup>. Liver disease is the  
146 6<sup>th</sup> leading cause of death for Hispanic adults compared to 12<sup>th</sup> for the general  
147 population<sup>13, 14</sup>. NAFLD increases the risk of liver disease but also increases risk of type  
148 II diabetes, cardiovascular disease (CVD) and the metabolic syndrome<sup>15-20</sup>. For  
149 example, a person with NAFLD and fibrosis has 2.5 – 3.5 times the risk of  
150 cardiovascular disease death and increased risk of type II diabetes compared to a  
151 similarly overweight person without NAFLD (reviewed in Armstrong et al<sup>21</sup>). An estimated  
152 1/3 of adults with nonalcoholic steatohepatitis (NASH), a more severe form of NAFLD,  
153 will go on to develop cirrhosis and liver cancer<sup>22</sup> and it is the most rapidly increasing  
154 reason for liver transplants in adults. The morbidity and mortality of NAFLD, along with  
155 its high prevalence in a vulnerable population make NAFLD a public health priority<sup>23</sup>.

156 In a healthy person, almost no fat (<5% of the liver by volume) is stored in the liver,  
157 despite the fact that the liver is a major site of metabolism for dietary fat, cholesterol,  
158 triglyceride, free fatty acids and more. NAFLD develops when the balance of triglyceride  
159 metabolism in the liver becomes dysregulated in the setting of insulin resistance (IR).  
160 Triglyceride rich lipoproteins either originate in the intestine or liver and the sources of  
161 fatty acids used for lipoprotein assembly are derived either from de novo lipogenesis  
162 (DNL), the diet or from the plasma pool of nonesterified FA (NEFA)<sup>24</sup>. Hepatic DNL  
163 appears to underlie the mechanism of excess hepatic storage of triglyceride during  
164 carbohydrate feeding in individuals with NAFLD<sup>24-26</sup>. Compared to subjects with  
165 metabolic syndrome and no increased hepatic fat, subjects with NAFLD have 3 fold  
166 higher rates of DNL in addition to higher plasma levels of FFA during the nighttime<sup>25</sup>.  
167 The mechanisms of NASH, in which hepatic fat is associated with inflammation and

168 hepatocellular injury are complex but likely involve lipotoxicity and increased oxidative  
169 stress.

170 Because of the high level of consumption and its metabolic fate, fructose has been  
171 studied in relation to many health problems, including NAFLD. Fructose was initially  
172 linked to steatosis and NAFLD in animal models but more recently, a number of human  
173 studies have demonstrated links. Fructose enters the diet primarily from sugars that are  
174 in processed food and beverages, often referred to as *added sugars*. The World Health  
175 Organization (WHO) has provided a draft of guidelines on sugar consumption and has  
176 clarified the term “free sugars” which includes all monosaccharides (fructose, glucose,  
177 galactose) and disaccharides (sucrose, maltose, trehalose) which are added to the foods  
178 by the manufacturer, the cook, or the consumer. The “free sugars” category includes  
179 naturally occurring sugars in honey, fruit juices, and syrups and excludes sugars from  
180 fruits, vegetables or the lactose from dairy. Naturally occurring sources of fructose  
181 include fruit and vegetables, but only account for a small percentage of fructose in the  
182 diet<sup>27</sup>. In the U.S., added sugar consumption rose over most of the past century<sup>27, 28</sup> until  
183 the 2000’s when consumption of sugar sweetened beverages began to decline<sup>29</sup>. Recent  
184 estimates indicate a slightly declined consumption of total added sugar, fructose, and  
185 sugar sweetened beverages<sup>29-32</sup>. Even with this decline, the amount of added sugars,  
186 and thus the amount of fructose, in the typical US diet remains elevated at ~16% of total  
187 calories, which exceeds the upper recommendation of 5-10%<sup>33</sup>.

188  
189 Fructose is absorbed from the lower part of duodenum and jejunum both passively and  
190 actively primarily through GLUT5. After absorption across the brush border of the small  
191 intestine into the portal blood supply, fructose is cleared from the blood in the liver on the  
192 first pass and almost exclusively metabolized in hepatocytes. Most absorbed fructose is  
193 cleaved into glyceraldehyde and dihydroxyacetone phosphate, and these trioses further  
194 go to glycerol phosphate and pyruvate metabolic pathways, promoting gluconeogenesis  
195 and DNL, respectively. It is this stimulation of DNL that has been studied closely and  
196 may be a critical environmental contributor to NAFLD. When compared with a isocaloric  
197 diet with the same macronutrient distribution, a fructose containing diet (25% of calories)  
198 was associated with both higher DNL and higher liver fat in a short term study of healthy  
199 adults<sup>34</sup>.

200  
201 NAFLD patients have been found to be high consumers of fructose. In a cross-sectional  
202 analysis, Quyang et al. found a 2-3 fold increase of fructose consumption in patients with  
203 biopsy-proven NAFLD as compared to their sex, age, and BMI matched controls<sup>35</sup>. A  
204 longitudinal study in obese adolescents indicated that energy-adjusted fructose intake  
205 was independently associated with NAFLD during a 3-year follow up<sup>36</sup>. And fructose  
206 may be associated with the severity of NAFLD. For example, work by Abdelmalek et al.  
207 reported a significant association between fructose intake and fibrosis severity in a  
208 cohort of 427 adults with histologically-confirmed NAFLD<sup>37</sup>.

209  
210 There are a few small studies demonstrating improvement in features of NAFLD with  
211 fructose reduction but this is an area that deserves more research. In a randomized

212 controlled trial, 4-week fructose restriction in children with NAFLD improved their  
213 adipose insulin sensitivity, high sensitivity C-reactive protein (hs-CRP), and LDL  
214 oxidation; whereas their liver enzymes and intrahepatic fat remained unchanged<sup>38</sup>. Over  
215 a longer intervention as of 6 months, improvement of liver enzyme was seen with  
216 lowering dietary component of fructose and glycemic load in NAFLD subjects<sup>39</sup>. Finally,  
217 a recently reported 10 day study in children on a fructose-free diet demonstrated a 20  
218 percent drop in liver fat.

219  
220 Of note, while the studies above isolated fructose as the dietary component being  
221 evaluated, in real life, most fructose in the diet is from added sugars. Thus, while  
222 fructose is important to evaluate for the mechanism of effect, added sugars are a human  
223 health relevant environmental exposure that is being considered in this study.

224  
225 Another technology utilized in this protocol is magnetic resonance imaging-estimated  
226 liver proton density fat fraction (MRI-estimated liver PDFF) for the measurement of  
227 hepatic fat. This is a research technology that is not yet clinically available, but has  
228 recently been validated for use in children and is highly beneficial because it can be  
229 used instead of a liver biopsy to measure hepatic fat<sup>40</sup>. In the validation study, MRI-  
230 estimated liver PDFF was used to measure hepatic steatosis and was compared this to  
231 histopathologic grading in 174 children with a mean age of 14 years (range 8 to 16  
232 years)<sup>41</sup>. All the children completed the MRI acquisition protocol without difficulty  
233 demonstrating the feasibility of MR-based steatosis measurements in young children.  
234 The correlation of the MRI-estimated liver PDFF with the pathology assigned steatosis  
235 grade was very good (0.725)<sup>41</sup>. Further, it is a precise, low risk technology<sup>42</sup>. No MRI  
236 contrast is required and the scan time can be brief (~15 minutes) thus making MRI PDFF  
237 ideal for measuring hepatic fat in this research protocol.

238  
239 In summary, free sugars are associated with severity of NAFLD and may be an  
240 important environmental cause of increased DNL leading to hepatic steatosis. In this  
241 proposal, we will test the effect of a low “free sugars” (<3% total daily calories) diet in  
242 children with biopsy-proven NAFLD using innovative, low risk, non-invasive tools like  
243 MRI for hepatic fat measurement.

## 244 **2.2 Justification**

245 Before designing a large RCT it is prudent to first test effect size of reducing sugar in  
246 male children with a history of NAFLD on hepatic fat, ALT, and other markers in a  
247 smaller, randomized, controlled study.

248 Population studies demonstrate that NAFLD is more than twice as likely in boys  
249 compared to girls<sup>2, 11</sup>. In the recently completed NIH sponsored NASH randomized,  
250 controlled trial, XX% of the subjects enrolled were boys, despite best efforts to increase  
251 recruitment of girls. Puberty is a strong modifier of NAFLD severity<sup>43</sup>. Girls undergo  
252 puberty earlier than boys. Together, these effects could confound the study results  
253 because more girls would be in later stages of puberty compared to the boys.

254 Increasing the sample size would allow for subgroup analysis and controlling for sex,  
255 however budget constraints did not allow for a larger cohort. Thus, the study was  
256 designed with boys alone, excluding girls. There are limitations of including only boys  
257 including that it makes the study less generalizable. This will hopefully be addressed by  
258 proceeding with a larger, longer trial including both sexes justified by the data of this  
259 initial study.

## 260 **2.3 Potential Risks and Benefits**

### 261 **2.3.1 Potential Risks**

262 There is minimal risk in this study. Potential risks are related to 1) the MRI PDFF 2)  
263 blood draws, 3) NPO status, 4) cumulative blood loss, 5) confidentiality and 6) diet  
264 low in free sugars.

- 265 1) MRI PDFF – Some children may find the MRI examination to be a fear invoking one.  
266 These will be done at a research imaging facility where the staff is experienced in  
267 working with children. The MRI scanner also has movies for improving the  
268 experience.
- 269 2) The placement of an intravenous catheter and drawing of blood specimens has  
270 minimal risk of discomfort, bruising, or bleeding. There is minimal risk of infection or  
271 extravasation. Experienced staff with pediatric expertise will place all catheters and  
272 draw blood.
- 273 3) Patients will remain NPO from 8 pm the evening before the study until the fasting  
274 portion of the study is concluded. Some children may become agitated with NPO  
275 status. Blood sugar will be monitored at the beginning of the study and water will be  
276 encouraged to maintain hydration. Each participant will be asked to drink one glass  
277 of water at bedtime prior to the study and one glass in the morning before leaving  
278 home for the research center. Food and water will be provided immediately following  
279 the conclusion of the OGTT.
- 280 4) Blood loss: During the study visits, blood will be drawn from an indwelling IV at 19  
281 time-points. To minimize risk to the patient, we will limit amounts in accordance with  
282 the guidelines of our IRB and the NIH. The total blood volume drawn over the course  
283 of the study will be 108 mL. We expect all of our patients to be >37 kg making these  
284 amounts well within the NIH clinical center guidelines recommending less than  
285 3ml/kg at a single research visit and no more than 7ml/kg over any 6 week period.
- 286 5) There is a small risk of loss of confidentiality. We will follow all procedures required  
287 by respective institutions to protect participants. Efforts will be made to ensure that  
288 all personal information remains confidential. All data will be stored in locked offices  
289 and password-protected computers. Personal identity will be protected in any  
290 publication.
- 291 6) Potential risks of a low “free sugars” diet: sugar is not a required nutrient but it is  
292 known to have some addictive qualities. Elimination of “free sugars” in the diet may  
293 at first result in cravings of sugar and this is expected to fade over 2-3 weeks.

294 **2.3.2 Potential Benefits**

295 The proposed research has substantial potential benefits for children. Sugar is known to  
296 increase adiposity in children over time and even a short reduction of 8 weeks is likely to  
297 have benefits from a BMI and cardiovascular standpoint, although these may not be  
298 sustained after the trial ends. In the standard of care control group, families will receive  
299 gift cards to cover groceries and this may allow them to purchase healthier foods such  
300 as vegetables.

301 Participants in both groups will benefit from the knowledge that they are helping to  
302 contribute to the knowledge of how diet changes can help treat NAFLD.

303 In addition, at the conclusion of the study, all participants in the studies will receive  
304 copies of their liver transaminases, lipid results and baseline MRI PDFF results and  
305 these will be discussed with them by one of the investigators. Education will be provided  
306 as appropriate regarding the results and standard of care advice for diet and physical  
307 activity levels.

308 **2.3.3 Alternative treatments and procedures**

309 Patients that are approached about the study can choose not to participate. If they  
310 decide not to participate it will not impact their standard of care treatment.

311 **2.3.4 Protection against risks**

312 Risk will be minimized to the children by using nurses and staff experienced in pediatrics  
313 for the research studies. As discussed above, the risks associated with heavy water will  
314 be minimized by giving the first dose at the research center under supervision. Risk to  
315 confidentiality will be reduced by using non-identifying participant numbers and removing  
316 all identifiers as early as feasible. Only study personnel will have access to identifying  
317 data. Laboratory personnel will only have access to numeric identifiers and the key will  
318 be kept in a password protected database.

319 Children will provide written assent (11-16 y). Children are able to decline to continue to  
320 participate at any time before and during the study or study visit. During study visits, if an  
321 IV fails, we will attempt to replace it. If the child does not wish to have it replaced, we  
322 encourage them to tell us this and the visit will be stopped immediately. Study stipends  
323 are provided at a standardized pro-rated level appropriate for the time/study procedures  
324 completed.

325

326 **3 OBJECTIVES**

327 What is the role of sugar consumption in the etiology and treatment of pediatric NALFD?

328 **3.1 General hypothesis**

329 Sugar restriction will reduce hepatic fat content and reverse liver histopathology in  
330 children with NAFLD (and by extension, such foods/beverages that trigger the condition).

331 **3.2 Specific Hypothesis**

332 Restricting sugar in beverages, in food, or in both will decrease hepatic fat content and  
333 reverse liver histopathology in children with NAFLD in relation to the type and/or degree  
334 of restriction (and by extension, such foods/beverages that trigger the condition).

335 **3.3 Implications**

336 Improvement in NAFLD/NASH in children can be achieved by limiting all sugars, or by  
337 limiting a specific form of sugar (free sugars in beverages or in food) alone. Avoiding  
338 such foods will prevent development of NAFLD and NASH.

339 **3.4 Primary Outcome Measure**

340 Change in hepatic steatosis (%) by MRI PDFF in the intervention group compared to  
341 change in the control group.

342 **3.5 Secondary Outcome Measures**

343 ALT, AST, GGT, Adipo-IR, fasting glucose, insulin, serum lipids, Insulin sensitivity from  
344 OGTT (QUICKI), sweetness perception testing, compliance (all baseline compared to 8  
345 weeks, change in intervention group compared to control).

346

347

348

349

350

351

352

353

354

## 355 4 STUDY DESIGN

356 A study that tests nutritional interventions that clinicians currently prescribe, such as *ad*  
357 *libitum* weight-reducing diets, has the potential to directly and rapidly affect  
358 clinical practice, but does not necessarily provide significant insight into the  
359 dietary trigger of disease or the dietary mechanism driving any observed effects.  
360 Conversely, a highly controlled diet study may more clearly identify the nutritional  
361 trigger and mechanism (necessary for the successful prevention of the disease in  
362 public health campaigns) but may be dismissed by many clinicians as divorced  
363 from actual practice. Here we describe a trial that hopes to balance the need for  
364 establishing practical clinical guidelines for dietary treatment and experimental  
365 controls sufficient to identify the dietary trigger for NAFLD in a pediatric  
366 population.4.1 Overview

367 This is an 2 site, 8-week randomized, controlled, outpatient feeding study at Emory  
368 University and UCSD. Participants will be non-diabetic male adolescents with biopsy-  
369 proven NAFLD. Two groups of 20 participants will be followed for 8 weeks with hepatic  
370 fat content assessed by MRI PDFFF at weeks 0, 4, and 8. The participants will be  
371 randomized to either standard of care control arm to track the naturally-occurring  
372 changes in hepatic fat content over time, or replacement of habitual diet with a low “free  
373 sugars” (<3% of total daily calories) version, intervention arm . The primary outcome is  
374 percent change in liver fat content over time in the treatment group compared to the  
375 control group. Additional parameters of liver function and metabolic status (e.g., serum  
376 ALT) will also be assessed.

### 377 4.2 Intervention

378 The intervention is replacement of habitual diet with a low “free sugars” diet (<3% of total  
379 calories). The intervention will be applied by family and will aim to alter the diet by  
380 specifically targeting the foods that contain free sugars (WHO definition: glucose,  
381 fructose, galactose, sucrose, maltose, trehalose) added to food by consumer, cook, or  
382 manufacturer, while preserving the family’s other food group choices. At recruitment,  
383 families will be randomized to either the low “free sugars” diet (intervention) or remain on  
384 their regular diet (standard of care control). Following screening procedures and prior to  
385 study initiation, a home visit will be scheduled at which current food consumption, food  
386 preferences, and weekly food volume required for the family will be assessed and  
387 recorded. Additionally, common recipes used by the family will be collected. One day  
388 prior to study initiation (Day 0), the nutritionist/coordinator/staff will assist the parent or  
389 guardian in selecting and removing all sugar and sugar-containing products from the  
390 home. The items will be replaced with similar foods that contain no free sugars. In  
391 general, artificial sweeteners will be avoided although in some instances it will be  
392 necessary to use them.

393 For each week of the study (8 weeks total), families will be able to choose meals from a  
394 list of foods similar to their usual diet including ready-to-eat foods, breakfast foods, lunch

395 items, dinner entrees, fruits, and snacks. Food will be prepared by dietitians/nutritionists  
396 at the research metabolic kitchen and supplies for several days at a time will be  
397 delivered to the family by the study staff. Each child will be provided with a lunch bag  
398 and instructed to bring lunch to school to maintain the study diet.

399 Families will have the opportunity to choose from a list of pre-prepared evening meals  
400 that are similar to what they consumed before study initiation but not containing any free  
401 sugars. Dinners will typically be fresh or frozen and re-heating in the oven or microwave  
402 may be required. The families will be instructed to not eat any food outside of assigned  
403 diet. For the duration of the study a water dispenser will be provided. Families will be  
404 instructed to avoid all fruit juice and sweet drinks. Fruit consumption will be allowed but  
405 restricted in amount (to 1-2 portions/ day per family member).

406 *Methods to improve compliance:* Twice a week, a coordinator/study staff member will  
407 conduct home visits to assess food satisfaction as well as diet compliance in the  
408 intervention arm. In the standard of care control arm, study staff will visit the home once  
409 a week to check on their compliance and provide them with any study-related items  
410 (water, gift cards etc.). To enhance compliance, the family will be allowed 1 meal “off” of  
411 the diet 4 times during the study. These meals will be planned in coordination with the  
412 staff and may include holidays or special family events or other days that they select.

413 Compliance will also be increased by informing participants that the investigators can  
414 assess compliance with the diet by measuring sugar in the blood work. If there is  
415 evidence of the participant not complying, the study coordinator or investigator will meet  
416 with the participant and family members and explain the importance of only consuming  
417 the study diet. If the study coordinator/staff perceives evidence of persistent non-  
418 compliance by the participant, families will be withdrawn from study and the diet will be  
419 stopped.

#### 420 **4.3 Dietary Assessment**

421 Baseline diet by 24-hour recalls will include 2 x 24-hour recalls to reflect diet during  
422 weekdays and 1 x 24-hour recall to reflect diet on a weekend day. Families will be asked  
423 to specify times that work best to conduct the 24-hour recalls and will be called at  
424 random, to minimize diet change. The 24-hour recalls will aid in getting a better sense of  
425 the food groups consumed by the participant and his family and in the preparation of a  
426 personalized, tailored diet. Following study participant randomization, two weeks prior to  
427 study initiation, a home visit will be scheduled where current food consumption, food  
428 preferences, and weekly food volume required for the family will be recorded.  
429 Additionally, common recipes will be collected. During the study, every week a  
430 coordinator/study staff member will conduct two home visits to assess food satisfaction  
431 and diet compliance. If the study coordinator/staff perceives non-compliance by the  
432 family at any point of the study, the intervention will be stopped and families will be  
433 withdrawn from the study.



## 434 4.4 Sweetness Perception Testing

### 435 4.4.1 Participant preparation

436 Participants will be asked to undergo sweetness taste perception testing at three points  
437 in the study: baseline (study initiation, week 0), follow-up visit 1 (week 4), and follow up  
438 visit 2 (study end, week 8). Participants will arrive at the clinic after an overnight fast to  
439 conduct an oral glucose tolerance test (OGTT), sweetness perception testing, and MRI.  
440 Prior to each study visit, participants will be reminded not to eat or drink anything except  
441 for water after 8 pm the night before the visit. At each visit height, weight, and vital signs  
442 will be collected.  
443

### 444 4.4.2 Sensory Ratings

445 Sweetness perception will be assessed in a test session of about 1 hour. Participants  
446 will rate both the intensity and pleasantness of model beverages that vary widely in  
447 sucrose concentration. The model beverages will be formulated using unsweetened  
448 Kool-Aid™ drink mix at a fixed concentration. Concentrations of sucrose (ranging from  
449 barely sweet to very sweet) will be added to this fixed concentration of drink mix. Model  
450 beverages will be presented chilled. Over the course of a 1-hour session, participants  
451 will consume about 150 ml of model beverage, or the equivalent of about 42% of a 12 oz  
452 can of soda.  
453

454 The first 10-15 minutes of each session will be devoted to instructions and practice  
455 ratings. Participants will rate perceived sweetness intensity using visual analog scales  
456 (i.e., horizontal lines with the anchor labels “Not Sweet at All” on the left and “Very  
457 Sweet” on the right). Such scales are easy for participants to understand and use.  
458 Participants will practice by rating the sweetness of 3-4 sucrose solutions (in plain water,  
459 not Kool-Aid) that cover the range of sucrose concentrations to be using in sensory  
460 testing. Participants will also rate water (negative control to ensure that participants do  
461 not rate non-sapid stimuli as sweet). Participants will rate pleasantness using a standard  
462 category scale ranging from “Very Unpleasant” (-11), to “Neutral” (0), to “Very Pleasant”  
463 (+11). Again, the use of such scales is fairly intuitive. Participants will practice by rating  
464 the pleasantness of a sucrose solution (which should be rated as pleasant), water (which  
465 should be rated as neutral), and a non-toxic bitter substance (sucrose octaacetate, an  
466 FDA approved food additive, which participants should rate as unpleasant).  
467

468 After scaling practice, participants will be instructed to rate the sweetness and  
469 pleasantness of 30 model drink samples. The 30 samples will be presented in 5 ml  
470 aliquots (in 30 ml disposable plastic medicine cups). The 30 samples will be presented in  
471 three blocks of 10 trials. Each block will include all 10 sucrose concentrations, presented  
472 in random order. Prior to the first trial of each block, participants will be asked to rinse  
473 their mouth four times with water (same water used to make test beverage) and spit it

474 out. Next, participants will sample each of the 10 cups by sipping, rolling the liquid  
475 sample around in the mouth for several seconds, and then swallowing the sample. After  
476 swallowing, participants will rate both the sweet taste intensity and pleasantness of the  
477 sample. After each trial, the participant will rinse the mouth a few times with water (same  
478 water used to make test beverage), spit, and wait ~45 seconds before tasting the next  
479 sample. Each block (all 10 concentrations, once each, in randomized order) will be  
480 followed by a five minute break. The first block will be used as practice and will not be  
481 included in analysis. The second and third blocks (replicate ratings for each of the 10  
482 concentrations) will be used for analysis.

#### 483 **4.5 Insulin Sensitivity Measurements**

484 *These assessments will only be conducted at the Emory University site.*

485  
486 *Assessment of Insulin sensitivity using oral glucose tolerance test (OGTT):* Plasma glucose  
487 and insulin response during the OGTT reflect the ability of pancreatic  $\beta$ -cells to secrete  
488 insulin and the sensitivity of tissues to insulin. Many investigators have validated simple  
489 surrogate indices of  $\beta$ -cell function and insulin resistance based on values obtained during  
490 the 75-gram OGTT, against indices assessed by the clamp technique<sup>44-50</sup>. We will assess  
491 insulin dynamics using a battery of OGTT-indices including: 1. *Assessment of whole body*  
492 *insulin sensitivity by the* reciprocal of the Homeostasis Model Assessment of insulin  
493 resistance (1/HOMA), Quantitative Insulin Sensitivity Check Index (QUICKI) and the  
494 Composite Insulin Sensitivity Index (CISI). During the OGTT blood samples will be obtained  
495 at 0, 30, 60, 90, and 120 minutes for measurement of plasma glucose and insulin.

#### 496 **4.6 Study duration for participants**

- 497 • Screening MRI must occur within 10 days of baseline (Day 0)
- 498 • 56 days of treatment
- 499 • 14 days post-treatment assessment (by phone)

500

#### 501 **4.7 Study Timeline**

- 502 • Study initiation phase: 3 months (IRB, meal testing, etc.)
- 503 • Recruitment phase: 4 – 8 months depending on enrollment pace
- 504 • Follow-up phase: 10 months
- 505 • Close out phase: 2 months (data analysis etc.)
- 506 • Expected recruitment is 8 intervention participants and 8 control participants per  
507 clinical center, approximately 1-2 of each type per month per center

508

## 509 5 STUDY ENROLLMENT AND WITHDRAWAL

### 510 5.1 Participant Inclusion Criteria

- 511 • Boys age 11-16
- 512 • Liver biopsy for standard of care within 2 years of screening for the study
- 513 • Clinical history consistent with NAFLD
- 514 • Definite NAFLD based on liver histology
- 515 • Hepatic fat by MRI PDFF  $\geq 10\%$  on baseline MRI
- 516 • Serum ALT  $\geq 45$  u/L
- 517 • Written informed consent from parent or legal guardian
- 518 • Written informed assent from the child
- 519 • Currently consumes  $\geq 3.5$  eight ounce sugar drinks (or juice) per week

### 520 5.2 Participant Exclusion Criteria

- 521 • Participants with a history of health issues that make it unsafe for them to participate in  
522 the opinion of the investigators
- 523 • History of significant alcohol intake (AUDIT questionnaire) or inability to quantify alcohol  
524 consumption
- 525 • Chronic use (more than 2 consecutive weeks) of medications known to cause hepatic  
526 steatosis or steatohepatitis (systemic glucocorticoids, tetracycline, anabolic steroids,  
527 valproic acid, salicylates, tamoxifen) in the past year.
- 528 • The use of other known hepatotoxins within 90 days of liver biopsy or within 120 of  
529 baseline
- 530 • Medications with the intent to treat NAFLD/NASH in the past 60 days
- 531 • History of total parenteral nutrition (TPN) use in the year prior to screening
- 532 • History of bariatric surgery or planning to undergo bariatric surgery during the study  
533 duration
- 534 • Significant depression
- 535 • Non-compensated liver disease with any one of the following hematologic, biochemical,  
536 and serological criteria on entry into protocol:
  - 537 a. Hemoglobin  $< 10$  g/dL
  - 538 b. White blood cell  $< 3,500$  cells/mm
  - 539 c. Neutrophil count  $< 1,500$  cells/mm<sup>3</sup> of blood
  - 540 d. Platelets  $< 130,000$  cells/mm<sup>3</sup> of blood
  - 541 e. Direct bilirubin  $> 1.0$  mg/dL
  - 542 f. Total bilirubin  $> 3$  mg/dL
  - 543 g. Albumin  $< 3.2$  g/dL
  - 544 h. International normalized ratio (INR)  $> 1.4$
- 545 • Diabetes
- 546 • Evidence of other chronic liver disease

- 547 • Children who are currently enrolled in a clinical trial or who received an investigational  
548 study drug within the past 60 days
- 549 • Participants who are not able or willing to comply with the diet protocol or have any other  
550 condition that would impede compliance or hinder completion of the study, in the opinion  
551 of the investigator
- 552 • Unable to have an MRI due to metal device, claustrophobia or other reason
- 553 • Failure to give informed consent
- 554 • Families with > 5 individuals
- 555 • Recipient of a liver transplant

### 556 **5.3 Strategies for Recruitment**

557 Recruitment will be through discussions of the study in the pediatric clinics, community  
558 gastroenterology offices and through recruitment of eligible previous research  
559 participants who have previously consented to be contacted regarding future studies.

### 560 **5.4 Reasons for Withdrawal**

561 Participants are free to withdraw from participation in the study at any time. They can  
562 notify the study coordinator that they would like to discontinue from the study for any  
563 reason during any part of the study. They may also mail in the letter of revocation given  
564 along with the consent form if they desire.

#### 565 **5.4.1 Handling of Withdrawal**

566 Collected data for participants who ask to be withdrawn from the study will be  
567 maintained in the database and will be used for future studies unless the participant  
568 specifically requests that data be destroyed. The participant's file will be flagged to  
569 ensure that no further contact is made.

#### 570 **5.4.2 Termination of Study**

571 This study may be prematurely terminated if, in the opinion of the investigator or the  
572 sponsor, there is sufficient reasonable cause. Written notification, documenting the  
573 reason for study termination, will be provided to the investigator or sponsor by the  
574 terminating party.

575 Circumstances that may warrant termination include, but are not limited to:

- 576 • Determination of unexpected, significant, or unacceptable risk to participants.
- 577 • Insufficient adherence to protocol requirements.
- 578 • Data that is not sufficiently complete and/or evaluable.

579 If the study is prematurely terminated or suspended, the sponsor will promptly inform the  
580 investigator/institution, of the termination or suspension and the reason(s) for the

581 termination or suspension. The IRB will also be informed promptly and provided the  
582 reason(s) for the termination or suspension by the sponsor or by the  
583 investigator/institution, as specified by the applicable regulatory requirement(s).  
584

585 **6 STUDY SCHEDULE**

586 The schedule of events (Section 11.1) summarizes the procedures to be done at each  
587 visit. The visit windows are the goal for the study and serve as a guideline for the clinical  
588 sites. These visit windows are not strictly set; conducting a study visit outside of the visit  
589 window will not be considered a protocol deviation.

590 **6.1 Screening**

591 **Day –30 Screening visit:** Participants will provide verbal consent or in-person written  
592 consent indicating that they wish to participate in the study so that a screening visit may  
593 be scheduled to assess eligibility. Informed consent will be obtained prior to initiating any  
594 research procedures. The screening visit may be conducted at a clinical visit if that is  
595 convenient for the participant. The ALT for eligibility must be drawn at or within 7 days  
596 before this visit.

597 Vital signs, height, and weight will be obtained at the screening visit as well as a physical  
598 exam. Alcohol use will be assessed using the Alcohol Use Disorders Identification Test  
599 (AUDIT) and baseline beverage consumption will be assessed using the Bev-Q  
600 beverage questionnaire. A background medical history will be obtained and concomitant  
601 medications will be reviewed as well.

602 **Day –25 Dietary Assessment:** After the screening visit, the baseline usual diet will be  
603 assessed using three 24-hour, interviewer assisted, dietary recalls (1 weekend day and  
604 2 week days). These will be administered by telephone by a trained study nutritionist or  
605 coordinator after the screening visit/enrollment and prior to Day 0.

606 **Day –10 MRI, baseline labs:** MRI will be used to assess level of liver fat within 10 days  
607 of Day 0 (diet initiation). At the Emory site, both the usual MRI based quantification of  
608 hepatic fat and a 10-minute HISTO protocol will be collected during a single 30 minute  
609 MRI. The HISTO protocol is a highly precise measurement of hepatic fat and will be  
610 used to determine eligibility for the study. If the hepatic fat is >10% by MRI PDFF, the  
611 participant will be enrolled. The % hepatic fat for the outcome measurements will be  
612 determined by the UCSF center's hepatic PDFF MRI protocol.

613 The following baseline labs will be drawn at the time of the MRI if they were not done at  
614 the screening visit:

- 615 • CMP
- 616 • CBC
- 617 • Direct bilirubin
- 618 • INR
- 619 • HbA1c
- 620 • GGT

621 • Plasma and serum for storage

622 **Day –9 Randomization:** Randomization will be assigned after screening and the  
623 participant will be informed of their assignment after the 24-hour dietary recalls and MRI  
624 but before Day 0.

625 **Day -7 Home Visit Food Assessment:** A study coordinator and nutritionist will visit the  
626 home of intervention-arm participants and complete the assessment of the family’s usual  
627 consumption patterns, recipes and the initial menus.

## 628 6.2 Day 0: Baseline Visit

629 Once the screening assessments are complete and the participant is deemed eligible to  
630 participate in the study the participant will be scheduled for their Day 0 visit. This visit  
631 may be scheduled up to 60 days after the screening visit.

632 Participants will arrive fasting and will complete an oral glucose tolerance test (OGTT).  
633 Participants will have an IV catheter placed to allow for multiple blood draws while  
634 minimizing discomfort. Either EMLA cream or cold spray will be used to decrease pain  
635 with IV placement. Upon line placement, a 12-hour fasting blood sample will be drawn  
636 and an oral glucose tolerance test will be completed. Once both the fasting labs and  
637 OGTT are completed, the participant will be provided breakfast according to their  
638 randomization. Height, weight, and vital signs will be collected at this visit. Any adverse  
639 events and changes to any concomitant medications will be reviewed as well. A  
640 sweetness perception test will be administered at this visit.

641 For the intervention arm, the nutritionist will meet with the family during this visit to  
642 review the details of the intervention. The standard of care participants will have diet  
643 assessment but no instructions on diet. Instructions will be given for compliance and the  
644 nutritionist will arrange a time to meet with the family in the home.

645 The following labs will also be drawn at this visit:

- 646 • CMP
- 647 • GGT
- 648 • Lipid Profile
- 649 • Plasma and serum for storage
- 650 • Stool sample collection

651 After the research visit, the food for the first several days of the study will be delivered to the  
652 home of the intervention participants and the sugar-containing non-perishable foods will be  
653 boxed up and stored at the home (or another location at the discretion of the family).

654 Perishable sugar containing foods will be disposed of and replaced with no free sugars  
655 containing versions.

656

657 **6.3 Follow-up Visit Day 28**

658 The Day 28 visit will take place 28 days (4 weeks) after baseline. All assessments and  
659 testing done at the baseline visit will be repeated at this visits with the exception of the  
660 nutrition counseling, stool collection, and oral glucose tolerance test.

661 **6.4 Follow-up Visit Day 56**

662 The Day 56 visit will take place 56 days (8 weeks) after baseline. All assessments and  
663 testing done at the baseline visit will be repeated at this visit with the exception of the  
664 nutrition counseling.

665 **6.5 End of study follow-up**

666 A phone visit will take place two weeks after the Day 56 visit. The purpose of this call is  
667 to discuss feedback about the study with the participant and his or her family. We will  
668 ask for details about what they liked or disliked about study participation and ask for any  
669 suggestions for future studies.  
670



671 7 **STATISTICAL CONSIDERATIONS**

672 7.1 **Sample Size Considerations**

673 Initial analyses will be undertaken to inspect data for errors, inconsistencies, and  
674 incomplete information. This will include examining the data with simple frequency tables  
675 and dot plots for univariate data and scatter plots and multi-way dot plots with bivariate  
676 and multivariate data. Data anomalies and outliers will be examined and corrected if  
677 necessary. To summarize bivariate relationships between predictors and hepatic fat  
678 percent, Spearman's rank correlation coefficient,  $r_s$ , will be used. For reporting inferential  
679 statistics, such as differences in means, 95 percent confidence intervals will be used  
680 extensively to quantify degree of clinical efficacy. For any models, appropriate  
681 assumptions and model conditions will be verified prior to analysis.

682 Analyses will include descriptive statistics at baseline and for each treatment group for  
683 all outcome variables, plots of longitudinal data over time, and examination of  
684 distributions within groups at important nodal points (e.g., Baseline, 4 weeks and 8  
685 weeks). All longitudinal models will include baseline measurements as a covariate to  
686 adjust for potential differences between treatment groups at baseline. All efficacy  
687 analysis will follow the *intention-to-treat* convention (inclusion of all randomized  
688 participants in the analysis). Participants that drop out or are lost to follow-up will be  
689 compared to those that remain in the study to assess for bias and generalizability of the  
690 results. All analyses will be conducted using SAS v9.3 for Windows (Cary, NC, USA).

691 Power Analysis

692 The primary outcome is change in MRI PDFF from baseline to 8 weeks in the  
693 intervention group compared to the standard of care group. Participants will be screened  
694 at baseline and confirmed that their hepatic fat is < 10%. Given 40 completed  
695 participants, (20 randomized to the diet low in free sugars and 20 randomized to usual  
696 diet), our goal is show a 25% improvement in MRI PDFF with intervention over control.  
697 For example:

698  
699 Intervention: 15% hepatic fat baseline – (30% change = 4.5%) = Hepatic fat of 10.5% at  
700 8 weeks

701  
702 Control: 15% hepatic fat at baseline – (5% change = 0.75%) = Hepatic fat of 14.25% at 8  
703 weeks

704  
705 A two sample, two-tailed t test with an overall sample size of 40 participants (20 per  
706 group) achieves greater than 90% power to detect a true difference of means of 4%  
707 when the sigma is 3%. Power was calculated assuming that 20% of patients in each  
708 group will be lost to follow-up with a 0.05 significance level.  
709

710

711 Plan for missing data:

712 We note that attrition, participant compliance and systematic data collection are  
713 fundamental requirements for this study. Successful coordination of participants and  
714 management of data are important prerequisites for a subsequent trial. Thus, we focus  
715 appropriate attention to missing data. Prevention is the first line for controlling bias and  
716 loss of power from missing data. Upon entry, alternative contacts will be identified for all  
717 participants to minimize loss-to follow-up. Consistent with the intent to treat principle, we  
718 will follow-up with all randomized participants regardless of the actual treatment received  
719 (we will invite families who miss visits to return for assessments later if possible).  
720 Participants who express intent to dropout completely will be asked to attend an early  
721 termination visit to collect endpoint measures. Timely data entry combined with monthly  
722 missing data reports will prompt tracking down missing outcome assessments. Despite  
723 these prevention efforts, missing data will occur. Our primary analysis is valid under the  
724 assumption that missing data are missing at random (MAR). To evaluate this  
725 assumption, we will examine the extent of missing data and missing data patterns, and  
726 determine the reasons and time of dropout.

727

728

729 **8 ETHICS/PROTECTION OF HUMAN PARTICIPANTS**

730 **8.1 Ethical Standard**

731 The investigator will ensure that this study is conducted in full conformity with the  
732 principles set forth in The Belmont Report: Ethical Principles and Guidelines for the  
733 Protection of Human Participants of Research, as drafted by the US National  
734 Commission for the Protection of Human Participants of Biomedical and Behavioral  
735 Research (April 18, 1979) and codified in 45 CFR Part 46 and/or the ICH E6; 62 Federal  
736 Regulations 25691 (1997).

737 **8.2 Institutional Review Board**

738 Permission to perform this study will be sought from the Emory University IRB and the  
739 USCD IRB. All future modifications of the study or changes in the protocol will receive  
740 IRB approval.

741 **8.3 Informed Consent Process**

742 Informed consent is a process that is initiated prior to the individual's agreeing to  
743 participate in the study and continues throughout the individual's study participation.  
744 Informed consents and HIPAA waivers will be obtained prior to initiating any study  
745 procedure. Participants and their parents or legal guardian will be approached to  
746 participate in the study. The research coordinators and PI/Co-I will discuss the study with  
747 them and give them all the information listed above in language understandable at the  
748 level of the parent/guardian and all information needed to make an informed choice  
749 about participation, including information about NAFLD, the study intervention, possible  
750 risks to participation, study procedures, study visits/contacts and potential benefits to the  
751 participant. Consent will be documented by signature of the parent/guardian.

752 **8.4 Informed Assent Process**

753 All children must provide written assent (11-16 y) in a language appropriate for the age  
754 of the child. Written assent will be documented by signature in age 11-16 yrs. Assent will  
755 be documented by signature of the child participant.

756 **8.5 Participant Confidentiality**

757 Participant confidentiality is strictly held in trust by the participating investigators, their  
758 staff, and the sponsor(s) and their agents. The study protocol, documentation, data, and  
759 all other information generated will be held in strict confidence. No information  
760 concerning the study or the data will be released to any third party without prior approval  
761 of the participant.

762 Authorized representatives of the sponsor may inspect all documents and records  
763 required to be maintained by the investigator.

764 **8.6 Study Discontinuation**

765 In the event that the study is discontinued, participants will be notified of the date of  
766 discontinuation.

767 **8.7 Data Safety Monitoring Plan**

768 Data Management: Data for each subject will be collected in individual folders kept in a  
769 locked filing cabinet in a secure office. Data will be entered into a secure RedCap  
770 database within the same division. The study statistician will run routine reports for  
771 completeness and send to the site PIs.

772 Adverse Events: The PI at each site will monitor adverse events throughout the course  
773 of the study. Any adverse events occurring during the study will be documented and  
774 reported according to applicable IRB policies and procedures. AE's will be entered into  
775 RedCap in a timely fashion. The study statistician will run quarterly reports and will send  
776 out pooled analyses to the PI's at both sites. A status report will be provided to the IRB  
777 at the time of continuing review.

778 Serious Adverse Events: SAE's are not anticipated in this study, however, any SAE that  
779 occurs will be reported to the other site, respective IRBs, and sponsor within 10 business  
780 days of first knowledge of the event.

781 Monitoring: A representative from the sponsor will conduct a site monitoring visit after  
782 the 1<sup>st</sup> patient is randomized and then again approximately every 6 months. This site  
783 visit will review the informed consent process, eligibility, CRFs, and AE reporting. A  
784 monitoring report will be provided to the PIs within 10 business days of review. The PI  
785 will review the monitoring report and follow up on any corrective actions on the site  
786 monitoring log and will notify the respective IRB according to applicable policies and  
787 procedures.  
788

789 **9 DATA HANDLING AND RECORD KEEPING**

790 All data will be entered, stored and processed within REDCap, a secure, web-based  
791 application for managing databases. All access to office space containing paper source  
792 documents requires badged entry. All computer files are stored on secure servers.

793 Data management staff members are required to complete and pass an on-line HIPAA  
794 course and other confidentiality certification procedures upon employment. All computer  
795 systems and programs are password protected, and all web-based electronic  
796 communications of study information is encrypted. Good computer security practices  
797 (restricting physical access to computers, prohibition of password sharing, timing out of  
798 system access interfaces, etc.) is required. Virus protection software is installed on all  
799 study machines. The virus protection tools are used, maintained, and updated as  
800 necessary on all computers and pathways into the system.

801 Only study personnel (PI, co-Is, study coordinators, research assistants, and  
802 nutritionists) will have access to identifiable data. Data will be de-identified before  
803 analysis and will be stored in locked research material cabinets.

804 **9.1 Data Management Responsibilities**

805 Emory University will serve as the data coordinating center for this study and will be  
806 responsible for data management, quality review, analysis, and reporting of the study  
807 data. The statistician will review data completion monthly during the active portion of the  
808 study.

809 **9.2 MRI Data Coordination**

810 UCSD will serve as the radiology coordinating center for this study. CD's will be shipped  
811 to UCSD and all MRI's will be read and interpreted at this site.

812 **9.3 Types of Data**

813 Data for this study will include self-report patient data, nutritional data from NDSR,  
814 laboratory values, medical records, and imaging data.

815 **9.5 Study Records Retention**

816 Study documents will be retained for the minimum number of years per Emory University  
817 and UCSD requirements. No records will be destroyed without the written consent of the  
818 sponsor. It is the responsibility of the sponsor to inform the investigator when these  
819 documents no longer need to be retained.

820  
821 Electronic data, stripped of identifiers, will be stored on a secure server and will be kept  
822 indefinitely.

823  
824

825 **10 LITERATURE REFERENCES**

826

827 1. Lazo M, Hernaez R, Eberhardt MS, Bonekamp S, Kamel I, Guallar E, Koteish A,  
828 Brancati FL and Clark JM. Prevalence of nonalcoholic fatty liver disease in the United  
829 States: the Third National Health and Nutrition Examination Survey, 1988-1994. *Am J*  
830 *Epidemiol.* 2013;178:38-45.

831 2. Welsh JA, Karpen S and Vos MB. Increasing prevalence of nonalcoholic fatty  
832 liver disease among United States adolescents, 1988-1994 to 2007-2010. *J Peds.*  
833 2013;162:496-500.

834 3. Fishbein MH, Miner M, Mogren C and Chalekson J. The spectrum of fatty liver in  
835 obese children and the relationship of serum aminotransferases to severity of steatosis.  
836 *J Pediatr Gastroenterol Nutr.* 2003;36:54-61.

837 4. Lavine JE and Schwimmer JB. Nonalcoholic fatty liver disease in the pediatric  
838 population. *Clinics in liver disease.* 2004;8:549-58, viii-ix.

839 5. Louthan MV, Barve S, McClain CJ and Joshi-Barve S. Decreased serum  
840 adiponectin: an early event in pediatric nonalcoholic fatty liver disease. *J Pediatr.*  
841 2005;147:835-8.

842 6. Louthan MV, Theriot JA, Zimmerman E, Stutts JT and McClain CJ. Decreased  
843 prevalence of nonalcoholic fatty liver disease in black obese children. *J Pediatr*  
844 *Gastroenterol Nutr.* 2005;41:426-9.

845 7. Schwimmer JB, Deutsch R, Rauch JB, Behling C, Newbury R and Lavine JE.  
846 Obesity, insulin resistance, and other clinicopathological correlates of pediatric  
847 nonalcoholic fatty liver disease. *The Journal of pediatrics.* 2003;143:500-5.

848 8. Schwimmer JB, McGreal N, Deutsch R, Finegold MJ and Lavine JE. Influence of  
849 gender, race, and ethnicity on suspected fatty liver in obese adolescents. *Pediatrics.*  
850 2005;115:e561-5.

851 9. Schwimmer JB, Pardee PE, Lavine JE, Blumkin AK and Cook S. Cardiovascular  
852 risk factors and the metabolic syndrome in pediatric nonalcoholic fatty liver disease.  
853 *Circulation.* 2008;118:277-83.

854 10. Chalasani N, Younossi Z, Lavine JE, Diehl AM, Brunt EM, Cusi K, Charlton M  
855 and Sanyal AJ. The diagnosis and management of non-alcoholic fatty liver disease:  
856 practice Guideline by the American Association for the Study of Liver Diseases,  
857 American College of Gastroenterology, and the American Gastroenterological  
858 Association. *Hepatology (Baltimore, Md).* 2012;55:2005-23.

859 11. Schwimmer JA, McGreal N, Deutch R, Finegold MJ and Lavine JE. Influence of  
860 gender, race and ethnicity on suspected fatty liver in obese adolescents *Pediatrics.*  
861 2005;115:e561-e565.

862 12. Wagenknecht LE, Scherzinger AL, Stamm ER, Hanley AJ, Norris JM, Chen YD,  
863 Bryer-Ash M, Haffner SM and Rotter JI. Correlates and heritability of nonalcoholic fatty  
864 liver disease in a minority cohort. *Obesity (Silver Spring, Md).* 2009;17:1240-6.

865 13. Hoyert D and Xu J. Deaths: Preliminary Data for 2011. *National Vital Statistics*  
866 *Reports Centers for Disease Control and Prevention.* 2012;61.

867 14. Heron M. Deaths: Leading Causes for 2009. *National Vital Statistics Reports*  
868 *Center for Disease Control and Prevention.* 2012;61.

- 869 15. Adams L, Lymp J, Sauver J, Sanderson S, Lindor K, Feldstein A and Angulo P.  
870 The natural history of nonalcoholic fatty liver disease: a population based cohort study.  
871 *Gastroenterology*. 2005;129:113-121.
- 872 16. Ramilli S, Pretolani S, Muscari A, Pacelli B and Arienti V. Carotid lesions in  
873 outpatients with nonalcoholic fatty liver disease. *World J Gastroenterol*. 2009;15:4770-4.
- 874 17. Targher G, Chonchol M, Bertolini L, Rodella S, Zenari L, Lippi G, Franchini M,  
875 Zoppini G and Muggeo M. Increased risk of CKD among type 2 diabetics with  
876 nonalcoholic fatty liver disease. *J Am Soc Nephrol*. 2008;19:1564-70.
- 877 18. Kontush A and Chapman M. Functionally defective high-density lipoprotein: a  
878 new therapeutic target at the crossroads of dyslipidemia, inflammation, and  
879 atherosclerosis. *Pharmacol Rev*. 2006;58:342-74.
- 880 19. Hanley AJ, Williams K, Festa A, Wagenknecht LE, D'Agostino RB, Jr., Kempf J,  
881 Zinman B and Haffner SM. Elevations in markers of liver injury and risk of type 2  
882 diabetes: the insulin resistance atherosclerosis study. *Diabetes*. 2004;53:2623-32.
- 883 20. Hanley AJ, Williams K, Festa A, Wagenknecht LE, D'Agostino RB, Jr. and  
884 Haffner SM. Liver markers and development of the metabolic syndrome: the insulin  
885 resistance atherosclerosis study. *Diabetes*. 2005;54:3140-7.
- 886 21. Armstrong MJ, Adams LA, Canbay A and Syn WK. Extrahepatic complications of  
887 nonalcoholic fatty liver disease. *Hepatology (Baltimore, Md)*. 2014;59:1174-97.
- 888 22. Soderberg C, Stal P, Askling J, Glaumann H, Lindberg G, Marmur J and  
889 Hultcrantz R. Decreased survival of subjects with elevated liver function tests during a  
890 28-year follow-up. *Hepatology*. 2009.
- 891 23. Fielding J. Disparities in Deaths from Chronic Liver Disease and Cirrhosis. *Office*  
892 *of Health Assessment and Epidemiology* 2012;June.
- 893 24. Jacome-Sosa MM and Parks EJ. Fatty acid sources and their fluxes as they  
894 contribute to plasma triglyceride concentrations and fatty liver in humans. *Curr Opin*  
895 *Lipidol*. 2014;25:213-20.
- 896 25. Lambert JE, Ramos-Roman MA, Browning JD and Parks EJ. Increased de novo  
897 lipogenesis is a distinct characteristic of individuals with nonalcoholic fatty liver disease.  
898 *Gastroenterology*. 2014;146:726-35.
- 899 26. Sevastianova K, Santos A, Kotronen A, Hakkarainen A, Makkonen J, Silander K,  
900 Peltonen M, Romeo S, Lundbom J, Lundbom N, Oikkonen VM, Gylling H, Fielding BA,  
901 Rissanen A, Yki-Jarvinen HCINAJCNO and Pmid. Effect of short-term carbohydrate  
902 overfeeding and long-term weight loss on liver fat in overweight humans. *The American*  
903 *journal of clinical nutrition*. 2012;96:727-34.
- 904 27. Vos MB, Kimmons JE, Gillespie C, Welsh J and Blanck HM. Dietary fructose  
905 consumption among US children and adults: the Third National Health and Nutrition  
906 Examination Survey. *Medscape J Med*. 2008;10:160.
- 907 28. Bray GA and Popkin BM. Calorie-sweetened beverages and fructose: what have  
908 we learned 10 years later. *Pediatric obesity*. 2013;8:242-8.
- 909 29. Welsh JA, Sharma AJ, Grellinger L and Vos MB. Consumption of added sugars  
910 is decreasing in the United States. *The American journal of clinical nutrition*.  
911 2011;94:726-34.
- 912 30. Makarem N, Scott M, Quatromoni P, Jacques P and Parekh N. Trends in dietary  
913 carbohydrate consumption from 1991 to 2008 in the Framingham Heart Study Offspring  
914 Cohort. *The British journal of nutrition*. 2014:1-14.

- 915 31. Ng SW, Slining MM and Popkin BM. Turning point for US diets? Recessionary  
916 effects or behavioral shifts in foods purchased and consumed. *The American journal of*  
917 *clinical nutrition*. 2014;99:609-16.
- 918 32. Kit BK, Fakhouri TH, Park S, Nielsen SJ and Ogden CL. Trends in sugar-  
919 sweetened beverage consumption among youth and adults in the United States: 1999-  
920 2010. *The American journal of clinical nutrition*. 2013;98:180-8.
- 921 33. Bray GA and Popkin BM. Dietary sugar and body weight: have we reached a  
922 crisis in the epidemic of obesity and diabetes?: health be damned! Pour on the sugar.  
923 *Diabetes care*. 2014;37:950-6.
- 924 34. Schwarz JM, Noworolski SM, Wen MJ, Dyachenko A, Prior JL, Weinberg ME,  
925 Herraiz LA, Tai VW, Bergeron N, Bersot TP, Rao MN, Schambelan M and Mulligan K.  
926 Effect of a High-Fructose Weight-Maintaining Diet on Lipogenesis and Liver Fat. *J Clin*  
927 *Endocrinol Metab*. 2015;jc20143678.
- 928 35. Ouyang X, Cirillo P, Sautin Y, McCall S, Bruchette JL, Diehl AM, Johnson RJ and  
929 Abdelmalek MF. Fructose consumption as a risk factor for non-alcoholic fatty liver  
930 disease. *J Hepatol*. 2008;48:993-9.
- 931 36. O'Sullivan TA, Oddy WH, Bremner AP, Sherriff JL, Ayonrinde OT, Olynyk JK,  
932 Beilin LJ, Mori TA and Adams LA. Lower fructose intake may help protect against  
933 development of nonalcoholic fatty liver in adolescents with obesity. *Journal of pediatric*  
934 *gastroenterology and nutrition*. 2014;58:624-31.
- 935 37. Abdelmalek MF, Suzuki A, Guy C, Unalp-Arida A, Colvin R, Johnson RJ and  
936 Diehl AM. Increased fructose consumption is associated with fibrosis severity in patients  
937 with nonalcoholic fatty liver disease. *Hepatology*. 2010;51:1961-71.
- 938 38. Jin R, Welsh JA, Le NA, Holzberg J, Sharma P, Martin DR and Vos MB. Dietary  
939 fructose reduction improves markers of cardiovascular disease risk in Hispanic-  
940 American adolescents with NAFLD. *Nutrients*. 2014;6:3187-201.
- 941 39. Mager DR, Iniguez IR, Gilmour S and Yap J. The Effect of a Low Fructose and  
942 Low Glycemic Index/Load (FRAGILE) Dietary Intervention on Indices of Liver Function,  
943 Cardiometabolic Risk Factors, and Body Composition in Children and Adolescents With  
944 Nonalcoholic Fatty Liver Disease (NAFLD). *JPEN J Parenter Enteral Nutr*. 2015;39:73-  
945 84.
- 946 40. Schwimmer JB, Middleton MS, Behling C, Newton KP, Awai HI, Paiz MN, Lam J,  
947 Hooker JC, Hamilton G, Fontanesi J and Sirlin CB. Magnetic resonance imaging and  
948 liver histology as biomarkers of hepatic steatosis in children with nonalcoholic fatty liver  
949 disease. *Hepatology*. 2014.
- 950 41. Zand KA, Shah A, Heba E, Wolfson T, Hamilton G, Lam J, Chen J, Hooker JC,  
951 Gamst AC, Middleton MS, Schwimmer JB and Sirlin CB. Accuracy of multiecho  
952 magnitude-based MRI (M-MRI) for estimation of hepatic proton density fat fraction  
953 (PDFF) in children. *J Magn Reson Imaging*. 2015.
- 954 42. Artz NS, Haufe WM, Hooker CA, Hamilton G, Wolfson T, Campos GM, Gamst  
955 AC, Schwimmer JB, Sirlin CB and Reeder SB. Reproducibility of MR-based liver fat  
956 quantification across field strength: Same-day comparison between 1.5T and 3T in  
957 obese subjects. *J Magn Reson Imaging*. 2015.
- 958 43. Suzuki A, Abdelmalek MF, Schwimmer JB, Lavine JE, Scheimann AO, Unalp-  
959 Arida A, Yates KP, Sanyal AJ, Guy CD, Diehl AM and Nonalcoholic Steatohepatitis



960 Clinical Research N. Association between puberty and features of nonalcoholic fatty  
961 liver disease. *Clin Gastroenterol Hepatol*. 2012;10:786-94.

962 44. Uwaifo GI, Parikh SJ, Keil M, Elberg J, Chin J and Yanovski JA. Comparison of  
963 insulin sensitivity, clearance, and secretion estimates using euglycemic and  
964 hyperglycemic clamps in children. *The Journal of clinical endocrinology and*  
965 *metabolism*. 2002;87:2899-905.

966 45. Gungor N, Saad R, Janosky J and Arslanian S. Validation of surrogate estimates  
967 of insulin sensitivity and insulin secretion in children and adolescents. *J Pediatr*.  
968 2004;144:47-55.

969 46. Matthews DR, Hosker JP, Rudenski AS, Naylor BA, Treacher DF and Turner RC.  
970 Homeostasis model assessment: insulin resistance and beta-cell function from fasting  
971 plasma glucose and insulin concentrations in man. *Diabetologia*. 1985;28:412-9.

972 47. Katz A, Nambi SS, Mather K, Baron AD, Follmann DA, Sullivan G and Quon MJ.  
973 Quantitative insulin sensitivity check index: a simple, accurate method for assessing  
974 insulin sensitivity in humans. *The Journal of clinical endocrinology and metabolism*.  
975 2000;85:2402-10.

976 48. Mather KJ, Hunt AE, Steinberg HO, Paradisi G, Hook G, Katz A, Quon MJ and  
977 Baron AD. Repeatability characteristics of simple indices of insulin resistance:  
978 implications for research applications. *The Journal of clinical endocrinology and*  
979 *metabolism*. 2001;86:5457-64.

980 49. Yokoyama H, Emoto M, Fujiwara S, Motoyama K, Morioka T, Komatsu M,  
981 Tahara H, Shoji T, Okuno Y and Nishizawa Y. Quantitative insulin sensitivity check  
982 index and the reciprocal index of homeostasis model assessment in normal range  
983 weight and moderately obese type 2 diabetic patients. *Diabetes Care*. 2003;26:2426-32.

984 50. Uwaifo GI, Fallon EM, Chin J, Elberg J, Parikh SJ and Yanovski JA. Indices of  
985 insulin action, disposal, and secretion derived from fasting samples and clamps in  
986 normal glucose-tolerant black and white children. *Diabetes Care*. 2002;25:2081-7.

987  
988  
989  
990  
991  
992  
993  
994  
995  
996  
997  
998  
999  
1000  
1001  
1002  
1003

1004  
1005  
1006  
1007  
1008

1009 11 **Attachments**  
 1010 11.1 SCHEDULE OF EVENTS

| <b>Study Procedure</b>                   | <b>Screening<br/>(up to -60 Days)</b> | <b>-25 Day<br/>(± 7 days)</b> | <b>-10 Days<br/>(±2 days)</b> | <b>Day -9<br/>(±1 Day)</b> | <b>Day – 7<br/>(±3 Days)</b> | <b>Baseline<br/>(Day 0)</b> | <b>Day 28<br/>(±5 Days)</b> | <b>Day 56<br/>(±3 Days)</b> |
|--|---------------------------------------|-------------------------------|-------------------------------|----------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|
| Informed Consent and HIPAA Authorization | X                                     |                               |                               |                            |                              |                             |                             |                             |
| Review Inclusion/Exclusion Criteria      | X                                     |                               |                               | X                          |                              |                             |                             |                             |
| Randomization                            |                                       |                               |                               | X                          |                              |                             |                             |                             |
| Demographics & Medical History           | X                                     |                               |                               |                            |                              |                             |                             |                             |
| Vital Signs                              | X                                     |                               |                               |                            |                              | X                           | X                           | X                           |
| Height and Weight                        | X                                     |                               |                               |                            |                              | X                           | X                           | X                           |
| Physical Exam                            | X                                     |                               |                               |                            |                              |                             |                             |                             |
| MRI                                      |                                       |                               | X                             |                            |                              |                             | X                           | X                           |
| AUDIT Questionnaire                      | X                                     |                               |                               |                            |                              |                             |                             |                             |
| 3 x 24-hour food recalls (NDS-R)         |                                       | X                             |                               |                            |                              |                             |                             |                             |
| Beverage Questionnaire (Bev-Q)           | X                                     |                               |                               |                            |                              |                             |                             |                             |
| Instructions for Compliance              | X                                     |                               |                               |                            |                              | X                           |                             |                             |
| Home Visit Food Assessment               |                                       |                               |                               |                            | X                            |                             |                             |                             |
| Sweet Taste Test                         |                                       |                               |                               |                            |                              | X                           | X                           | X                           |
| Adverse Events Review                    |                                       |                               |                               |                            |                              | X                           | X                           | X                           |
| Concomitant Medication Review            | X                                     |                               |                               |                            |                              | X                           | X                           | X                           |
| Stool Collection                         |                                       |                               |                               |                            |                              | X                           |                             | X                           |
| Oral Glucose Tolerance Test (Emory)      |                                       |                               |                               |                            |                              | X                           |                             | X                           |
| Blood Draw                               | X                                     |                               |                               |                            |                              | X                           | X                           | X                           |

1011

1012

1013

1014 11.2 BLOOD DRAW SCHEDULE

1015

| <b>Blood Test</b>                    | <b>Screening<br/>(-60 days)</b> | <b>Baseline<br/>(Day 0)</b> | <b>Follow-up 1<br/>Day 28</b> | <b>Follow-up 2<br/>Day 56</b> | <b>Total<br/>Volume</b> |
|--------------------------------------|---------------------------------|-----------------------------|-------------------------------|-------------------------------|-------------------------|
| <b>Complete Blood Count</b>          | 1                               | -                           | -                             | -                             | <b>1</b>                |
| <b>Comprehensive Metabolic Panel</b> | 1                               | 1                           | 1                             | 1                             | <b>4</b>                |
| <b>HbA1c</b>                         | 3                               | -                           | -                             | -                             | <b>3</b>                |
| <b>Lipid Profile</b>                 | -                               | 3                           | 3                             | 3                             | <b>9</b>                |
| <b>Direct bilirubin</b>              | 1                               | -                           | -                             | -                             | <b>1</b>                |
| <b>GGT</b>                           | -                               | 1                           | 1                             | 1                             | <b>3</b>                |
| <b>Serum</b>                         | 6                               | 6                           | 6                             | 6                             | <b>24</b>               |
| <b>Plasma</b>                        | 12                              | 12                          | 12                            | 12                            | <b>48</b>               |
| <b>Measurements for OGTT (Emory)</b> | -                               | 6                           | -                             | 6                             | <b>12</b>               |
| <b>PT/INR</b>                        | 3                               | -                           | -                             | -                             | <b>3</b>                |
| <b>Total</b>                         | <b>27 mL</b>                    | <b>29 mL</b>                | <b>23 mL</b>                  | <b>29 mL</b>                  | <b>108 mL</b>           |

1016